Board of Trustees

Ohio University

Online Meeting

Agenda

August 17, 18, 2020
BOARD ACTIVITIES for August 17, 18, 2020
Athens OH
Activity & Committee Meeting Schedule
Times are approximate

**Monday, August 17, 2020**
- 8:00am  Executive Session
- 9:30am   Retreat (Budget, Enrollment, COVID response)
- 2:00pm  Academics and Student Success Committee
- 3:00pm  Conclusion

**Tuesday, August 18, 2020**
- 9:00am  Governance and Compensation Committee
- 9:15am  Audit and Risk Management Committee
- 9:45am  Resources, Facilities, and Affordability Committee
- 10:45am  Main Board Meeting
- 11:45am  Conclusion
Committee Agendas
AGENDA
Board of Trustees Meeting
Ohio University
Online Meeting
Monday and Tuesday, August 17,18, 2020

Monday August 17, 2020

Comments from the Chair of the Board of Trustees

Roll Call

Approval of Agenda

Tab 1 - Approval of Minutes: Board of Trustees’ Meeting of June, 2020

Roll Call Vote to Move into Executive Session

Board of Trustees Retreat
   Budgeting, Enrollment, COVID Response

Committee Information Items and Resolutions

   • Academics and Student Success Committee

Tuesday, August 18, 2020

   • Governance and Compensation Committee
   • Audit and Risk Management Committee
   • Resources, Facilities, and Affordability Committee

Consent Agenda
   Tab 7: CONSEN RESOLUTION: Student Code of Conduct
   Tab 7.5: CONSENT RESOLUTION: Faculty and Administrative Emeriti Awards

Tab 2 Report from the President

Unfinished Business

New Business

Communications, Petitions, and Memorials
Announcement of Next Stated Meeting Date

Adjournment
AGENDA
Academics and Student Success Committee
Ohio University
Monday, August 17, 2020
Online Meeting

Standing Reports and Updates
   Tab 4 One OHIO Update
   Tab 5 FY20 Program Accreditations

Academic Initiatives and Instructional Innovation
   Tab 6 HLC Accreditation Update
   Tab 7 CONSENT RESOLUTION: Code of Conduct
   Tab 7.5 CONSENT RESOLUTION: Faculty and Administrative Emeriti Awards
   Tab 8 Fall Semester Early Graduation Programs

Tab 9 Annual Student Senate Report
AGENDA
Governance and Compensation Committee Meeting
Ohio University
Tuesday August 18, 2020
Online Meeting

Tab 10: RESOLUTION Appointment of National Trustee
AGENDA
Audit and Risk Management Committee
Ohio University
Tuesday August 18, 2020
Online Meeting

Tab 11: Insurance Update
AGENDA
Resources, Facilities, and Affordability Committee
Ohio University
Tuesday, August 18, 2020
Online Meeting

Tab 12 Resolution FY21 Budget
Tab 13 Resolution FY21 Fees
Tab 14 Resolution Translational Biomedical Sciences (TBS) Quasi-endowment
Tab 15 Resolution HCOM Clinical Ed quasi-endowment
Tab 16 Resolution FY21 CIP
Tab 17 Resolution FY21 Century Bond $10M Allocation
Tab 18 Resolution Capital Projects

Information Items:
  Tab 19 – Treasury Update
  Tab 20 – Facility Projects Update
Main Board Meeting
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Chair Janelle Coleman called the June Meeting of the Ohio University Board of Trustees to order at 8:30am.

**Roll Call**

Board Secretary David Moore called roll.

Present: Chair David Scholl; Vice Chair Janelle Coleman, Trustees Steve Casciani, Cary Cooper, Matthew Evans, Victor Goodman, Eileen Sheil, Diane Smullen, Peggy Viehweger; National Trustee Anna Harvey, Alumni Representative Casey Christopher, Student Trustee Justin Kelley, President Duane Nellis, and Board Secretary David Moore. * Given the online nature of this meeting, this list applies to all subcommittees. Additionally, faculty representative Susan Williams attended the Resources, Facilities, and Affordability Committee and Faculty Representative Sara Helfrich attended the Academics and Student Success Committee.

**Report from Chair**

Chair Coleman stated,

Good morning and thank you for joining us for the Ohio University board of trustees meeting. My name is Janelle Coleman and I am chair of the board. I am honored to serve as chair and give back to a university that has given so much to me. As a board, we will spend the next two days, along with all of you, charting a path forward to the fall semester and beyond. That is what I’d like to focus my comments on today ... moving Ohio forward together.

We know this is a time of great uncertainty and I’m sure we’ve all heard that word used frequently in the media and probably in many of our own conversations ... uncertainty. I believe there is one thing that is always present in uncertain times and that is HOPE. Hope is what brought me to Ohio University and hope is the reason that I’m sitting before you today. Some of you know that my family found ourselves homeless shortly after I graduated from high school. That summer was one of the most uncertain times in my life, but what kept me focused on the future and a path forward was the hope and belief that I would attend OU in the fall of 1990. I never lost sight of that goal and as it turns out, with the help of friends, neighbors, and the OU
It is that hope that has propelled me to this moment in history. It is not lost on me that our university and nation faces a moment of transformational change. It is not lost on me that I am third African-American to chair the board in the history of the university. It is not lost on me for the first time in my lifetime this country is having an open, honest conversation about race and equality. As a public university, I hope that we are not only at the forefront of these conversations, but that we also use the great minds and expertise of our students, faculty, and community to help chart a path forward for the betterment of our state and or nation. At this time, I would like to ask everyone to take a moment of silence and please send your positive and hopeful thoughts toward a brighter future for our university, our community and the nation.

Approval of Agenda
Chair Coleman asked to approve the agenda for the June 2020 Meeting of the Board of Trustees; Trustee Evans moved to approve the agenda; Trustee Smullen seconded; Chair Coleman called for a vote; with all in favor, the agenda was approved.

Approval of Minutes
Chair Coleman asked to approve the minutes of the May 2020 meeting of the Board of Trustees; Trustee Casciani moved to approve the minutes; Trustee Viehweger seconded; Chair Coleman called for a vote; with all in favor, the minutes were approved.

Chair Coleman celebrated former Student Trustee Austin McClain and Trustee Casey Christopher’s tenure with the Board of Trustees. Chair Coleman asked Secretary Moore to read the Certificate of Appreciation for Trustee Christopher.

Trustee Christopher said that it was a pleasure and the she was grateful for the experience.
OHIO UNIVERSITY
June 19, 2020

Certificate of Appreciation
presented to

CASEY CHRISTOPHER
Alumni Representative, 2018-2020

FOR your thoughtful dedication to your responsibilities as Alumni Association Representative to the Ohio University Board of Trustees;

FOR your unwavering interest in and commitment to matters of accountability, integrity, fiscal responsibility and personal connectivity throughout the University community;

FOR your valuable expertise in helping Ohio University extend its historic legacy of excellence through strategic planning and priority setting;

FOR your strong commitment to Ohio University’s extensive alumni network and its growing national prominence;

FOR those personal and professional qualities which have garnered our highest admiration and esteem;

WE thank you and affirm our appreciation.

Conferred as a Mark of Esteem by the President and the Board of Trustees of Ohio University.
Executive Session

Chair Coleman stated that the Board needed to move into Executive Session for the purposes of conferring with university counsel regarding pending or imminent litigation and to discuss the hiring, compensation, and evaluation, of a public employee at the university and that she would make that motion; Trustee Casciani seconded the motion; Chair Coleman asked for a roll call vote; Secretary Moore called the roll; The motion passed unanimously at 8:36am. Chair Coleman announced that the Board is now in Executive Session and that the public video stream will resume upon moving out of Executive Session.

At 10:43am the Chair Coleman called for a motion to exit Executive Session. Chair Coleman moved; Trustee Goodman seconded the motion; Chair Coleman asked for a roll call vote; Secretary Moore called the roll; the motion passed unanimously. The public video stream resumed.

Chair Coleman called for a brief break.

Chair Coleman announced that the Board would move to the subcommittees and that for expediency the Board will vote on resolutions immediately following each subcommittee’s proceedings.

Chair Coleman handed the meeting over to Trustee Viehweger to conduct the Academics and Student Success Committee.

Academics and Student Success Committee

Committee Chair Viehweger called the meeting to order at 10:58 a.m. She reminded all the voting members of the committee. She thanked faculty representative Dr. Sara Helfrich for her service on the board. Ben Bates joins the board as the trustee that will replace Dr. Helfrich.

Standing Reports and Updates

**UCM Branding Project** (Tab 2; pg 62)

Robin Oliver, Vice President for University Communications and Marketing, presented an update about the University Communications and Marketing branding project.

Chairman Viehweger thanked Robin for the presentation. Trustees commented on how the proposal resonates with the group, the cohesiveness in content and Robin’s quick ability to develop the materials.
Viehweger asked for information on next steps in the process. Robin thanked her team for their hard work on the project. She stated that the trustee feedback will be provided to the Steering Committee and President’s Council. The team will make revisions, develop deliverables, and finalize the platform for a July deadline.

**Athletics Update** (Tab 3; pg 63)

Julie Cromer, Athletic Director, presented an update on Intercollegiate Athletics.

Chairman Viehweger thanked Julie for her work during the pandemic. Trustee Goodman asked for an update on planning for student support during the pandemic. Julie updated the group on safety efforts across campus and collaboration with the College of Health Sciences and Professions and the Heritage College of Osteopathic Medicine. Trustee Goodman asked for additional information on safety protocols should an athlete test positive for the virus. Julie gave a brief synopsis of the procedures that will be followed. Trustee Goodman asked for information on planning for arena seating, season ticket sales, and NCAA attendance requirements. Julie responded that the NCAA requirements have been suspended. She provided an update on ticket sales and expounded on the creative ideas they are exploring for additional seating to allow for social distancing. Trustee Cooper asked for information on the mental health care that is being provided to the athletes. Julie praised the campus efforts to provide mental health care to students. She reviewed the services that are currently provided and will continue to be provided to the students.

**Academic Update:**

Test-optional admissions: EVPP Elizabeth Sayrs provided information on test-optional admissions. This policy will allow student application without requiring submission of ACT/SAT scores.

Trustee Smullen asked if students may reapply given the new policy. Sayrs responded with additional details. Trustee Christopher asked about history from the Indiana University program, and Sayrs provided an update.

**Notifications to the Board of Trustees (not requiring approval):**

**FY20 Approved Certificates List** (Tab 4; pg 71)
**Promotion and Tenure Update** (Tab 5; pg 74)

Consent Agenda Items:

**Consent Faculty Fellowship Awards** (Tab 6; pg 78)

Trustee Scholl asked about discussions about consideration of the awards due to the current financial issues at the university. Sayrs provided feedback and additional information on potential research opportunities and a department’s option to defer participation in the fellowship.

**Consent Emerita / Emeritus Recommendations** (Tab 7; pg 87)

**Consent RHE Coordinating Council Appointments** (Tab 8; pg 91)

Trustee Viehweger commented on the strong diversity of the council.

**Consent Honorary Degree** – Jue Chen (Tab 9; pg 111)

**Consent Honorary Degree** – John Roush (Tab 10; pg 112)

Academic Initiatives and Instructional Innovation

**One OHIO RHE Program Alignment Update** (Tab 11; pg 113)

Sayrs provided an update on the Regional campus faculty and program level departmental level alignment to the Athens campus. Faculty were notified in April. The alignment will be effective for the 2020-2021 academic year.

**College of Arts & Sciences – Department of Psychology BA to BS Degree Designation Change** (Tab 12; pg 116)

Sayrs updated all on the change and approval by Ohio Department of Higher Education and University Curriculum Council. This a notification to the board and does not require approval.

Consent Agenda & Program Items

Committee Chair Viehweger presented the following six items to include on the consent agenda for the committee’s consideration.

**Consent Agenda Resolution:** Name Change – EDU Recreation Studies (Tab 13; pg 120)
Committee Chair Viehweger asked for a motion to approve all ten consent-agenda items. Trustee Scholl moved the committee, and Trustee Goodman seconded. The motion passed unanimously.

There being no further business, Committee Chair Viehweger adjourned the Academics and Student Success Committee meeting at 12:10 p.m.

Chair Coleman thanked Committee Chair Viehweger and asked for a motion to approve the Consent Agenda (below). Trustee Evans moved the committee; Trustees Goodman seconded; Chair Coleman called for a vote. The motion passed unanimously.
FACULTY FELLOWSHIP AWARDS
RESOLUTION 2020 – 3843

WHEREAS, the proposed 2020-2021 Faculty Fellowship Leaves listed below have been reviewed in accordance with University policy and found to be meritorious.

THEREFORE, BE IT RESOLVED that the listed leaves be approved, and

BE IT FURTHER RESOLVED that the Executive Vice President and Provost may approve changes in the conditions of the fellowships, but not the total number of fellowships granted for the academic year.

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<tr>
<td>Alexei Davydov</td>
<td>Department of Mathematics</td>
<td>Fall and Spring</td>
<td>Research theory of tensor categories to further collaborations in ongoing joint projects with colleagues.</td>
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<tr>
<td>Haley Duschinski</td>
<td>Department of Sociology and Anthropology</td>
<td>Fall and Spring</td>
<td>Complete book on ethnographic research in Kashmir, conduct editorial work on two handbook collections for Routledge and Palgrave, and develop a new study abroad program on international justice in Geneva, Brussels.</td>
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<tr>
<td>Erick Todd Eisworth</td>
<td>Department of Mathematics</td>
<td>Spring</td>
<td>Travel to the University of Toronto, Cornell University and the Kurt-Godel Research Center in Vienna, Austria to conduct research on set theory and topology.</td>
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<tr>
<td>Steven Evans</td>
<td>Department of Psychology</td>
<td>Spring</td>
<td>Mentor doctoral students and undergraduate students on funded federal grant projects. Work on contracted book with the American Psychological Association.</td>
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<td>Ahmed Faik</td>
<td>Department of Environmental and</td>
<td>Fall</td>
<td>Enhance expertise in transcription factors to develop a testable model that integrates our genome editing data and gene association network analysis. Outcomes will provide preliminary data to support submissions for external funding and manuscripts.</td>
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<td>Plant Biology</td>
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<td>Maria Fanis</td>
<td>Department of Political Science</td>
<td>Fall and Spring</td>
<td>Complete book project that interrogates the connection between humanitarian interventions and the contours of international security under globalization.</td>
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<td>Daniel Hembree</td>
<td>Department of Geological Sciences</td>
<td>Fall</td>
<td>Travel to the Smithsonian National Museum of Natural History as a Visiting Researcher to complete two synthetic papers, trace fossil collections, and develop four digital image- and video-based exercises for undergraduate courses.</td>
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<tr>
<td>Joshua Hill</td>
<td>Department of History</td>
<td>Spring</td>
<td>Historical research for scholarly monograph, a narrative history of a year-long trip taken by a prominent Chinese intellectual, Liang Qichao, through the United States and Canada in 1903.</td>
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<tr>
<td>Jana Houser</td>
<td>Department of Geography</td>
<td>Fall and Spring</td>
<td>Accomplish research objectives for grant project, develop new skills in GIS, and write review paper on understanding tornadogenesis for publication in the Monthly Weather Review journal.</td>
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<tr>
<td>Robert Ingram</td>
<td>Department of History</td>
<td>Spring</td>
<td>Research and write on two projects on the Hobbes’ Century and the Correspondence of John Harvey and Conyers Middleton.</td>
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<tr>
<td>Joseph McLaughlin</td>
<td>Department of English</td>
<td>Fall and Spring</td>
<td>Complete two editorial projects; classroom adaptation of Edward Moxon’s illustrated volume of Tennyson’s Poems (1857) and the devotional prose of a major Victorian female poet, Christine Rossetti.</td>
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<tr>
<td>Vardges Melkonian</td>
<td>Department of Mathematics</td>
<td>Fall</td>
<td>Develop new research in Applications of Mathematics in Health, Wellness and Sports and Mathematical</td>
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<td>Paul Milazzo</td>
<td>Department of History</td>
<td>Fall</td>
<td>Modeling of Electoral Systems and then implement and test the results using mathematical software AMPL.</td>
</tr>
<tr>
<td>Patrick O'Keeffe</td>
<td>Department of English</td>
<td>Fall</td>
<td>Work on book manuscript, a biography of Henry Hazlitt (1894-1993), one of the twentieth century’s most influential economic journalists and libertarian public individuals.</td>
</tr>
<tr>
<td>Madappa Prakash</td>
<td>Department of Physics and Astronomy</td>
<td>Spring</td>
<td>Complete a full draft of a novel under preparation for three years. Over eighty pages of the novel are typed and heavily revised.</td>
</tr>
<tr>
<td>Kimberly Rios</td>
<td>Department of Psychology</td>
<td>Fall and Spring</td>
<td>Research on nuclear astrophysics topics that cover core-collapse supernovae, evolution of neutron stars from their birth to old age, and binary mergers involving neutron stars and black holes for publication.</td>
</tr>
<tr>
<td>Willem Roosenburg</td>
<td>Department of Biological Sciences</td>
<td>Spring</td>
<td>Expand skills in qualitative methodology as part of a grant through the John Templeton Foundation, deliver research presentations, and coauthor a theoretical paper with PhD student on racial/ethnic majorities’ and minorities’ reactions to multiculturalism and colorblindness.</td>
</tr>
<tr>
<td>David Rosenthal</td>
<td>Department of Environmental and Plant Biology</td>
<td>Fall</td>
<td>Work with a collaborative team studying the conservation and restoration of aquatic turtles in the Murray River Basin in Australia.</td>
</tr>
<tr>
<td>Nancy Sandler</td>
<td>Department of Physics and Astronomy</td>
<td>Fall and Spring</td>
<td>Attend workshop to update theoretical and analytical toolboxes and learn the latest paradigms and methods to analyze large scale and remotely sensed ecological data.</td>
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<td>Visit the Department of Physics and Astronomy at the Technical University of Denmark (DTU), and the Niels Bohr Institute at the University of Copenhagen in Denmark to collaborate and develop comprehensive</td>
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<tr>
<td>Assan Sarr</td>
<td>Department of History</td>
<td>Spring</td>
<td>Travel to West Africa researching and writing second book on the history of the Liberated Africans and their descendants in West Africa with a focus on the Gambia and Sierra Leone.</td>
</tr>
<tr>
<td>William Shambora</td>
<td>Department of Economics</td>
<td>Fall</td>
<td>Learn new techniques for economic forecasting and analysis in the areas of machine learning and artificial intelligence. These skills will be used to continue research and to teach students.</td>
</tr>
<tr>
<td>Xiaoping Shen</td>
<td>Department of Mathematics</td>
<td>Fall and Spring</td>
<td>Research collaborations in Dayton, Ohio for Bio-Inspired Systems for Intelligent Information Processing, with Nationwide on Independent Drive Evaluation Modelling and data analysis and develop teaching components related to research activities.</td>
</tr>
<tr>
<td>Alycia Stigall</td>
<td>Department of Geological Sciences</td>
<td>Fall</td>
<td>Research fossil collections at the National Museum of Natural History to reconstruct evolutionary relationships among marine species during the Great Ordovician Biodiversification Event.</td>
</tr>
<tr>
<td>David Tees</td>
<td>Department of Physics and Astronomy</td>
<td>Spring</td>
<td>Travel to John Hopkins University and the University of Maryland to meet with colleagues to expand experience with design and fabrication of microfluidic devices for biophysical applications.</td>
</tr>
<tr>
<td>Sergio Ulloa</td>
<td>Department of Physics and Astronomy</td>
<td>Spring</td>
<td>Research condensed matter theory at the Technical University in Denmark and the Niels Bohr Institute at the University of Copenhagen to engage in collaborations.</td>
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<tr>
<td>Colin Gabler</td>
<td>Department of Marketing</td>
<td>Fall</td>
<td>Research projects and teach courses in Hungary, France, Belgium to create a strong pipeline of research while expand teaching portfolio and international network.</td>
</tr>
<tr>
<td>Marco Habermann</td>
<td>Department of Management</td>
<td>Spring</td>
<td>Data analysis in Germany in Bosch. Research differences between traditional project management and Agile project management and how they work at Bosch Germany.</td>
</tr>
<tr>
<td>Mary Tucker</td>
<td>Department of Management</td>
<td>Spring</td>
<td>Review literature and explore the future-forward leadership attributes needed for the Fourth Industrial Revolution to strengthen development of a new course and provide foundation for further research.</td>
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<tr>
<td><strong>College of Fine Arts</strong></td>
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<tr>
<td>Zelma Badu-Younge</td>
<td>School of Dance</td>
<td>Fall</td>
<td>Travel to Ghana, Taiwan, and Thailand to collect data to develop an education tool for an interactive and immersive African experience, as well as choreograph new works inspired by Thai and Taiwanese culture.</td>
</tr>
<tr>
<td>Roger Braun</td>
<td>School of Music</td>
<td>Fall and Spring</td>
<td>Conduct creative activity and research with three major proposed projects in Ugandan music composition, as well as performances and presentation, and an extensive series of concert performances.</td>
</tr>
<tr>
<td>Daniel Denhart</td>
<td>School of Theater</td>
<td>Spring</td>
<td>Research to increase expertise in Digital Drafting and industry-related stage automation technology, as well as ability to draft in 3-D within AutoCAD and explore platforms Sketch-Up and Revit.</td>
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<tr>
<td>Karla Hackenmiller</td>
<td>School of Art + Design</td>
<td>Fall and Spring</td>
<td>Research visual language of graphic novels and comics to be used to create original works combining drawing, print-making and digital illustration.</td>
</tr>
<tr>
<td>Marion Lee</td>
<td>School of Art + Design</td>
<td>Spring</td>
<td>Complete book project “Locating artistic and collecting practices in early 19th-century China” in collaboration with the curator at Tianyi Pavilion Museum and Library in Ningbo, China.</td>
</tr>
<tr>
<td>David Russell</td>
<td>School of Theater</td>
<td>Fall</td>
<td>Complete the scenic, costume, puppet, and prop design for The Enchantment Theater’s Production of Peer Gynt. Develop and possibly install multimedia piece entitled Lacuna at the Kennedy Museum of Art.</td>
</tr>
<tr>
<td>Jason Smith</td>
<td>School of Music</td>
<td>Spring</td>
<td>Complete online digital resources for tuba-euphonium pedagogy to assist music educators and students.</td>
</tr>
<tr>
<td>Paschal Younge</td>
<td>School of Music</td>
<td>Fall</td>
<td>Travel to Ghana to research publication projects on African Children’s Songs, Rhymes and Games, as well as work on a book project on the Catholic Liturgy and Mass in Ghana.</td>
</tr>
</tbody>
</table>

**College of Health Sciences and Professions**

<table>
<thead>
<tr>
<th>Name</th>
<th>Department</th>
<th>Terms</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Robert Brannan</td>
<td>School of Applied Health Sciences and Wellness</td>
<td>Spring</td>
<td>Teach food science and conduct research in Thailand and the Philippines, as well as research at the National Center for Genetic Engineering and Biotechnology and Technology Development Agency in Bangkok.</td>
</tr>
</tbody>
</table>

**Heritage College of Osteopathic Medicine**

<table>
<thead>
<tr>
<th>Name</th>
<th>Department</th>
<th>Terms</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stevan Walkowski</td>
<td>Department of Osteopathic</td>
<td>Fall and Spring</td>
<td>Teach at the Pontifical College of Ecuador in Quito, Ecuador at their Medical School, as well as engage with indigenous healers in the area.</td>
</tr>
<tr>
<td>Name</td>
<td>Department</td>
<td>Terms</td>
<td>Description</td>
</tr>
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</tr>
<tr>
<td>Hyun-Ju Oh</td>
<td>Department of Recreation and Sport Pedagogy</td>
<td>Fall</td>
<td>Continue work and scholarship in the areas of childhood obesity, the child's right to play as a fundamental human right, physical activity assessment and intervention for underserved youth through community and schools.</td>
</tr>
<tr>
<td>Camille Leadingham</td>
<td>School of Nursing</td>
<td>Spring</td>
<td>Develop and implement End-of-Life nursing education consortium undergraduate modules into the senior capstone course.</td>
</tr>
<tr>
<td>John Prather</td>
<td>Department of Mathematics</td>
<td>Spring</td>
<td>Complete monograph exploring different bases for numerals and publish a paper related to these materials, as well as develop materials for departmental math class that can be published on TopHat.</td>
</tr>
<tr>
<td>Candice Thomas-Maddox</td>
<td>Communication Studies</td>
<td>Spring</td>
<td>Complete revisions on textbook “Communicating in Your Personal, Professional, and Public Lives”.</td>
</tr>
<tr>
<td>Srdjan Nesic</td>
<td>Department of Chemical and Biomolecular Engineering</td>
<td>Spring</td>
<td>Travel to the University of Queensland in Brisbane and Curtin University in Perth, Australia to collaborate with faculty members and finish book on “Corrosion of Milk Steel in Weak Acids” to be published by Wiley.</td>
</tr>
</tbody>
</table>
Jesus Pagan | Department of Engineering Technology and Management | Fall | Travel to Bozeman, Montana to work in collaboration with faculty from the School of Computing and the Department of Mechanical and Industrial Engineering to incorporate mixed reality into the control of a robotic arm.

| **Scripps College of Communication** |
| Name | School | Terms | Description |
| Bernhard Debatin | School of Journalism | Fall and Spring | Conduct archival research in Berlin and Frankfurt on existing secondary literature to serve as foundation for articles and a book project on journalism ethics. |
| Parul Jain | School of Journalism | Fall and Spring | Complete four journal articles and conference presentations based on project examining The New York Times coverage of the topic of health and wellness since 1857. |
| Eve Ng | School of Media Arts and Studies | Fall and Spring | Complete current book project titled “Rebranding Gay”, as well as pursue a new project examining media representations of artificial intelligence from a feminist perspective. |

| **Voinovich School of Leadership and Public Affairs** |
| Name | Department | Terms | Description |
| Sarah Davis | Environmental Studies | Fall | Collaborate with the National Renewable Energy Lab in Tucson, Arizona to synthesize datasets, learn new systems analysis and quantitative modeling tools, and establish new collaborators in systems analysis for renewable energy and management. |
FACULTY AND ADMINISTRATIVE EMERITI AWARDS

RESOLUTION 2020 – 3844

WHEREAS, the following individuals have rendered dedicated and outstanding service to Ohio University, and

WHEREAS, their colleagues and supervisors have recommended action to recognize their service.

NOW THEREFORE, BE IT RESOLVED that the Board of Trustees hereby approves emeriti status for the following individuals upon their retirement from Ohio University.

<table>
<thead>
<tr>
<th>Name</th>
<th>Unit</th>
<th>Years Svc.</th>
<th>Title</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sergiu Aizicovici</td>
<td>College of Arts and Sciences</td>
<td>31</td>
<td>Professor Emeritus of Mathematics</td>
</tr>
<tr>
<td>John W. Bender</td>
<td>College of Arts and Sciences</td>
<td>34</td>
<td>Professor Emeritus of Philosophy (Posthumous)</td>
</tr>
<tr>
<td>Larry Burmeister</td>
<td>College of Arts and Sciences</td>
<td>12</td>
<td>Professor Emeritus of Sociology</td>
</tr>
<tr>
<td>Philip W. Ehrlich</td>
<td>College of Arts and Sciences</td>
<td>27</td>
<td>Professor Emeritus of Philosophy</td>
</tr>
<tr>
<td>Laurie R. Hatch</td>
<td>College of Arts and Sciences</td>
<td>13</td>
<td>Professor Emerita of Sociology</td>
</tr>
<tr>
<td>Debra A. Henderson</td>
<td>College of Arts and Sciences</td>
<td>23</td>
<td>Associate Professor Emerita of Sociology</td>
</tr>
<tr>
<td>Mara D. Holt</td>
<td>College of Arts and Sciences</td>
<td>32</td>
<td>Professor Emerita of English</td>
</tr>
<tr>
<td>Mary J. Kelley</td>
<td>College of Arts and Sciences</td>
<td>29</td>
<td>Professor Emerita of Spanish</td>
</tr>
<tr>
<td>Christine L. Mattley</td>
<td>College of Arts and Sciences</td>
<td>35</td>
<td>Associate Professor Emerita of Sociology</td>
</tr>
<tr>
<td>Dinty W. Moore</td>
<td>College of Arts and Sciences</td>
<td>13</td>
<td>Professor Emeritus of English</td>
</tr>
<tr>
<td>Gregory C. Nadon</td>
<td>College of Arts and Sciences</td>
<td>25</td>
<td>Associate Professor Emeritus of Geological Sciences</td>
</tr>
<tr>
<td>Lois Vines</td>
<td>College of Arts and Sciences</td>
<td>51</td>
<td>Professor Emerita of French</td>
</tr>
<tr>
<td>Valorie A. Worthy</td>
<td>College of Arts and Sciences</td>
<td>31</td>
<td>Professor of Instruction Emerita of English</td>
</tr>
<tr>
<td>Catherine N. Axinn</td>
<td>College of Business</td>
<td>27</td>
<td>Professor Emerita of Marketing</td>
</tr>
<tr>
<td>Constance L. Esmond-Kiger</td>
<td>College of Business</td>
<td>17</td>
<td>Associate Professor Emerita of Accountancy</td>
</tr>
<tr>
<td>Elizabeth A. Gabriel</td>
<td>College of Business</td>
<td>18</td>
<td>Associate Professor Emerita of Accountancy</td>
</tr>
<tr>
<td>John L. Keifer</td>
<td>College of Business</td>
<td>32</td>
<td>Associate Professor of Instruction Emeritus of Business Law</td>
</tr>
<tr>
<td>Name</td>
<td>College/Museum</td>
<td>Year</td>
<td>Title</td>
</tr>
<tr>
<td>-----------------------------</td>
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</tr>
<tr>
<td>David P. Kirch</td>
<td>College of Business</td>
<td>21</td>
<td>Professor Emeritus of Accountancy</td>
</tr>
<tr>
<td>John D. Stowe</td>
<td>College of Business</td>
<td>12</td>
<td>Professor Emeritus of Finance</td>
</tr>
<tr>
<td>Marilyn Bradshaw</td>
<td>College of Fine Arts</td>
<td>42</td>
<td>Professor Emerita of Art History</td>
</tr>
<tr>
<td>Dennis L. Delaney</td>
<td>College of Fine Arts</td>
<td>16</td>
<td>Associate Professor Emeritus of Theater</td>
</tr>
<tr>
<td>David O. Thomas</td>
<td>College of Fine Arts</td>
<td>36</td>
<td>Professor Emeritus of Film</td>
</tr>
<tr>
<td>Gary Chleboun</td>
<td>College of Health Sciences and Professions</td>
<td>34</td>
<td>Professor Emeritus of Physical Therapy</td>
</tr>
<tr>
<td>Debby Henderson</td>
<td>College of Health Sciences and Professions</td>
<td>33</td>
<td>Professor Emerita of Nursing</td>
</tr>
<tr>
<td>Gregory R. Janson</td>
<td>College of Health Sciences and Professions</td>
<td>24</td>
<td>Associate Professor Emeritus of Child and Family Studies</td>
</tr>
<tr>
<td>Randall W. Leite</td>
<td>College of Health Sciences and Professions</td>
<td>12</td>
<td>Dean Emeritus of the College of Health Sciences and Professions</td>
</tr>
<tr>
<td>Timothy J. Ryan</td>
<td>College of Health Sciences and Professions</td>
<td>21</td>
<td>Professor Emeritus of Occupational Hygiene and Safety</td>
</tr>
<tr>
<td>David C. Eland</td>
<td>Heritage College of Osteopathic Medicine</td>
<td>25</td>
<td>Professor Emeritus of Osteopathic Manipulative Medicine</td>
</tr>
<tr>
<td>Susan Nolan</td>
<td>Patton College of Education</td>
<td>16</td>
<td>Professor of Instruction Emerita of Teacher Education</td>
</tr>
<tr>
<td>Scott S. Sparks</td>
<td>Patton College of Education</td>
<td>39</td>
<td>Professor Emeritus of Teacher Education</td>
</tr>
<tr>
<td>Timothy K. Blake</td>
<td>Regional Higher Education</td>
<td>27</td>
<td>Associate Professor Emeritus of Nursing</td>
</tr>
<tr>
<td>Deborah A. Dodson</td>
<td>Regional Higher Education</td>
<td>21</td>
<td>Professor of Instruction Emerita of Biological Sciences</td>
</tr>
<tr>
<td>Beatrice N. Giannandrea</td>
<td>Regional Higher Education</td>
<td>14</td>
<td>Associate Professor Emerita of Spanish</td>
</tr>
<tr>
<td>Donald L. Moore</td>
<td>Regional Higher Education</td>
<td>31</td>
<td>Associate Professor Emeritus of Electronic Media</td>
</tr>
<tr>
<td>Sheida Z. Shirvani</td>
<td>Regional Higher Education</td>
<td>33</td>
<td>Professor Emerita of Communication Studies</td>
</tr>
<tr>
<td>Christine Wolfe</td>
<td>Regional Higher Education</td>
<td>19</td>
<td>Associate Professor Emerita of Computer Science Technology</td>
</tr>
<tr>
<td>Robert P. Judd</td>
<td>Russ College of Engineering and Technology</td>
<td>28</td>
<td>Professor Emeritus of Industrial and Systems Engineering</td>
</tr>
<tr>
<td>Cynthia R. Marling</td>
<td>Russ College of Engineering and Technology</td>
<td>22</td>
<td>Associate Professor Emerita of Computer Science</td>
</tr>
<tr>
<td>Name</td>
<td>Affiliation</td>
<td>Year</td>
<td>Position</td>
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</tr>
<tr>
<td><strong>Austin S. Babrow</strong></td>
<td>Scripps College of Communication</td>
<td>12</td>
<td>Professor Emeritus of Communication Studies</td>
</tr>
<tr>
<td><strong>Arthur Cromwell</strong></td>
<td>Scripps College of Communication</td>
<td>23</td>
<td>Associate Professor Emeritus of Media Arts</td>
</tr>
<tr>
<td><strong>Marilyn Greenwald</strong></td>
<td>Scripps College of Communication</td>
<td>33</td>
<td>Professor Emerita of Journalism</td>
</tr>
<tr>
<td><strong>John Grimwade</strong></td>
<td>Scripps College of Communication</td>
<td>5</td>
<td>Assistant Professor Emeritus of Visual</td>
</tr>
<tr>
<td><strong>Thomas Hodson</strong></td>
<td>Scripps College of Communication</td>
<td>51</td>
<td>Director Emeritus, E. W. Scripps School of</td>
</tr>
<tr>
<td><strong>Hans Kruse</strong></td>
<td>Scripps College of Communication</td>
<td>29</td>
<td>Professor Emeritus of Emerging Communication Technologies</td>
</tr>
<tr>
<td><strong>William K. Rawlins</strong></td>
<td>Scripps College of Communication</td>
<td>17</td>
<td>Stocker Professor Emeritus of Interpersonal Communication</td>
</tr>
<tr>
<td><strong>Jefferey Redefer</strong></td>
<td>Scripps College of Communication</td>
<td>31</td>
<td>Associate Professor Emeritus of Media Arts</td>
</tr>
<tr>
<td><strong>Robert K. Stewart</strong></td>
<td>Scripps College of Communication</td>
<td>33</td>
<td>Director Emeritus, E. W. Scripps School of</td>
</tr>
<tr>
<td></td>
<td></td>
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<td>Journalism</td>
</tr>
</tbody>
</table>
APPOINTMENT TO REGIONAL COORDINATING COUNCILS

RESOLUTION 2020 - 3845

BE IT RESOLVED by the Ohio University Board of Trustees that the following persons be appointed to membership on the Coordinating Council at each of the regional campuses of Ohio University.

Ohio University - Chillicothe

Tiffany M. Baldwin     For a three-year term beginning July 1, 2020, and ending at the close of business June 30, 2023, vice Rachel Foster, whose term expired.

Pam Johnson           For a three-year term beginning July 1, 2020, and ending at the close of business June 30, 2023, vice Sharon Manson, whose term expired.

Ohio University - Eastern

Aaron Bruggeman       Reappointment of a third three-year term beginning July 1, 2020, and ending at the close of business June 30, 2023.

Jennifer Compston-Strough Reappointment of a second three-year term beginning July 1, 2020, and ending at the close of business June 30, 2023.

Daniel C. Milleson    For a three-year term beginning July 1, 2020, and ending at the close of business June 30, 2023, vice Harry White, whose term expired.

Ohio University - Lancaster

Theresa Byers         Reappointment of a second three-year term beginning July 1, 2020, and ending at the close of business June 30, 2023.
Melissa A. Clum
For a three-year term beginning July 1, 2020, and ending at the close of business June 30, 2023, vice Mareion Royster, whose term expired.

David Scheffler
Reappointment of a second three-year term beginning July 1, 2020, and ending at the close of business June 30, 2023.

Christopher Veidt
Reappointment of a third three-year term beginning July 1, 2020, and ending at the close of business June 30, 2023.

Ohio University - Southern

Marty D. Conley II
For a three-year term beginning July 1, 2020, and ending at the close of business June 30, 2023, vice Joe Isaac, whose term expired.

Jodi Rowe-Collins
For a two-year term beginning July 1, 2020, and ending at the close of business June 30, 2022, vice Cathy Burns, who resigned.

Ohio University - Zanesville

Angela J. DeRolph
For a three-year term beginning July 1, 2020, and ending at the close of business June 30, 2023, vice John Ulmer, whose term expired.

Robert D. Kessler
For a one-year term beginning July 1, 2020, and ending at the close of business June 30, 2021, vice Jennifer Spillman, who resigned.

Dana Matz
For a three-year term beginning July 1, 2020, and ending at the close of business June 30, 2023, vice Michael Bullock, whose term expired.
HONORARY DEGREE AWARD
RESOLUTION 2020 – 3846

WHEREAS, the University Committee on Honorary Degrees has recommended that Ohio University honor the person listed below through the conferral of an honorary degree,

Jue Chen, Ph.D., Doctor of Science

NOW, THEREFORE, BE IT RESOLVED that the Board of Trustees of Ohio University approves the degree recommended be conferred at an appropriate time in the future.

Jue Chen, Ph.D.

An alumna of Ohio University, Dr. Chen is a structural biologist and biochemist who has focused her research on ATP-driven transporters for the benefit of humanity. She is currently a professor and head of the Laboratory of Membrane Biology and Biophysics at Rockefeller University and is an investigator for the Howard Hughes Medical Institute.

Dr. Chen has been internationally recognized for her role in the understanding of the molecular mechanisms of multidrug transporters, especially the cystic fibrosis transmembrane conductance regulator, and for her advancements in finding more effective therapies for human diseases.

In 2019, Dr. Chen was recognized by her peers as a distinguished researcher when she was elected to the National Academy of Sciences, making her one of only three Ohio University alumni to earn this prestigious membership. Among her numerous other honors, and in recognition of her significant contributions to the field of membrane protein research, she earned the 2018 Anatrace Membrane Protein Award.

In 2002, Dr. Chen became an assistant professor at Purdue University where she was a Pew Scholar. She was promoted to associate professor in 2007 and professor in 2011. In 2014, Dr. Chen joined Rockefeller University.

Dr. Chen was born in Changsha in China’s Hunan province. After three years at Tongji University in Shanghai, she transferred to Ohio University in Athens, where her uncle was a math professor. She received her Bachelor of Science in Chemistry in the Honors Tutorial College from Ohio University in 1993, a Ph.D. in biochemistry from Harvard University in 1998 and completed her postdoctoral work at Baylor College of Medicine.
HONORARY DEGREE AWARD

RESOLUTION 2020 – 3847

WHEREAS, the University Committee on Honorary Degrees has recommended that Ohio University honor the person listed below through the conferral of an honorary degree,

John A. Roush, Doctor of Humane Letters

NOW, THEREFORE, BE IT RESOLVED that the Board of Trustees of Ohio University approves the degree recommended be conferred at an appropriate time in the future.

John A. Roush

John A. Roush served as the 20th President of Centre College, located in Danville, KY. On June 30, 2020, Dr. Roush will conclude 22 years of service as president. He leaves a legacy of building leaders for the future and significant contributions to the advancement of Centre College and to his profession in higher education.

An Ohio native, Dr. Roush attended Ohio University and was a member of the Bobcat Football team, playing for legendary coach Bill Hess. He graduated summa cum laude in 1972 with a bachelor’s degree in English and was selected as the National Christian Athlete of the Year. After completing his ROTC training, he was commissioned a Captain in the United States Army Corps.

Since Dr. Roush became the institution’s president, Centre College has established a premier undergraduate scholar program, a Centre Scholars Program to honor outstanding younger faculty and added 14 new endowed professorships. He led the Campaign for A More Perfect Centre, raising nearly $170 million, focusing on endowment for student financial aid, scholarships, and academic program enhancements. His administration completed an institutional planning process, title “Centre Forward,” which holds the promise of setting a new standard for national liberal arts colleges. Centre was ranked No. 1 among all colleges and universities in the South by Forbes magazine in both 2009 and 2010.

Prior to serving as President of Centre College, Dr. Roush worked at the University of Richmond as the Vice President for Planning and Executive Assistant to the President, and Secretary to the Board of Trustees between 1982 and 1998. He has served in many leadership roles, been a member of numerous national boards, and written and published compelling essays and articles on issues in higher education. His many recognitions, honors and awards include the Ohio University Distinguished Alumnus Award, the NCAA Champion Award, the Golden Key and the Blue Key National Honor Society, Ohio University Scholar Athlete of the Year, and three-time Academic All-American for Football. He earned his Master of
Education in 1973 and his Ph.D. in Educational Administration in 1979, both from Miami University, for which we forgive him.
WHEREAS, the Department of Recreation and Sport Pedagogy in the Patton College of Education supports a name change for its Recreation Studies program, and

WHEREAS, the proposed name change was approved by the University Curriculum Council on March 17, 2020, and

WHEREAS, the proposed name change reflects curricular revision and aligns the program with the Master of Science degree name, and

WHEREAS, the name change will not impact required resources or faculty staffing.

NOW THEREFORE, BE IT RESOLVED that the Recreation Studies program in the Department of Recreation and Sport Pedagogy in the Patton College of Education be renamed Parks, Recreation and Leisure Studies.
WHEREAS, the Department of Educational Studies in the Patton College of Education supports a name change for its Educational Administration program, and

WHEREAS, the proposed name change was approved by the University Curriculum Council on April 28, 2020, and

WHEREAS, the proposed name change reflects a program that offers a non-licensure degree and a doctoral degree not exclusively attractive to school administrators, and

WHEREAS, the name change will not impact required resources or faculty staffing.

NOW THEREFORE, BE IT RESOLVED that the Educational Administration program in the Department of Educational Studies in the Patton College of Education be renamed Educational Leadership.
SCRIPPS COLLEGE OF COMMUNICATION
SCHOOL OF MEDIA ARTS AND STUDIES

NAME CHANGE FOR
INTEGRATED MEDIA

RESOLUTION 2020 – 3850

WHEREAS, the School of Media Arts and Studies in the Scripps College of Communication supports a name changes for its Integrated Media program to Media Arts Production, and

WHEREAS, the proposed name change was approved by the University Curriculum Council on February 11, 2020, and

WHEREAS, the proposed name change communicates more effectively a major that is focused on applied media rather than media studies, and

WHEREAS, the name change will not impact required resources or faculty staffing.

NOW THEREFORE, BE IT RESOLVED that the Integrated Media program in the School of Media Arts and Studies in the Scripps College of Communication be renamed Media Arts Production.
WHEREAS, the Department of Human and Consumer Sciences in the Patton College of Education has requested the Master of Science degree program in Apparel, Textiles, and Merchandising be suspended due to insufficient enrollments, and

WHEREAS, the notification requesting the suspended status has been approved by the University Curriculum Council on April 28, 2020, and

WHEREAS, admissions will be suspended effective academic year 2020-2021, and

WHEREAS, undergraduate course instruction will continue and a tenure-track faculty vacancy effective academic year 2021-2022 will not be filled.

NOW THEREFORE, BE IT RESOLVED that the Board of Trustees of Ohio University hereby accepts placing the Master of Science degree program in Apparel, Textiles, and Merchandising in the Department of Human and Consumer Sciences in the Patton College of Education on suspended status.
REGIONAL HIGHER EDUCATION
ASSOCIATE OF TECHNICAL STUDY
DEGREE EXPANSION
RESOLUTION 2020 - 3852

WHEREAS, Regional Higher Education has proposed expanding the Associate of Technical Study, and

WHEREAS, the Associate of Technical Study was approved by Board of Trustees through Resolution 2014—3424 and by the Chancellor in the Ohio Department of Higher Education in November 2014, and

WHEREAS, the degree is designed to meet needs of workforce credentials for economic development and job enrichment not already available on the regional campus and provides a degree option for students that complete a Career-Technical Credit Transfer program, and

WHEREAS, the degree is being reactivated and expanded by adding five Ohio Department of Higher Education defined degrees, and

WHEREAS, the degree expansion proposal passed the University Curriculum Council on April 28, 2020.

NOW THEREFORE, BE IT RESOLVED that the Board of Trustees of Ohio University hereby approves expansion of the Associate of Technical Study degree by adding the Associate of Technical Study in Building and Industrial Technology, the Associate of Technical Study in Business Technology, the Associate of Technical Study in Health and Allied Health Technology, the Associate of Technical Study in Information Technology, and the Associate of Technical Study in Service Technology.
WHEREAS, the continuous review of academic programs is essential to the maintenance of quality within an educational institution; and

WHEREAS, Ohio University has had for many years a rigorous program of internal review; and

WHEREAS, the Higher Learning Commission through its Criterion 4A requires, “The institution maintains a practice of regular program reviews.”

NOW THEREFORE, BE IT RESOLVED that the Board of Trustees of Ohio University hereby accepts the program reviews for the following five programs:

College of Engineering and Technology
  • Industrial and Systems Engineering

College of Health Sciences and Professions
  • Nursing

Regional Higher Education
  • Applied Management
  • Applied and Technical Studies

Voinovich School of Leadership and Public Affairs
  • Public Administration
Chair Coleman asked Committee Chair Smullen to conduct the Academics and Student Success Committee.
Committee Chair Diane Smullen called the meeting to order. Committee members present included Trustee Steve Casciani, Trustee Matthew Evans, Trustee Eileen Sheil and Student Trustee Justin Kelley. Other board members present included Chair Janelle Coleman, Trustee Cary Cooper, Trustee N. Victor Goodman, Trustee David Scholl, Trustee Peggy Viehweger, Alumni Representative Casey Christopher, National Trustee Anna Harvey, Faculty Representative Sara Helfrich, Faculty Representative Susan Williams, and President Nellis.

**Resolution, FY21 University Budget Continuation**

Senior Vice President for Finance and Administration Deb Shaffer updated the Trustees on the development of the FY21 University Budget. FY21 planning through March 2020, immediately prior to the COVID-19 pandemic, was focused on closing an incremental $20M shortfall attributed to changing enrollment assumptions primarily driven by a continued decline in undergraduate (UG) enrollments. Pre-COVID reduction targets to offset the $20M gap were set, with $8M in reductions to come from administrative units and $12M from academic units. Early retirement incentive plans were offered to eligible faculty (VSRP) and AFSCME custodial staff (ERIP) to encourage participation and achieve voluntary reductions-in-force within targeted employee groups.

The COVID-19 pandemic has had significant negative impacts on both the FY20 forecast and the FY21 budget planning. Impacting FY20 were the $18.7M prorated housing and dining refunds to students resulting from the March campus closure and a 20% reduction in SSI for the 4th quarter of FY20, expected to continue through FY21. The difficulties in forecasting the Fall 2020 incoming cohort and total UG enrollments are being exacerbated by many unknowns, including the pandemic’s economic impact on the country and families and its continued impact on public health and campus reopening plans. Additional assumptions and estimates being built into the post-COVID, FY21 budget include the following:

- ($34M) FY21 impact of an estimated 20% reduction in SSI based on state budget guidance
- ($4.4M) FY21 impacts of 0% Fall 2020 cohort tuition increases and additional FY20 summer scholarships
- ($17.8M) FY21 impact based on the SEEC June enrollment scenario for Fall 2020 Athens UG enrollments
  - The Strategic Executive Enrollment Committee (SEEC) has been tracking several Fall 2020 enrollment scenarios and following progress at enrollment cycle milestones, most recently the June 1 extended ‘decision’
deadline and this Summer’s online Bobcat Student Orientation (BSO) sessions for incoming freshmen

- $12M FY21 impact of furlough salary savings
  - All non-bargaining employees earning greater than $38K are impacted by the furlough strategy - reductions in pay and corresponding/respective furlough leave days, with higher compensated employees taking larger percentage pay reductions

- $9.9M additional FY21 administrative reductions; total for FY21 of $17.9M when added to the $8M administrative reductions which were already part of the March 2020 budget plan

- $9.7M in Federal CARES Act funding represents the institutional share of the total CARES funding; the institutional share will offset University costs incurred due to the COVID pandemic with the other $9.7M for emergency grants directly to students

Additional unknowns to be factored into the FY21 budgeting include any enrollment impacts, respective scholarship awards made late in the Fall 2020 planning cycle, and the costs of reopening in the Fall. Input is being gathered from across campus to inform bulk purchasing decisions and compile cost estimates and timelines for a safe reopening for Fall 2020. The incremental COVID-19 related costs are being projected for PPE and environmental controls across all campus operations, assumed levels of virus testing and contact tracing costs, and costs of changes in some instructional delivery. Those costs are being accumulated for the Athens main campus, Athens room & board, and Regional campuses based on the evolving protocols. The Governor and ODHE Chancellor have requested these cost projections and analyses for all Ohio IUC schools, presented in ‘Total’ and on a ‘per UG FTE’ and a ‘per Total FTE (Grad & UG)’ basis. In addition to the institution specific CARES Act funding, additional federal relief funds may be secured for higher education institutions from the state of Ohio, and FEMA funding is being pursued for COVID-related costs incurred. In addition to the incremental costs of the Fall reopening, FY21 losses in Auxiliary revenues have been projected and will continue to be reviewed for impacts from changing recommendations and protocols. The IUC Insurance Consortium is managing Ohio’s higher education insurance claims.

SVP Shaffer shared that FY21 budget projections are being updated at least weekly and recognized the additional burden on both academic and administrative units. This iterative process requires units across all campuses to update their submissions based on the evolving assumptions and those submissions are then recompiled and analyses updated by the Budget office for leadership review and action. These activities are expected to continue until a final FY21 budget, reflecting best estimates of costs, revenue loses, and projected grant and aid offsets of the COVID-19 pandemic, is presented to the board for approval in August.
Trustee Evans made a motion to recommend approval of the FY21 University Budget Continuation resolution to the full board; Trustee Casciani provided a second; the motion was unanimously approved

- Resolution, Current-Use Scholarship Program

Vice President for University Advancement Nico Karagosian discussed this proposed expendable scholarship match program to leverage unrestricted financial aid budget, providing 100% match for every $1 in donor gifts to undergraduate scholarships. This program doubles the impact of donor gifts of at least $10,000 for a named current-use account or of any gift to a pooled scholarship account.

Trustee Casciani made a motion to recommend approval of the Current-Use Scholarship Program resolution to the full board; Trustee Evans provided a second; the motion was unanimously approved.

- Capital Improvement Plan (CIP) FY21-22 Review and Update

Chief Facilities Officer and Senior Associate Vice President Steve Wood and Associate Vice President for University Planning Shawna Bolin reviewed with the Trustees the annual process for CIP reviews and draft updates and the approach for next Fiscal Year. The COVID-19 pandemic altered the annual process and all non-essential projects were halted in response to operating and capital funding uncertainties. All projects with start dates in FY21 and FY22 were reviewed, resulting in an $88M reduction for new project starts from $101.7M to $13.3M. All major projects in progress will continue. Following reviews with the project owners and leadership, determinations were made to prioritize essential projects for slotting to one of three buckets – Continue/Move Forward, On Hold/Cancel, or Review. Wood and Bolin shared example projects slotted into each bucket, organized by CIP project types. Funding availability was critical in the decisions due to the state funding uncertainties.

This update is to advise the board of the processes and rationale for project recommendations. The FY21 budget and the annual CIP will be presented to the Board for approval in August. Updated information regarding FY21 State funding of operations, capital appropriations, and the recently approved state capital re-appropriations will inform the budget and CIP recommendations for approval at the August 2020 meeting. Recommendations regarding the use of the annual $10M tranche of century bond funding to support deferred maintenance projects will be included. Reviews are continuing with the University and unit leadership.
• Resolution, Capital Projects

SAVP Wood provided information for today’s capital project requests for board approval:

• Ping Center Roof Replacement project will replace the Center’s roof and deteriorated roof drains. This $3.3M project has been identified by the University’s internal assessment team as the highest remaining building envelope need on the Athens campus.

• Alden Chilled Water Tie-In project will tie into new campus distribution lines and eliminate the failing primary and backup cooling equipment at Alden Library. The $1.25M project will also feed Scripps Hall and the Walter International Education Center.

Trustee Evans made a motion to recommend approval of the Capital Projects resolution to the full board; Trustee Casciani provided a second; the motion was unanimously approved.

• Information Items:
  - Treasury Update – updated cashflows will be brought to the August meeting
  - Facility Project Updates – this information reports the status of active Facility Projects
  - Financial Update – current year interim financial reports providing budget, forecast and variance explanations for all funds

Committee Chair Smullen stated that the Committee’s work was complete.
Chair Coleman thanked Committee Chair Smullen.

Chair Coleman prepared the Board to vote on the Resolutions introduced by the Resources, Finance, and Student Affordability Committee as follows:
FISCAL YEAR 2020-2021
UNIVERSITY BUDGET
RESOLUTION 2020 -3854

WHEREAS, the events of Spring 2020 and the challenges associated with financial planning resulting from COVID-19 have significantly delayed the fiscal planning and consultation across the University and the completion of a final recommendation for fiscal year 2021 budgeted revenues and expenses, and

WHEREAS, the University is committed to finalizing budget priorities within the constraints of fiscal year 2021 revenue projections currently under development, and

WHEREAS, the University will develop and provide the Board of Trustees with a final expense budget recommendation in August 2020 that is consistent with the fiscal year revenues, permissible and sustainable reserve usage, and the provisions of the state budget.

NOW THEREFORE, BE IT RESOLVED that a Fiscal Year 2020-21 continuation budget be hereby approved through August 2020 based on the expectation that the Senior Vice President of Finance and Administration in conjunction with the Executive Vice President and Provost and with approval of the President will monitor expenses and present a fiscal year 2020-21 budget to the Board of Trustees for final approval in August 2020 that ensures total expenditures do not exceed available resources.

Chair Coleman called for a motion to approve the Budget Continuation Resolution; Trustee Smullen moved the committee; Trustee Scholl seconded the motion; Chair Coleman called for a vote; the motion passed unanimously.
ESTABLISHMENT OF THE
CURRENT-USE SCHOLARSHIP PROGRAM

RESOLUTION 2020 – 3855

WHEREAS, The Board of Trustees of Ohio University is responsible for oversight of the financial condition of the institution, and

WHEREAS, the Board of Trustees recognizes the need for current-use gifts, which are available immediately to meet the University’s near-term financial needs and to support the University’s recruitment and retention efforts, and

WHEREAS, in an effort to encourage such gifts, the University seeks to pair $1.00 in budgeted University scholarship funds with each $1.00 in donor gifts and pledge payments made on or before June 30, 2024 into accounts that meet established program criteria, and

WHEREAS, the new Current-Use Scholarship Program will follow the same eligibility criteria that are used for the existing Undergraduate Scholarship Match Program, as follows:

- Accounts must provide renewable undergraduate scholarship awards that are designated to a campus, a college or university-wide,
- Donors may also specify whether awards are based on merit, need and/or talent, with the specifics of those terms defined at the University’s discretion,
- Donors may designate a preference for scholarship funds to be directed to particular academic departments, programs, or majors. The University will endeavor to meet the donors stated preference while reserving the right to award funds at the college- or university-wide level in the event that eligible recipients who meet the donor preference cannot be identified in any given year,
- Other donor preferences, including but not limited to geographic restrictions, may be considered on a case-by-case basis and approved by the Vice President, University Advancement in conjunction with the Senior Vice President Finance & Administration, CFO and Treasurer. In all cases, approved donor preferences must be broad enough to provide for easily-administered scholarships with an ample number of eligible recipients, and

WHEREAS, understanding that any gift to this program collectively benefits all campuses and colleges, all match funding is made available university-wide on a first come, first served basis, up to a maximum amount of $10,000,000, and

WHEREAS, named current-use accounts will only be established under this new program with a donor gift of at least $10,000. Donors with lower giving capacities may still participate
in the program by giving to pooled university-wide, college-wide, or regional campus scholarship accounts created for this program that meet the program criteria, and

WHEREAS, all new donor gifts and paired University funds associated with this program will be administered centrally and spent prior to University general funds being spent for the same purpose, as this practice provides financial flexibility and promotes good donor stewardship.

NOW THEREFORE, BE IT RESOLVED that the Board of Trustees of Ohio University approves the creation of the Current-Use Scholarship Program.

BE IT FURTHER RESOLVED that the Board of Trustees of Ohio University hereby approves the establishment of the current-use scholarship funds described above, in accordance with the established program parameters.

BE IT FURTHER RESOLVED that the Treasurer of Ohio University be, and hereby is, directed and authorized to administer policies and procedures to manage these current-use scholarship funds.

Chair Coleman called for a motion to approve the Current Use Scholarship Resolution; Trustee Evans moved the committee; Trustee Smullen seconded the motion; Chair Coleman called for a vote; the motion passed unanimously.
CAPITAL PROJECTS

APPROVAL TO PROCEED WITH DESIGN AND CONSTRUCTION

RESOLUTION 2020 - 3856

WHEREAS, construction and renovation projects with a total project budget of $500,000 or more require Board approval; and

WHEREAS, projects presented and recommended for Board approval have been subject to a multi-step planning process culminating in executive leadership review and approval by the Capital Funding and Priorities Committee (CF&PC); and

WHEREAS, the following projects are presented for Board approval:

Design & Construction Requests:

<table>
<thead>
<tr>
<th>Project</th>
<th>Current</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ping Center Roof Replacement</td>
<td>$3,300,000</td>
</tr>
<tr>
<td>Alden Chilled Water Tie-In</td>
<td>$1,250,000</td>
</tr>
</tbody>
</table>

NOW THEREFORE, BE IT RESOLVED that the Ohio University Board of Trustees approves the requests described above; authorizes the receipt of appropriate bids or proposals; and authorizes the President, the Senior Vice President for Finance and Administration, or either’s authorized designee to accept and award contracts and execute all necessary agreements, easements and documents to effectuate the transaction and related transactions within the total project budgets identified.

Chair Coleman called for a motion to approve Capital Projects Resolution; Trustee Casciani moved the committee; Trustee Smullen seconded the motion; Chair Coleman called for a vote; the motion passed unanimously.

Chair Coleman stated that the Board’s activity for June 18th had come to a close and the that the Board would recommence on June 19th.
Chair Coleman called the meeting together at 8:30am.

Chair Coleman welcomed everyone to day 2 of the Ohio University Board of Trustees and wished everyone a happy Juneteenth and happy Father’s Day weekend.

Chair Coleman asked Secretary Moore to call the roll. Secretary Moore announced that all were present and that there was a quorum of voting trustees.
Executive Session

Chair Coleman called for a motion to adjourn into Executive Session to confer with university counsel regarding pending or imminent litigation and to discuss the hiring, compensation, and evaluation, of a public employee at the university; Trustee Evans moved the committee; Trustee Scholl seconded, Chair Coleman called for an roll call vote; the motion passed unanimously. The Board moved into Executive Session at 8:33am and announced that the public video stream would cease until the Board recommences.

At 9:49am the Board exited Executive Session and restarted the public video stream.

Chair Coleman asked Committee Chair Evans to proceed with the Governance and Compensation Committee.
Governance and Compensation Committee

Friday, June 19, 2020

Present were the members of the Committee, Chair Evans; Trustees Casciani, Goodman, Sheil, Scholl, and Viehweger; Student Trustee Kelley; National Trustee Harvey, and Alumni Representative Christopher. Also present were Board Chair Coleman; Trustees Casciani, Cooper, and Smullen; President Nellis; Executive Vice President and Provost Sayrs; Secretary to the Board Moore; Senior Vice President for Finance and Administration and Treasurer to the Board Shaffer; and General Counsel Bennett.

The meeting was called to order at 9:51 a.m.

1. Election of Secretary to the Board

Trustee Scholl moved to approve the Resolution that Dr. David R. Moore be elected Secretary to the Board of Trustees for a term beginning July 1, 2020 and ending June 30, 2021. Trustee Goodman seconded the motion. The motion passed unanimously, and the resolution was forwarded to the full Board with a recommendation of approval.

2. Election of the Treasurer of the Board

Trustee Goodman moved to approve the Resolution that Deborah Shaffer be elected Treasurer of the Board of Trustees for the year beginning July 1, 2020 and ending June 30, 2021. Trustee Viehweger seconded the motion. The motion passed unanimously, and the resolution was forwarded to the full Board with a recommendation of approval.

3. Classified Civil Service Modernization

Colleen Bendl, Chief Human Resource Officer, explained to the Board that Ohio University’s current regulations regarding classified civil service non-bargaining staff are antiquated and inefficient. In order to achieve operational efficiencies needed to meet the needs of changing labor markets, a need accelerated by the COVID-19 global pandemic, Ms. Bendl requested approval from the Board of Trustees to modernize classified civil services rules for Ohio University’s classified employees pursuant to the resolution titled Authorization to Implement Recommended Changes to University Policies and Procedures Governing Classified Civil Service Employees.

Ms. Bendl noted that the Ohio Legislature passed House Bill 187 in 2006, permitting each Ohio public university to adopt new rules relating to the classified civil service, with Board of Trustees approval, in order to increase efficiencies. As provided in House Bill 187, the Inter-University Council (IUC) established guidelines and standards to be followed by each Board of Trustees during the rule changing process. The Ohio University Board of Trustees passed resolution 2007-3017 permitting University Human Resources and the Office of Legal Affairs to create classified civil service policies in accordance with House Bill 187 and IUC guidelines.
Ms. Bendl detailed for the Board the specific changes University Human Resources and the Office of Legal Affairs recommend to achieve classified civil service modernization. Areas with proposed rule changes include performance, discipline and the termination process, employee appointments and leaves, and layoffs and the reduction in force process. The details of these changes are included in the presentation found on pages 262 through 274 of the June 2020 Agenda.

Chair Evans asked Ms. Bendl about the impact of the changes on the educational benefits provided to classified civil service non-bargaining unit employees. University Policy 40.015: Educational Benefits for Ohio University Employees provides full educational benefits to classified employees working at a 1.0 FTE capacity, but educational benefits are cut to 50% if the classified employee is working at a .99 FTE or lower. By extension, University Policy 40.106: Educational Benefits for Qualified Dependents provides the same level of educational benefits to dependents of university employees. Many trustees noted that the educational benefits are key for many employees and part of Ohio University’s mission as an educational institution, particularly an institution located in southeastern Ohio. Trustee Goodman noted a letter he had received from Dr. David Descutner relating to the issue of educational benefits and requested a modification to Policy 40.015 so that classified employees working at a .75 FTE level and above could receive full educational benefits. Dr. Nellis stated that he had asked his leadership team to bring forward changes to the policy to maintain educational benefits. The Trustees noted their support for this change.

Chair Evans asked General Counsel Bennett if any change was needed to the resolution presented by Ms. Bendl. Ms. Bennett reported that the resolution did not need modification, but that the Executive Staff Policy Committee (the “ESPC”) would make changes to Policy 40.105 and bring the revised policy to the Board by the time of the full Board meeting. Ms. Bennett suggested that the Committee could move to forward the resolution to the full Board, contingent on the changes to Policy 40.015 being made by the ESPC prior to the full Board Vote.

Trustee Scholl moved to approve the resolution. Trustee Goodman seconded the motion. The motion passed unanimously, and the resolution was forwarded to the full Board with a recommendation of approval, contingent upon the ESPC revising Policy 40.015 prior to the full Board vote.

4. New Title IX Regulations

Kerri Griffin, director of the Office of Equity and Civil Rights Compliance and Title IX Coordinator, and Barbara Nalazek, Deputy General Counsel, presented to the Board a summary of the new Title IX regulations issued by the Department of Education on March 6, 2020. The presentation is included on pages 276 through 292 of the June 2020 Agenda.

5. Recognition of Trustee Goodman
In recognition of Trustee Goodman’s last meeting as a member of the Ohio University Board of Trustees and his longstanding and dedicated service to the University, Secretary Moore read a resolution honoring Trustee Goodman. Trustee Goodman addressed the Board, reflecting on his tenure as a member of the Board of Trustees. Trustee Goodman received a long ovation from all Board meeting attendees.

Committee Chair Evans requested Trustees review the Board’s bylaws and to make any suggested changes by contacting Secretary Moore before the next meeting.

The committee adjourned at 10:45.

Chair Coleman thanked Committee Chair Evans.
Chair Coleman asked Committee Chair Cooper to proceed with the Audit and Risk Management Committee.

**Present:** Audit and Risk Management Committee Chair Carey Cooper, Board Chair Janelle Coleman, Trustees Dave Scholl, Diane Smullen, Eileen Sheil, Peggy Viehweger, Steve Casciani, Mathew Evans, Cary Cooper, Victor Goodman, National Trustees Anna Harvey, Alumni Representative Casey Christopher, Student Trustee Justin Kelley and President Duane Nellis.

Audit and Risk Management Committee Chair Cooper called the meeting to order at 10:48 a.m.

**FY 2020 External Audit Update**

Ms. Christine Torres from Crowe introduced herself to the committee. This is Crowe’s first year of the 5-year audit contract. Ms. Torres provided the committee with an update on the status of the FY20 audit. There were no audit results to report as a result of their interim audit work. The audit is progressing as expected and they will be back on campus to complete the audit prior to the October 15th deadline. Trustee Smullen asked if a two-year comparison would still be presented with differences that would be caused by COVID. Ms. Torres responded that it would depend on what management and the Audit Committee preferred. Trustee Scholl inquired as to whether there would be increased University responsibilities or changes in procedures related to the NCAA AUP. Ms. Torres was not aware of any changes or increased responsibility at this time. Trustee Scholl also inquired about the audit procedures related to the CARES Act. Ms. Torres described the testing and indicated that testing would include how the funds were disbursed and verification that funds were received by the students.

**FY20 Audit Plan Status**

Mr. Jeff Davis, Chief Audit Executive summarized the FY20 audit plan completion. Covid-19 significantly impacted completion of the audit scheduled. The audit of the Lancaster Regional Campus will be completed in FY21. The audit IT audit of the VP finance area is completed with the report to be issued in FY21. Trustee Smullen commented regarding looking at reducing/stopping cash collections upon returning to campus. Vice President for Finance and Administration, Deb Shaffer responded to the comment that Finance is currently looking at policies and procedures to reduce interaction related to cash collections. Trustee Scholl commented on the Baker Tilley contract term and need based on the capital plan winding down.
FY21 Audit Plan Resolution

Mr. Davis discussed the proposed FY21 audit plan. The plan includes eight audits. Chair Cary Cooper moved the committee to recommend the approval of the resolution to the full board accepting the annual audit plan; the motion was seconded by Trustee Smullen. Committee Chair Cooper called for a vote; the resolution passed unanimously.
Audit Committee Chair Cooper adjourned the meeting at 11:24 a.m.

Chair Coleman thanked Committee Chair Cooper.
Chair Coleman asked President Nellis to present his President’s Report.

President Nellis shared the following regarding plans to open the campuses for the fall.

President Nellis stated that we convened eight work groups that included 31 faculty, 82 administrative staff (college and central), and 25 students, focused on various planning aspects as we look toward fall. The co-chairs of each group, along with leaders from each of our five senates and functional managers across campus, came together as a coordinating council to develop the synthesized recommendations.

President Nellis asked Chief Strategy and Innovation Officer (CSIO), Brad Cohen, to provide details of these efforts. President Nellis thanked the committees and specifically thanked Brad Cohen, Laura Meyers, and Robin Muhammad for their work and collaboration.

CSIO Cohen stated that the work groups include: Public Health Planning, PPE Planning and Procurement, Academic Scenario Planning, Student Experiences/Student Life, Academic Policy and Process, Research and Creative Activity, Clinicals, Practica, and Internships, Back to Work Safely Group. CSIO Cohen stated that these group came up with specific recommendations that have been shared with the trustees and will be distributed to the public (available on the University’s website). These recommendations focused on 5 Key Areas:

1) Campus Safety -- addressing public health concerns and protecting one another
2) Academic Program Delivery-- ensuring robust learning experiences for our students
3) Campus Life -- creating safe and engaging campus communities
4) Research and Creative Activity: resuming critical work in labs, workshops, studios, stages, and other creative spaces
5) Promoting Safe Work Environments on Campus

CSIO Cohen stated that the recommendations are about risk mitigation and not risk elimination. CSIO Cohen stated that there are a few recommendations that require special consideration by our community. The first is that the use of Blackboard will be required for all courses with at least basic information such as the syllabus materials that would normally be photocopied or otherwise distributed by hand in class and instructor contact information. This is in response to very strong, very direct feedback from our student senates and in the interests of maintaining educational continuity in the event of an outbreak that disrupts individual classes or campus operations. The second recommendation we discussed at length with our community was face coverings. The requirement of face coverings, rather than merely strongly encouraging them. In all activity involving our university facilities, students, faculty, and staff, will generally be expected to provide their own face coverings; however,
some jobs may require additional measures and the university should provide Personal Protective Equipment (PPE) in those situations. Additionally, we recommend having limited supplies of disposable masks for individuals who forget or misplace masks on the way to class.

The third recommendation that requires additional attention is that all members of the university community must commit to a social compact. This idea came out of the public health work group as a way to build consensus and buy-in among campus constituencies to behavior that protects us all and as a way to approach and consider enforcement. Faculty Senate Chair Robin Muhammad has taken the lead on developing this compact with her counterparts in the other four senates. In addition to these recommendations I’ve highlighted, we have additional recommendations that include, doing as many other institutions are, de-densifying our classrooms, labs, and residence halls. Reconfiguring our dining operations and shifting to remote completion of the semester timed with a Thanksgiving Break.

One other issue of note, among the things that make fall special in Athens in particular are the events we host on campus and with our community’ we put forward a recommendation to engage in discussion with Athens City leadership to discuss how to manage or provide alternatives to traditional university/city celebrations such as Halloween, Homecoming, and special weekends like Parents Weekend, as well as on-campus recruitment visits. We will do the same in every one of our campus communities looking to develop something like a university community compact to work closely together for the safety of us all.

I’m pleased to report that the leadership of all five senates very positively embraced the recommendations I’ve highlighted, and they strongly support the full set of recommendations the report and appendices. From each of the senates and especially from our students we heard a strong message of care; that Ohio University is a place where our students, faculty, and staff care about one another and the community and that even though individuals may not feel at risk they will observe safety protocols for the safety of others. We will apply our creative energies to making their college experience deeply and personally impactful in the most positive ways.

President Nellis also highlight some of our most notable happenings over the last academic year, including:

- Leadership changes and updates
- Re-opening for fall strategic planning update
- Reimagining the academic enterprise through strategic restructuring in areas such as University Communications and Marketing as well as University Advancement
- OHIO CARES Relief Fund and the Bobcats Take Care Fund
• Summer programs have transitioned to online environments. The College of Fine Arts, for example, is offering a wide range of summer workshops for teenagers and youths.
• Counseling and Psychological Services moves to Telehealth to continue services
• The Ohio University College Bound program for potential first-generation college students from Athens and Vinton counties transitioned to a six-week online program.
• Created new OHIO Honors and Scholars Weekends, hosting 340 prospective student families in support of centralized program interviews for all honors programs.
• New student organization platform allowed for more accurate tracking of student engagement
• Launched new OHIO Virtual Visits website and a Summer Webinar Series
• Student Affairs and the Campus Involvement Center researched, built, launched and promoted a dynamic virtual involvement site to connect prospective, current and future Bobcats.

Additionally, I will highlight a few Bobcat Beacons of Excellence from our students, faculty, staff and alumni that include:

• Melissa Damico, an environmental studies major in the Honors Tutorial College with a minor in sociology, has been selected for the Udall Undergraduate Scholarship for the 2020-21 academic year. She is among 55 students from 48 colleges and universities across the United States selected as 2020 Udall Scholars.
• Dr. Nancy Stevens, professor of evolutionary ecology in the Heritage College of Osteopathic Medicine, has been named the 2020 Distinguished Professor.
• Ohio University has awarded Undergraduate Experiential Learning Stewardship Grants to eight faculty and staff members.
• Faculty researchers from the Heritage College of Osteopathic Medicine and Russ College of Engineering and Technology have received a $100,000 grant to investigate possible treatments for mitigating the severity of COVID-19.
• Journalism Professor Aimee Edmondson’s book, “In Sullivan’s Shadow: The Use and Abuse of Libel Law During the Long Civil Rights Struggle,” was awarded runner-up for the history journalism prize from the Association for Education in Journalism and Mass Communication (AEJMC) History Division.
• Professor of Geography Dr. Geoff Buckley and Professor and Chair of English Dr. Linda Rice have been named the Presidential Teacher Award recipients for 2020-2023. Associate Professor of Instruction of Chemistry Dr. Rebecca Barlag was awarded the Provost Award for Excellence in Teaching for 2020-2023.
• Students from the E.W. Scripps School of Journalism won top prize in four categories in the Society of Professional Journalists Region 4 Mark of Excellence contest. Several Scripps students also finished as finalists in seven other categories.

• Three Honors Tutorial College students have been selected as 2020 Barry M. Goldwater Scholars, the first time in 12 years the University has had this many.

• UCM won two awards from the 2020 Communicator Awards for their in-house produced commercial campaign titled “OHIO Stories.”

Chair Coleman thanked President Nellis.

Chair Coleman stated that the Board had three resolutions to consider from the Governance and Compensation Committee and one from the Audit and Risk Management Committee.
ELECTION OF SECRETARY TO THE BOARD
RESOLUTION 2020 – 3857

RESOLVED, that Dr. David R. Moore be elected Secretary to the Board of Trustees for a term beginning July 1, 2020 and ending June 30, 2021.

Chair Coleman asked if there was a motion to approve the Election of Secretary to the Board Resolution; Trustee Evans moved the Committee; Trustee Goodman provide a second; Chair Coleman called for a vote; the resolution passed unanimously.
ELECTION OF TREASURER
RESOLUTION 2020 – 3858

RESOLVED, that Deborah Shaffer be elected Treasurer of the Board of Trustees for the year beginning July 1, 2020, and ending June 30, 2021.

Chair Coleman asked if there was a motion to approve the Election of Treasurer to the Board Resolution; Trustee Evans moved the Committee; Trustee Smullen provide a second; Chair Coleman called for a vote; the resolution passed unanimously.
AUTHORIZATION TO IMPLEMENT RECOMMENDED CHANGES TO
UNIVERSITY POLICIES AND PROCEDURES GOVERNING
CLASSIFIED CIVIL SERVICE EMPLOYEES

RESOLUTION 2020 - 3859

WHEREAS, the 126th Ohio General Assembly enacted H.B. 187, which revised the Ohio civil service laws and granted authority to the Ohio University Board of Trustees to implement policies and procedures that are applicable to civil service employees that satisfy the requirements of the Ohio Revised Code 124; and

WHEREAS, the Board of Trustees in Resolution 2007-3017, authorized the Department of Human Resources in conjunction with the Office of Legal Affairs to review current and create new Ohio University policies and procedures that are applicable to civil service employees in accordance with H.B. 187; and

WHEREAS, the Department of Human Resources has completed such review and, in conjunction with the Office of Legal Affairs, created new policies and procedures intended to modernize the rules applicable to civil service employees with regard to the performance, discipline, and termination process; appointments; employee leave; and the layoff and reduction-in-force process; and

WHEREAS, the University recognizes that, as labor markets change, it must be able to act with speed and agility to recruit and retain the most talented and diverse personnel, and be poised to react nimbly to changes across higher education, whether brought about by shifting markets, technological innovations, or by a crisis or natural disaster.

NOW, THEREFORE, BE IT RESOLVED that the proposed recommendations to opt-out of specific Classified Civil Service policies and procedures set forth in Ohio Revised Code 124, as found in the document attached and marked as Exhibit A, are hereby approved.

BE IT FURTHER RESOLVED that the President or his designee, barring any material changes following the required 30 day notice period, is hereby authorized to immediately review, create, implement and maintain any necessary policies, procedures and guidelines to accompany and execute the recommendations set forth in Exhibit A to replace the corresponding provisions of the Ohio Revised and Administrative Codes, and govern Classified Civil Service employees of the University.
Chair Coleman stated that she wanted to note that the supporting documents have been changed to reflect that the education benefit stays intact.

President Nellis mentioned that he was really pleased that we’ve added back this benefit and that it’s really important and that he strongly supports the changes.

General Counsel Bennett stated that in the intervening time since the end of the executive session meeting the executive policy committee has had an emergency meeting and we have made the changes necessary to the policy in order to preserve 100 percent of the educational benefits to classified staff who are at .75 fte or above in line with the other employment groups on campus.

Chair Coleman asked if there was a motion to approve the Classified Civil Services Employees Resolution; Trustee Evans moved the Committee; Trustee Goodman provide a second; Chair Coleman called for a vote; the resolution passed unanimously.
INTERNAL AUDIT

ANNUAL AUDIT PLAN

RESOLUTION 2020 - 3860

WHEREAS, the Board of Trustees of Ohio University has established an independent, objective assurance and appraisal activity to evaluate and improve effectiveness of risk management and internal management controls, and

WHEREAS, the Board of Trustees has approved an Ohio University Internal Office Charter requiring Board of Trustees authorization of an annual audit plan initiated to evaluate internal management controls, and

WHEREAS, the Chief Audit Executive charged with initiating audits pursuant to the plan proposes an annual audit plan for authorization by the University Trustees, and

WHEREAS, the proposed plan will be conducted during the period of July 2020 through June 2021, and

WHEREAS, time for unplanned special projects is separately allotted in the audit plan; Further revisions to the plan will be administratively reviewed and approved by the President and the Chair of the Audit and Risk Management Committee.

NOW, THEREFORE, BE IT RESOLVED that the Ohio University Board of Trustees does authorize the proposed audit plan.

Chair Coleman asked if there was a motion to approve the Annual Audit Plan Resolution; Trustee Evans moved the Committee; Trustee Scholl provide a second; Chair Coleman called for a vote; the resolution passed unanimously.
Executive Session Report

Chair Coleman gave the Executive Session Report. Chair Coleman stated that the Board moved into Executive Session to confer with university counsel regarding pending or imminent litigation and to discuss the hiring, compensation, and evaluation, of a public employee at the university.

We discussed the president’s annual evaluation in executive session. The circumstances are a bit different this year in that President Nellis has volunteered to forgo a pay raise and, in fact, has requested a pay cut for the year. Further, President Nellis has also stated that he will not accept a bonus if offered. President Nellis’ leadership in this area precludes the necessity of issuing an evaluation resolution.

I would like to take this opportunity to re-emphasize that the Board strongly supports President Nellis; we are proud of his leadership; he has honorably led with forthrightness under unprecedented conditions, constraints, and circumstances. The board is confident that the integrity President Nellis has displayed, throughout his career and particularly at Ohio University, will continue to be the foundation principle for our university to follow in the year ahead. We wanted to make sure that President Nellis and the Community knew that. Chair Coleman asked President Nellis if he had any comments.

President Nellis stated that he appreciated the support of the board and the community and that he is honored to continue as Ohio University’s President. It is a very stressful time, an unprecedented time, but through the collective work of so many people, not only our trustees but also every level from our alumni to our faculty, staff, and students, we work collectively and we all care in our hearts during this most difficult time. I just appreciate the support of the board very much and thank you for your words.

Trustee Scholl stated that we don’t want to lose sight of the fact that there’s been a ton of progress made. In terms of the strategic plan and the framework, obviously we can always make it faster, perhaps or more completely but we’ve not lost sight of the changes that you’ve begun to implement. There are a number of successes there in spite of the fact that we are also juggling such as strange times. I don’t want that to be lost; it’s not lost on me. I appreciate the number of things that you’ve got in motion and continue to support them getting done in the context of today’s world as rapidly as possible and as productively as possible.

Recognitions

Chair Coleman stated that the Board had reserved time at the end of the meeting to honor Trustee Goodman. Chair Coleman ask Secretary Moore for the Certificate of Appreciation to be read.
Certificate of Appreciation

OHIO UNIVERSITY
June 19, 2020

CERTIFICATE OF APPRECIATION
presented to

N. VICTOR GOODMAN
Board of Trustees, 2012-2020

FOR your distinguished service as a member of the Ohio University Board of Trustees;

FOR your, cumulative, eighteen years of service;

FOR your willingness to extend your term to assist in crisis management;

FOR your commitment to making an Ohio University education accessible;

FOR your tireless work to improve the lives and futures of all Ohio University students;

FOR your valuable expertise in helping Ohio University shape the future of higher education through strategic planning and priority setting;

FOR your outstanding leadership in the search for Ohio University’s 21st president;

FOR your commitment to the health, safety, and welfare of student athletes;

FOR your legal experience and wisdom;

FOR your commitment to fairness in student judicial proceedings;

FOR your continued support of Ohio University’s vision of becoming the nation’s best transformative learning community;

FOR those personal and professional qualities of loyalty, dedication, fairness, and kindness, which have earned our deepest regard and the respect of colleagues, students, and alumni across the University community;

WE thank you and affirm our appreciation.

Conferred as a Mark of Esteem by the President and the Board of Trustees of Ohio University.
Chair Coleman asked Trustee Goodman if he had any remarks to share.

Trustee Goodman stated:

I appreciate it. My wife Elaine has been with me on these 18 years as a Trustee. Elaine Goodman has lived through all this and so she should be certainly appreciated. Let me begin, and I’ll try to be as brief as I can but to let you know how long I have been associated with the Ohio University.

I got a call from the Governor’s Chief of Staff (Voinovich) who said the Governor would like to nominate you to be a trustee at Ohio University. I understood that the governor was a loving graduate of Ohio University. The journey has been great ever since. I served with Presidents Glidden, McDavis, Descutner, and Nellis. I’m also very proud, and while I’m not an undergraduate of the Ohio University, in 2004, for whatever reason, I received the call from president Glidden and he said that the trustees of the university and the committee that makes recommendations for honorary degrees has decided to give me an honorary degree which they did at a commencement and awarded me the honorary doctor of public service. And so, in fact, I am a graduate of the Ohio University.

I’ve also had the opportunity to serve with a very great group of trustees who have, over the years as you all know now, devoted many hours of their time to bettering the life of our students at Ohio University. I’ve had a couple of major things that I’ve tried to work on as a trustee and I would hope one of them would be taken on by one or more of you and that is, I think the obligation that we have as trustees a major obligation to oversee our intercollegiate athletic program. For those of us who attended the AGB conference in San Francisco we will remember that President Emeritus of Kent State University and Bowling Green, Carol Cartwright, and talking about the AGB guidelines that mandates that trustees provide proper oversight for intercollegiate athletics at their institution and she said that we trustees should be informed and engaged in discussions about academic integrity and student athletic well-being and health and safety protections. I think over the years we have done that as trustees.

As the President was observing in his message, you all have very, very, difficult duties and issues facing you in the coming years. Presently we have reduction in state aid, we have restrictions on tuition increases, we have a high school graduation enrollment which is decreasing because of our immigration policy, we have limitations on the ability to get foreign students, we have the COVID 19 issue facing us, and as we have all talked about with respect to the resolution which we passed in the Governance and Risk Compensation Committee, a need to balance our budget, requiring certain
adjustments to faculty and the general university employees, and you have to do all of these things and face all of these issues, and yet provide to our students and their parents the ability to maintain a quality education. I know you all you will undertake this task with great seriousness and for the betterment of our students.

Finally, we have all read recently a lot about higher education as an engine of economic growth and the need is real indeed, in fact, a number of years ago governor Taft formed the Governor’s Commission on Higher Education, which I had the opportunity to serve. Parents considering where they should send their child or children to college frequently ask, “what major will help my child get a good job,” and the standard answer today invariably centers on the science, technology, engineering, and mathematics course. At the same time, however, we must not neglect an even more fundamental need: the pursuit of knowledge and learning for their own sake. I’m thinking of course of the liberal arts curriculum which teaches us how to think and how to live. I am told that the term “liberal arts” originated in the 4th century Greece that in Roman times the liberal arts included grammar, rhetoric, logic, arithmetic, geometry, music and astronomy and today we think in terms of the arts and sciences along with the ability to reason, question, and think independently: some have translated as the skills of freedom. In fact, in 2018 the AGB Gallup survey provided a general definition of a liberal arts education saying, “it refers to the approach to learning that emphasizes intellectual and practical skills such as communication critical thinking analytical and problem solving skills and the ability to work with people from different backgrounds.” As our world becomes even more specialized and complex, as technology threatens to outrun our ability to understand it, it is more important than ever to gain the perspective and utilize the judgment that a lifelong liberal arts education provides. In February 2018, the AGB Executive Vice President, in a paper said the following, “liberal education and liberal arts education need the advocacy of trustees to ensure students continue to receive broad education in the skills that are most necessary in the workplace and most beneficial to a productive successful life.” Throughout its history the Ohio University has understood this need. It continues to understand it. From what we heard from Robin Oliver yesterday, I say OHIO forever, adaptable forever, an innovative OHIO will be a part of you and me forever. Thank you very much.

Chair Coleman thanked Trustee Goodman again and stated that we would all gather in person once the COVID 19 crisis abated to celebrate Trustee Goodman.

President Nellis thanked the trustees for their tremendous support of Ohio University and all that they do every day. President Nellis also thanked Trustee Goodman from being such an outstanding leader and that he appreciated his words; they were right on target.
**Adjournment**

Chair Coleman asked Secretary Moore to announce the meeting date of the next meeting. Secretary Moore stated that the Ohio University Board will meet on Monday, August 17th.

Chair Coleman adjourned the meeting at 12:20pm.
In my August 18, 2020 report to the Board of Trustees, I will discuss our plans for the fall semester, share positive news from Advancement and present several points of pride. The topics for our discussion will include:

- Welcoming new members to the Ohio University Board of Trustees
- Plans for the fall semester classes and our continued response to the COVID-19 pandemic
- The OHIO Pledge that every member of the Ohio University Community is required to sign before returning to campus
- The University-Community compact that Ohio University is creating with its community partners
- Plans for Commencement Ceremonies
- Updates from University Advancement regarding new gifts and information on the outstanding level of private financial support the University received in fiscal year 2020
- A tribute to Dr. Vernon Alden, the 15th President of Ohio University
- A tribute to Anna Lee Konneker, a strong supporter and cherished member of the Ohio University Community
- New initiatives from the division of Diversity and Inclusion, including the recent event, “Black Lives Matter: Examining the Scales of Justice,” featuring Sharon Cooper
- University leadership updates

Our Bobcats Beacons of Excellence will include:

- OHIO Alumna Kari Gunter-Seymour, BFA ’94, MA’ 16, has been appointed the state of Ohio’s poet laureate and named the Ohio Poet of the Year.
• Scripps College of Communication and School of Visual Communication student Haiyun Jiang was honored recently with a 2020 Getty Image Inclusion Scholarship, in partnership with the Asian American Journalists Association.

• Instructor of Graphic Design Oscar Fernández has published a new book, “Letter Portraits: Observations by Student Letter Anatomists,” which includes the research gathered by his students last spring on the layered history of letter forms.

• In recognition of the Ohio University Small Business Development Center’s consistently strong performance, the state of Ohio and the U.S. Small Business Administration (SBA) have expanded the Ohio University SBDC’s service region into Licking County and northern Fairfield County, while adding additional bandwidth to western Muskingum County.

• Ohio University hosted several special online programs over the last few months, including the 2020 Virtual High School Journalism Workshop and the Summer Law & Trial Institute.

• Ohio University has been designated a First-gen Forward Advisory Institution by the Center for First-generation Student Success.
Bylaws of the Ohio University Board of Trustees

Revised August 22, 2017
Revised March 17, 2017
Revised August 27, 2015
Revised August 28, 2014
Revised April 19, 2013
Revised September 6, 2012
Revised April 24, 2011
Revised February 10, 2010
Revised January 23, 2009
Revised February 8, 2008
Revised December 17, 2004
Revised September 20, 2002
Revised June 23, 1990
Revised July 14, 1989

Article I. Corporate Authority and Bylaws

Section 1. The Board of Trustees of the Ohio University, a body corporate and politic, hereby adopts these Bylaws for the purpose of governing its activities in accordance with Chapter 3337 of the Ohio Revised Code.

Section 2. No Bylaws shall be enacted, amended, or repealed, except by a vote of a majority of the members (5 votes) of the Board authorized to vote, and then only after thirty (30) days notice of a proposed change has been given to all members.

Section 3. The Board shall comprise nine Trustees and two student Trustees, all appointed by the governor of the State of Ohio in accordance with Section 3337.01 of the Ohio Revised Code. The Board shall also include two national Trustees and the chair of the Ohio University Alumni Association Board of Directors or his or her designee. One national Trustee shall be appointed by the Board for a term of one year beginning on July 1, 2010.
One national Trustee shall be appointed by the Board for a term of three years beginning on July 1, 2010. Thereafter, both national Trustees shall serve terms of three years.

Section 4. The nine Trustees appointed by the Governor shall hold voting privileges. The two student trustees, the two national trustees and the chair of the Ohio University Alumni Association Board of Directors may not vote on Board matters but their opinions and advice will be actively solicited and welcomed in Board deliberations.

**Article II. Officers of the Board**

Section 1. Officers of the Board shall be as follows:

(a) Chair

(b) Vice-Chair

(c) Secretary

(d) Treasurer

Section 2. The Chair shall preside at all meetings of the Board, and unless otherwise directed by the Board, shall have the authority to appoint members of and to fill vacancies on all standing and special committees. He or she shall serve as Chair of the Executive Committee. Subject to these Bylaws, he or she shall fix the date and time of all regular, special, and emergency meetings, and perform such other duties as may be pertinent to the office of the Chair.

Section 3. The Vice-Chair, in the absence or incapacity of the Chair, shall assume the duties and obligations of the Chair.

Section 4. The Secretary shall keep minutes of all Board meetings and shall promptly distribute copies to all Board members. He or she shall be responsible for the orderly preservation of all records pertaining to Board business, and shall perform all other duties customary to the office or assigned by the Chair or by Board action.
Section 5. The Treasurer shall be responsible for the fiscal management of the University, including supporting budget preparation, the preparation of all officially required financial reports, management of investments, coordination of audits with auditors, including federal and state auditors, overseeing relationships with financial reporting agencies, and all other financial responsibilities generally or specifically assigned by the Board or the President.

Article III. Election of Officers

Section 1. The Chair, Vice-Chair, Secretary, and Treasurer shall be elected annually by the Board.

Section 2. The Chair and Vice-Chair shall each serve for one year and shall be eligible for re-election to their respective offices. The Secretary and the Treasurer shall be eligible for annual election to these offices without a yearly limitation.

Section 3. In the event of a vacancy in an Officer position, the Board shall elect a successor from among its members to serve the remainder of the vacant term.

Article IV. The President and Presidential Duties

Section 1. On the basis of mutual good faith and any contractual relationship pointing to continuous service, the President of the University shall be elected from year to year subject to the terms of his or her employment agreement.

Section 2. The President shall attend all meetings of the Board and shall, in an advisory capacity, have a voice in its deliberations. He or she shall have the authority to initiate any subject at Board meetings.

Section 3. The President shall be responsible to the Board for the administration and discipline of the University.

Article V. Meetings

Section 1. Regular Meetings. The Board shall hold no fewer than five (5) regular meetings a year, with the date and time fixed in accordance with the provisions of Article II. Section 2.
Section 2. Special and Emergency Meetings. Special and emergency meetings may be held upon the call of the Chair or upon the written request of three (3) Board members to the Secretary.

Section 3. Notice of Meetings. The Secretary shall notify all Board members and the President at least five days in advance of all regular and special meetings and at least one day in advance of all emergency meetings. Public notice of all meetings shall be given in accordance with the requirements of Revised Code Section 121.22. Any person may determine the time and place of all regularly scheduled meetings and the time, place, and purpose of all special meetings by contacting University Communications and Marketing or on the internet at http://www.ohio.edu/trustees/.

Section 4. Attendance. It shall be the policy of the Board to require full attendance at all meetings of the Board and committees in accordance with Revised Code Section 3.17. Excuses for absence from meetings shall be communicated to the Secretary at least two (2) days before meetings. Persistent unreasonable absences in violation of Ohio law shall be cause, at the pleasure of the Chair, for reporting such delinquency to the appropriate authority of the State of Ohio.

Section 5. Quorum and Voting. Five Trustees appointed by the Governor, (the “Voting Trustees”) shall constitute a quorum for the conduct of the ordinary business of the Board. An affirmative vote of six Voting Trustees shall be necessary to elect or remove a President and an affirmative vote of five Voting Trustees shall be necessary to adopt any other resolution or action of the Board.

Section 6. Agenda. The Secretary shall consult with the chairs of the Standing Committees and then prepare a proposed agenda for each Regular Meeting. The proposed agenda shall be delivered to the President for his or her review and then to the Chair of the Board for final approval.

**Article VI. Standing and Special Committees**

Section 1. Standing Committees of the Board, consisting of no fewer than three (3) members each, shall be appointed annually or for longer terms by the Chair of the Board,
and each Standing Committee shall consider and make recommendations for action by the Board on the various policy matters enumerated below as follows:

1) Academics and Student Success
   a. Responsibilities will include the academic plan; enrollment management; student affairs and life; intercollegiate athletics; diversity; research and technology transfer policies and activities; information technology; communications and marketing; academic appointments; promotion and tenure policies and procedures; academic program reviews; and awarding of degrees. Responsibilities also include providing oversight for educational quality and engagement, student success by reviewing programs, accreditation, and efforts to create a culture of continuous improvement in student outcomes. The Committee will also review metrics for and evidence of quality, success, and affordability to inform Board decisions regarding governance, policy and strategy.

2) Audit and Risk Management
   a. Responsibilities will include the oversight of the internal audit functions, annual or other periodic audits of financial operations, the recommendation of the appointment of an external audit firm to the Board of Trustees, the receipt of the reports of the internal auditor and the external audit firm, and the university’s accountability and compliance procedures. Responsibilities also include assessing and reporting to the Board of Trustees respecting enterprise risks relating to the long-term fulfillment of the University’s teaching, research, and service mission, including but not limited to risks regarding safety, preservation of property, University and Board policies, fiscal integrity, strategic plans, and the necessary and proper conduct of the University’s business and affairs.

3) Governance and Compensation
   a. Responsibilities will include the recommendation of general governance policies and procedures, the nomination of Board officers and recommendation of candidates for future trustees and national trustees. At the last meeting in each fiscal year, the Committee shall review these Bylaws to determine whether any changes are appropriate and shall recommend any such changes to the Board of Trustees. Responsibilities also include assisting and making recommendations to the Board of Trustees respecting human resources and executive compensation programs and strategy for senior leadership positions. The Committee will also report to the Board respecting the effectiveness of
executive compensation, benefits, performance management; and the Committee may further report to the Board on these issues as they relate to other University compensation and human resources plans. The Committee is also responsible for the oversight of Affiliated Entities.

4) Resources, Facilities and Affordability
   a. Responsibilities will include financial operations; business, organization and practices; university advancement; relations with local, state, and federal legislative and administrative agencies; recommending of the schedule of tuition and fees; borrowing of funds (internal and external); naming, location, planning, construction, and maintenance and renovation of University facilities and grounds; the purchase, sale and lease of lands and buildings; reviewing and monitoring of all investments including the endowment; contract oversight on public utilities and other large contracts; and recommending of investment policy, advising the Board on investments and appointment of investment advisors to ensure compliance with Revised Code Section 3345.05. Responsibilities also include monitoring tuition, state appropriations, endowments, and annual giving; and overseeing efforts to maintain the University’s fiscal strength in order to improve student affordability and the quality of education.

Section 2. The Executive Committee shall be made up of the Chair and Vice Chair of the Board of Trustees and the Chairs of University Academics and Student Success, and the University Resources, Facilities, and Affordability Committees and have broad powers to act in all matters not deemed by the Chair of the Board and the President of the University as of importance to command the immediate attention of the entire Board. All actions of the Executive Committee shall be subject to approval by the Board, except those wherein the Board has delegated to the Executive Committee or the President full power to act for the Board.

Section 3. Special committees may be appointed by the Chair of the Board as the Board may deem necessary.

Section 4. The Chair of the Board and the President shall be ex-officio non-voting members of all Standing Committees and Special Committees.

Article VII. Parliamentary Authority
Section 1. When not in conflict with any of the provisions of these Bylaws, the Robert’s Rules of Order Newly Revised shall govern the proceedings of the Board.
Academics and Student Success Committee Meeting
Interoffice Communication

Date:    July 31, 2020

To:    The President and Board of Trustees

From:    Elizabeth Sayrs, Executive Vice President and Provost
            Brad Cohen, Chief Strategy & Innovation Officer
            Nicole Pennington, Executive Dean for Regional Campuses & Lifelong Learning

Re:    One OHIO Update

The One OHIO initiative has made ongoing progress towards the originally established goals of maximizing efficiency across the entire OHIO operation and unifying our community by extending our full university mission across a dynamic engagement ecosystem.

This presentation will provide an overview of the progress that has been made in aligning academic and administrative functions and achieving operational efficiencies. Attached is a PowerPoint presentation highlighting the progress of this university-wide initiative including:

- The alignment of RHE faculty to their respective departments
- The alignment of administrative operations
- The identification of proposed programs for enrollment growth
- Operational efficiencies that have been achieved
August 17, 2020

One OHIO Update

Tab #; pg
One OHIO Goals

• **Maximize efficiency** across the entire OHIO operation.

• **Enable generation of new revenue streams** through curricular innovation.

• **Unite our community** in extending our full university mission across a dynamic engagement ecosystem.
Goal 1: Maximize Efficiency

• Optimized course scheduling across campuses (Targeted Spring 2021, but COVID may delay full realization of savings)

• Administrative Efficiencies
  • Original Goal: $250,000
  • Realized to date: Approximately $1,400,000, recurring
    • RHE leadership restructure
    • RHE IT reorganization
    • RHE marketing and external relations alignment with UCM
    • RHE libraries alignment with University Libraries
    • RHE utilities processing and tracking
    • RHE OULN, telephone services, and elevator maintenance
    • (with more to come: RHE facilities phase 1 reorganization, additional administrative restructuring, Real Estate Asset Monetization)
Goal 2: Generate Revenue Streams

- Program Expansion:
  - Nursing (cohort in fall)
  - BSAM redesigned as BS in Business, which will be AACSB accredited and allow for a minor and specialized certificates

- New Program Development: recommended areas under review by SEEC for prioritization
  - Health & Wellness Programs
  - Digital and Data Focused Programs
  - Energy, Environmental, and Community Sustainable Programs

- COVID challenges for enrollment growth
Goal 3: Unite Our Community

- Full academic alignment of faculty to departments: Complete
- Administrative units aligned to departments
  - IT: Complete
  - Facilities: Complete
  - Marketing/Communications: Complete
  - Enrollment/Student Support Services: In Progress
  - Business Operations: Fall 2020
- Increased focus on community relations and engagement ecosystem
Next Steps

- Continue "new normal" operations
- Implement prioritized recommendations
- Develop and submit final One OHIO report to executive leadership
- Present final report to BoT to close initiative
Questions
Interoffice Communication

Date: July 31, 2020

To: The President and Board of Trustees

From: Elizabeth Sayrs, Executive Vice President & Provost
Loralyn Taylor, Associate Provost for Institutional Research & Effectiveness and Higher Learning Commission Accreditation Liaison Officer

Re: Program Accreditation Updates 2019 – 2020

Ten programmatic accreditations were updated during the 2019 – 2020 academic year. The programmatic accreditation updates are listed below:

<table>
<thead>
<tr>
<th>Programmatic Accrerdor</th>
<th>Program(s) Accredited</th>
<th>Updated Status</th>
<th>College</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. American Chemical Society</td>
<td>Bachelor of Science in Chemistry</td>
<td>Review postponed until 2021 due to COVID-19</td>
<td>College of Arts &amp; Sciences</td>
</tr>
<tr>
<td>2. Commission on Sport Management Accreditation</td>
<td>Bachelor of Sports Management, Master of Sports Management, Online Master of Athletic Administration and Professional Master of Sports Administration</td>
<td>Submitted rejoinder addressing action items in August 2019. Received deferral letter and are on track to submit a response to the deferral in August 2020</td>
<td>College of Business</td>
</tr>
<tr>
<td>4. Accreditation Board for Engineering &amp; Technology, Inc.</td>
<td>Bachelor of Science in Energy Engineering</td>
<td>Program was accredited to 9/30/23</td>
<td>Russ College of Engineering &amp; Technology</td>
</tr>
<tr>
<td>5. Accreditation Board for Engineering &amp; Technology Inc.</td>
<td>Bachelor of Science in Computer Science</td>
<td>Visit occurred in fall 2019. Findings anticipated on October 1, 2020.</td>
<td>Russ College of Engineering &amp; Technology</td>
</tr>
<tr>
<td>No.</td>
<td>Description</td>
<td>Accreditation</td>
<td>Status</td>
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<tr>
<td>7.</td>
<td>Commission on Accreditation in Physical Therapy Education</td>
<td>Doctor of Physical Therapy</td>
<td>Summary of Action received May 2020 with full accreditation status granted.</td>
</tr>
<tr>
<td>8.</td>
<td>Council on Academic Accreditation in Audiology and Speech-Language Pathology (American Speech-Language &amp; Hearing Association)</td>
<td>Master of Arts in Speech-Language Pathology</td>
<td>Results of visit received August 2019. Program is in full compliance.</td>
</tr>
<tr>
<td>9.</td>
<td>Academy of Nutrition and Dietetics/Accreditation Council for Education in Nutrition and Dietetics</td>
<td>Combined Master of Science and Diabetic Internship</td>
<td>Accreditation extended by one year, and delayed site visit by one year, due to COVID-19</td>
</tr>
<tr>
<td>10.</td>
<td>Council on Academic Accreditation in Audiology and Speech-Language Pathology (American Speech-Language &amp; Hearing Association)</td>
<td>Doctor of Audiology</td>
<td>Results of visit received August 2019. Program is in full compliance.</td>
</tr>
</tbody>
</table>
Interoffice Communication

Date: July 31, 2020

To: The President and Board of Trustees

From: Elizabeth Sayrs, Executive Vice President and Provost
       Loralyn Taylor, Associate Provost for Institutional Research and Effectiveness and Higher Learning Commission Accreditation Liaison Officer

Re: Institutional Accreditation Update August 2020

Last summer, OHIO’s Regional Accréditor phased out the AQIP Accreditation Pathway and placed OHIO on the Open Pathway. Planning is underway for the next reaffirmation of accreditation, which will involve an Assurance Argument (Self-Study), a Federal Compliance review, and a visit of the Higher Learning Commission, which is currently scheduled for the Fall of 2024.

In addition, on September 1, 2020, new Criteria for Accreditation will be in force. This presentation will provide an update on our reaffirmation visit planning including:

- Progress on items discussed with the Board last August including the new non-academic program review process—ASSUR—Administrative and Student Support Unit Review.
- Other Institutional Effectiveness projects, such as our participation in the new University Benchmark Project.
- Planning for the next reaffirmation of accreditation.
- An introduction to the new Criteria for Accreditation to ensure that the Board is familiar with the Criteria prior to the next Higher Learning Commission visit.
- A review of Criteria 5: Institutional Effectiveness, Resources and Planning and its Core Components.
REVISED STUDENT CODE OF CONDUCT
RESOLUTION 2020 -

WHEREAS, Ohio University holds as its central purpose the intellectual and personal development of its students, and

WHEREAS, Ohio University has expectations for the behavior of its students, both on and off campus, and

WHEREAS, those expectations are articulated through the Student Code of Conduct, and

WHEREAS, the Student Code of Conduct must be formally reviewed every two years or as administrative need arises, and the Board of Trustees approved the current version, which became effective in August 2019, by Resolution 2019-3768, and

WHEREAS, the Review and Standards Committee, the university committee charged with reviewing and making recommended changes to the Code, along with the Office of Community Standards and Student Responsibility, the office charged with implementing the Code, researched, benchmarked, and proposed revisions to the Code, and

WHEREAS, Student Senate, Graduate Student Senate, and Faculty Senate were each given the opportunity to provide feedback and the proposed revisions to the Student Code of Conduct was open for public comment on the web, and

WHEREAS, the proposed revisions to the Student Code of Conduct have been approved by the Review and Standards Committee, and

WHEREAS, the President recommends approval of the proposed revisions to the Student Code of Conduct to the Board of Trustees.

NOW THEREFORE, BE IT RESOLVED that the Board of Trustees approves the proposed revisions to the Student Code of Conduct, attached hereto as Exhibit A, which will go into effect on August 18, 2020.
Interoffice Communication

Date: July 31, 2020

To: The President and Board of Trustees

From: Jason B. Pina, Vice President for Student Affairs

Re: Revisions to the Student Code of Conduct

On June 18, 2019, the Board of Trustees approved a revision of the Student Code of Conduct that went into effect on August 22, 2019. Due to the changing Title IX regulations from the United States Department of Education, University Policy 03.004 and the related processes for addressing sexual misconduct are currently being revised. As a result of this revision process, there is a need to make mainly administrative edits to the Code of Conduct.

Changes include:

- Updated definitions for sexual misconduct violations.
- An expanded scope to clarify the difference between the sexual misconduct process and the community standards process.
- Minor edits to reflect a name change to the sexual misconduct policy and process.

Please find attached a resolution for approval, resolution attachment Exhibit A - Student Code of Conduct, presentation slides outlining the need for the quick revision in order to be compliant with the specific changes proposed, as well as a red-lined version highlighting the proposed changes to the Code.

I look forward to reviewing this information and answering any questions at the August board meeting.
EXHIBIT A

Ohio University
Student Code of Conduct
Effective August 18, 2020

Table of Contents

Part A: Mission of the Student Code of Conduct
Part B: Scope of the Code
Part C: Definition of Terms
Part D: Prohibited Conduct
Part E: Community Standards Process
Part F: Sanctions & Conditions of Sanction
Part G: Student Rights & Responsibilities
Part H: Appeal Process
Part I: Interim Measures, Presidential Interim Suspension, and State of Emergency
Part J: “Student Code of Conduct” Review Process
Part K: Acknowledgements

Appendix

Appendix A: Ohio Revised Code Section 3333.38 (House Bill 95)
Appendix B: Sexual Harassment and Other Sexual Misconduct Process
Appendix C: Ohio University Policy 03.004: Sexual Harassment and Other Sexual Misconduct
**Part A: Mission**
Ohio university, including its regional campuses and online programs, holds as its central purpose the intellectual and personal development of its students. Distinguished by its rich history, diverse campus, international community, and beautiful Appalachian setting, Ohio university is also known as well for its outstanding faculty of accomplished teachers whose research and creative activity advance knowledge across many disciplines. It is this purpose that provides the aspirational basis for the “Student Code of Conduct”.

As we like to remind students, they are Bobcats no matter where they are. This means that each member of the OHIO community is responsible for their behavior, both on and off campus. When occasions arise where members of the community engage in behavior that violates the prohibited conduct listed in Part D, the process described within the Code of Conduct will be initiated. This process exists to protect the interest of the community and to challenge those whose behavior is not in accordance with our expectations of members of the Ohio university community. The university fully recognizes the right of all students to seek knowledge, debate, and freely express their ideas. Discourse and disagreement are fundamental components of any academic endeavor, and students will not be subject to disciplinary action for their lawful expression of ideas.

Sanctions implemented through the process are designed to give students the opportunity to reflect on their choices, challenge their ethical decision-making, and aid them in adjusting their behavior to become congruent with our community expectations. Being a member of the Ohio university community is a privilege, and the community standards process will determine if a student’s conduct warrants that they should no longer share in that privilege.

**Part B: Scope of the Code**
The “Student Code of Conduct” applies to the conduct of individual students, student organizations and student groups, both undergraduate and graduate, including students enrolled in the heritage college of osteopathic medicine, at regional and extension campuses, through the college credit plus program, and in distance education programs, such as e-learning. The right of all students to seek knowledge, debate and freely express their ideas is fully recognized by the university. The prohibited conduct described in Part D applies to student behavior and will not be used to impose discipline for the lawful expression of ideas.

The “Student Code of Conduct” applies to all students, student organizations and student groups, whether the alleged behavior occurred on or off campus. Further, the “Student Code of Conduct” may also be applied to behavior conducted online, via e-mail or through other electronic mediums. Ohio university does not regularly search for this information, but may take action if such information is brought to the attention of University officials.

Sexual harassment and misconduct, as defined by University Policy 03.004 (See Appendix C) and prohibited by the Student Code of Conduct, will be addressed through the Sexual Harassment and Other Sexual Misconduct Process (See Appendix B). Allegations of other conduct prohibited by the Student Code of Conduct may be investigated and adjudicated through the Sexual Harassment and Other Sexual Misconduct Process in conjunction with sexual harassment or sexual misconduct allegations.
The processes described in the code of conduct may be initiated when a student is charged with conduct that potentially violates both civil/criminal law and University policy, without regard to pending litigation in court or criminal arrest and prosecution. This includes instances where a current student is charged with serious violations of civil or criminal law, regardless of when that violation occurred. The community standards process may be carried out prior to, simultaneously with, or following criminal proceedings off campus. Determinations made and sanctions imposed under the “Student Code of Conduct” will not be subject to change because criminal charges arising out of the same facts were dismissed, reduced, or resolved in favor of or against the criminal law defendant.

Part C: Definition of Terms
The below definitions apply to the community standards process. For definitions and other information related to the “Sexual Harassment and Other Sexual Misconduct Process”, please see the Appendix B.

1. Advisor – An Ohio university employee or student selected by the complainant or respondent to assist them throughout the community standards process. This advisor may advise and assist the complainant or respondent in the preparation for any meetings or hearings that may occur; accompany the complainant or respondent through all community standards process meetings and hearing; and to actively participate in the proceedings to the extent desired by the complainant or respondent. Advisors may not be witnesses in the matter at hand, and they may not provide direct information or testimony at any point in the process. Advisors are not provided as part of the community standards process, and complainants and respondents wishing to use an advisor are responsible for obtaining one.

2. Campus – Property or buildings owned, leased, operated, controlled or managed by Ohio University, including all regional campuses, regardless of their location.

3. Complainant – Person who was subject to alleged misconduct as described in the “Student Code of Conduct”. There may be more than one complainant for an incident.

4. Hearing Authority – Any person, or persons, authorized by the director of the office of community standards and student responsibility, or designee to make findings as to whether a student has violated the prohibited conduct part of the “Student Code of Conduct”. This includes but is not limited to housing and residence life staff, office of community standards and student responsibility staff, and members of the university hearing board. The director of the office of equity and civil rights compliance authorizes individuals to serve as a hearing authority to make determinations in the sexual harassment and other sexual misconduct process.

5. Hearing Board Advisor – A staff member from the office of community standards and student responsibility designated to advise members of the university hearing board during university hearing board hearings.

6. Hearing Board Chair – A member of the university hearing board who has been designated by the hearing board advisor to serve as the chairperson for a university hearing board hearing.

7. Hearing Officer – A hearing authority who has been designated to preside over an administrative hearing and make findings as to whether a student has violated the prohibited conduct part of the “Student Code of Conduct”.
8. **Members of the University Community** – Refers, collectively and individually, to faculty, staff, students, trustees, volunteers (e.g., advisors), organizations, groups, alumni, and contractors of the university or any of its campuses, facilities, programs, or events.

9. **Preponderance of Evidence** – Standard of proof used by the hearing authority. Preponderance of evidence means that the statements and information presented in the matter must indicate to a reasonable person that it is more likely than not that the respondent committed a violation.

10. **Referral** – Document which contains the basis for the alleged violation of the prohibited conduct part of the “Student Code of Conduct”, including but not limited to police reports or incident reports submitted to the office of community standards and student responsibility.

11. **Referring Party** – Person or office which reported the alleged violation of the prohibited conduct part of the “Student Code of Conduct” to the office of community standards and student responsibility.

12. **Respondent** – Student, student group, or student organization whom it is alleged committed a violation or violations of the “Student Code of Conduct”. There may be more than one respondent for an incident. In the cases of student groups or student organizations, the president, director, or other member of leadership will participate in the student conduct process on behalf of the group or organization.

13. **Student** – Any person from the time they are admitted to Ohio university up through the date of graduation. This includes, but is not limited to, new students at orientation, persons not currently enrolled but who are still seeking a degree from Ohio university, persons currently under suspension, and any other person enrolled in a credit earning course offered by Ohio university, including students enrolled in the college credit plus program. For purposes of exercising jurisdiction for university discipline, it also includes any person who has graduated from Ohio university if the university determines that his/her graduation or receipt of credit may have involved misconduct while he/she was working toward a degree as well as currently enrolled students who have been charged with serious violations of civil or criminal law, regardless of when that violation occurred.

14. **Student Groups** - A number of persons who are associated with the university and each other, but who have not registered, or are not required to register, as a student organization (included but not limited to athletic teams, musical or theatrical ensembles, academic or administrative units, clubs not registered as a student organization).

15. **Student Organization** - A number of persons who are associated with one another and have registered with the university as a student organization, including but not limited to clubs, club sports, or fraternities and sororities.

16. **Support Person** – Any person chosen by either the complainant or the respondent to provide support to them throughout their interactions with CSSR. This person does not actively participate in the process, but can be present at meetings and hearings to provide support to the complainant or respondent. The support person cannot be a witness in the matter in question.

17. **University** – Ohio university, including all of its regional campuses and online programs.
18. **University Appeal Board**—A panel of three individuals selected to review appeals as described in Part 8. The panel will be made up of three individuals from the university hearing board: either one faculty member, one staff member, and one student or one faculty or staff member, and two students.

19. **University Hearing Board**—A panel of three individuals who are responsible for hearing matters involving alleged violations of the prohibited conduct part of the “Student Code of Conduct” when the director, associate director, assistant director, or hearing officer in CSSR has determined that suspension or expulsion may be appropriate sanctions if the respondent is found responsible for the charge(s). A hearing board is composed of two students and one faculty or staff member.

20. **University Official**—Any person employed by the university (including but not limited to faculty, staff, administration, and student staff members) acting within the scope of their job duties.

21. **Witness**—Person who is requested to participate in an investigation or a hearing because they may have information about the alleged misconduct. Witness names may be supplied by the complainant, respondent, or others with knowledge of the matter. All witnesses requested to appear at a hearing by CSSR will be considered university witnesses.

**Part D: Prohibited Conduct**

The university considers the behavior described in the following sub-parts as inappropriate for members of the Ohio university community. These rules apply to all Ohio university students, student groups, and student organizations for behavior that occurs both on and off campus. The right of all students to seek knowledge, debate and freely express their ideas is fully recognized by the university.

1. **Academic Misconduct**
   a. Plagiarism: Using another’s work, in whole or in part, without acknowledging the source and presenting that material as one’s own academic work. This includes, but is not limited to:
   i. Reproducing another person’s work, whether published or unpublished (this also includes using materials from companies that sell research papers)
   ii. Using another’s ideas or written words without properly acknowledging the source:
   iii. Quoting a source word for word without providing quotation marks and citations.
   iv. Copying words or ideas from a source without appropriate citations.
   v. Submitting work that is substantially identical to another source in content and/or organization without appropriately citing the source.
   vi. Using other intellectual property in a work without appropriate citations, permissions, or rights (when applicable). Intellectual property includes but is not limited to images, videos, software programs, computer code, or pieces of music.
   b. Cheating: Any attempt by a student to answer questions on a test, quiz, or assignment by means other than his or her own knowledge, without authorization from the instructor resulting in unfair academic advantage. This includes, but is not limited to:
i. Using the textbook or other materials, such as a notebook, not authorized for use during an examination.

ii. Using technology (i.e. cell phones, laptop computers, social media, text messages, etc.) to aid in the completion of work when not permitted to do so.

iii. Observing or copying the work of another student or allowing another student to plagiarize, copy, or observe one’s own work.

iv. Using unauthorized material during a test, notes, formula lists, notes written on clothing, etc.

v. Taking a quiz, exam, or similar evaluation in place of another person.

vi. Providing or requesting assistance from another person in a manner prohibited by the instructor.

vii. Using a laboratory, computer, or calculator inappropriately or without authorization.

viii. Changing material on a graded exam and then requesting a re-grading of the exam.

ix. Acquiring unauthorized knowledge of an examination or any part of an examination.

x. Signing in any person other than one’s self for class attendance.

c. Multiple Submissions: Submitting the same academic work for credit more than once unless specifically authorized by the instructor of record.

d. Fabrication or Falsification: Knowingly using and/or presenting invented or fabricated information, falsified research, or other findings.

e. Misrepresentation: Misrepresenting facts in an attempt to gain an academic advantage. This includes, but is not limited to:

   i. Providing false or misleading information in an attempt to receive an extension or postponement of a test, quiz, or other assignment.

   ii. Providing false or misleading information in an attempt to receive a re-take of an exam or a re-submission of an assignment.

   iii. Providing false or misleading information as part of an academic probation/dismissal appeal process.

Please note: An instructor may impose a grade penalty for academic misconduct and/or file a disciplinary referral.

2. Possessing/Providing False and Misleading Information

a. Furnishing false information to university officials or law enforcement officers acting within the scope of their job duties.

b. Forgery, alteration, or misuse of university documents and/or records.

c. Possession, use and/or attempted use of false identification.

d. Manufacture, distribution, or sale of false identification.

e. Transferring, lending, borrowing or altering university identification.

3. Alcoholic Beverages Violation
a. Use and/or possession of alcoholic beverages except as expressly permitted by law and University Policy 24.001. This includes, but is not limited to, the underage possession or consumption of alcohol.

b. Selling, distributing, or furnishing of alcoholic beverages except as expressly permitted by law and University Policy 24.001. This includes, but is not limited to providing alcohol to a person under the legal drinking age.

c. Furnishing or causing to be furnished any alcoholic beverage to any person in a state of noticeable intoxication.

d. Misconduct under the influence of alcohol including but not limited to operating a vehicle under the influence, disorderly conduct by intoxication, and public intoxication.

e. Failure of a student organization to take all necessary steps to ensure that no person under the legal drinking age possesses alcoholic beverages at functions it sponsors or within any property or transportation it owns, operates, and/or rents.

4. Controlled Substance and/or Drug Violation

a. Use and/or possession of marijuana or substances derived from marijuana, except as expressly permitted by law.

b. Use and/or possession of other controlled substances except as expressly permitted by law.

c. Use and/or possession of drug related paraphernalia except as expressly permitted by law.

d. The abuse, misuse, sale, or distribution of prescription or over the counter medication.

e. Manufacture, distribution, sale and/or offer for sale of any controlled substance or drug, except as expressly permitted by law.

f. Intentionally or recklessly inhaling or ingesting substances (e.g. nitrous oxide, glue, paint, etc.) that will alter a person’s state of mind.

g. Misconduct under the influence of a controlled substance, including but not limited to operating a vehicle under the influence, disorderly conduct by intoxication, and public intoxication.

5. Disruptive Conduct

a. Conduct that substantially and materially disrupts or interferes with university operations including but not limited to teaching, research and/or administrative activities which occur on or off campus.
b. Causing, inciting, or participating in any disturbance that presents a clear and present danger to others, causes physical harm to others, or damage and/or destruction of property, including but not limited to participating in or inciting a riot.

c. Failure to comply with lawful orders of university officials or law enforcement officers acting within the scope of their job duties.

d. An act which deliberately interferes with the freedom of speech of any member or guest of the university community.

e. Misuse and/or tampering with any university safety equipment including but not limited to firefighting equipment, fire alarms, smoke detectors, blue light phones, etc.

f. Public Urination

g. Election Tampering: Tampering with the election of any Ohio university recognized student organization, including the student senate.

6. Harmful Behavior

a. Physical harm or threat of physical harm to any person.

b. Harassment: Unwelcome conduct (including written or electronic communication) that is so severe, pervasive, and objectively offensive that it substantially interferes with the ability of a person to work, learn, live or participate in, or benefit from the services, activities, or privileges provided by the university. In no event shall this provision be used to discipline a student for speech protected by the first amendment of the United States.

c. Discrimination: Unwelcome conduct (including written or electronic communication) against another individual that is based upon an individual protected category (as defined in Ohio University Policy 40.001) that is so severe, pervasive, and objectively offensive that it substantially interferes with the ability of a person to work, learn, live or participate in, or benefit from services, activities, or privileges provided by the university. In no event shall this provision be used to discipline a student for speech protected by the first amendment of the United States.

d. Reckless but not accidental action that poses a reasonable risk of physical harm to others.
e. **Stalking:** (For stalking based on sex or gender, please see Section D.7.h., below.) A course of conduct directed at a specific person that would cause a reasonable person to fear for his or her safety or the safety of others; or that would cause a reasonable person to suffer substantial emotional distress. A "reasonable person" is a person under similar circumstances or similarly situated to the victim. "Substantial emotional distress" is significant mental suffering or anguish that may, but does not necessarily require, medical or other professional treatment or counseling. Stalking requires two or more acts in which the stalker directly, indirectly, or through third parties, by any action, method, device, or means engages in the following behaviors: follows, monitors, observes, surveils, threatens, or communicates to or about a person; or interferes with a person's property. The following are examples of behaviors that could constitute stalking: sending unwanted emails, texts, letters, or gifts; asking someone or someone's acquaintances where they are or where they are going; repeatedly calling someone on the phone; using the internet to threaten someone; appearing at someone’s class or workplace without a legitimate reason.

7. **Sexual Harassment and Other Sexual Misconduct**

Charges under this section of the student code of conduct will be addressed through the sexual harassment and other sexual misconduct process, which may be found in Appendix B.

a. **Sexual Harassment by Quid Pro Quo:** See University Policy 03.004: Sexual Harassment and Other Sexual Misconduct. (Appendix C)

b. **Sexual Harassment under Title IX:** See University Policy 03.004: Sexual Harassment and Other Sexual Misconduct. (Appendix C)

c. **Non-Consensual Sexual Intercourse:** See University Policy 03.004: Sexual Harassment and Other Sexual Misconduct. (Appendix C)

d. **Non-Consensual Sexual Contact:** See University Policy 03.004: Sexual Harassment and Other Sexual Misconduct. (Appendix C)

e. **Sexual Exploitation:** See University Policy 03.004: Sexual Harassment and Other Sexual Misconduct. (Appendix C)

f. **Domestic Violence:** See University Policy 03.004: Sexual Harassment and Other Sexual Misconduct. (Appendix C)

g. **Dating Violence:** See University Policy 03.004: Sexual Harassment and Other Sexual Misconduct. (Appendix C)

h. **Stalking:** See University Policy 03.004: Sexual Harassment and Other Sexual Misconduct. (Appendix C)

i. **Sexual Harassment under University Policy:** See University Policy 03.004: Sexual Harassment and Other Sexual Misconduct (Appendix C)
j. Retaliation: See University Policy 03.004: Sexual Harassment and Other Sexual Misconduct. (Appendix C)

8. Hazing

a. Recklessly or intentionally endangering the mental, emotional, or physical health and/or safety of a student: See University Policy 23.010: Hazing.


c. Coerced consumption: See University Policy 23.010: Hazing.


e. Coerced activities: See University Policy 23.010: Hazing.

f. Acts of sexual harassment or other sexual misconduct as defined by University Policy 03.004.

9. Theft

a. Taking without consent the property or services of the university, another person, business, or organization.

b. Possessing property that can reasonably be determined to have been stolen from the university, another person, business, or organization.

10. Damage to Property

a. Vandalism, destruction, or damage to public or private property.

b. Reckless but not accidental action that poses a reasonable risk of damage or destruction of public or private property.

11. Use or Possession of Weapons and/or Dangerous Materials

a. Possession, storage or use of weapons including but not limited to firearms, compressed-air guns, pellet guns, etc. on university owned or affiliated property except as expressly permitted by law.

b. Possession, storage or use of dangerous materials including but not limited to fireworks, explosives or chemicals which are corrosive or explosive on university owned or affiliated property except as expressly permitted by law.

c. Any object not mentioned above used to intimidate, threaten, harm, and/or provide force can be considered a weapon under this provision.

d. Use of a weapon to intimidate, threaten, or harm another person.
12. Misuse of Keys and/or Access Device
   a. Unauthorized use, distribution, duplication, or possession of any key or other access
      device issued for any campus building, structure, room or facility.

13. Misuse of the University Disciplinary Processes
   a. Falsification, distortion, or misrepresentation of information during the community
      standards process or sexual harassment and other sexual misconduct process,
      including filing a false complaint against another person.
   b. Hindering or interfering with the community standards process by failing to abide by the
      notice from a university official to appear for a student conduct meeting or hearing.
   c. Intimidation or retaliation in response to an individual’s participation in, or use of, the
      community standards process.

14. Trespass or Misuse of Facilities
   a. Misuse or unauthorized use of any facility.
   b. Unauthorized entry or attempted entry into any facility.

15. Violation of Ohio University Student Housing Handbook
   a. Violation of any published housing and residence life policy, rule and/or regulation,
      including the housing contract.

16. Violation of University Policy
   a. Violation of any university policy and/or procedure of Ohio university.

17. Violation of Law
   a. Conduct that would constitute a violation of any local, state, and/or federal law, including
      violation of the Code of Ordinances of the city of Athens.

Part E: Community Standards Process
The following processes apply to those involved in matters being addressed by the community
standards process. The process for addressing allegations of sexual harassment and other
sexual misconduct may be found in Appendix B.
1. **Referrals** – Members of the Ohio university community may file a student conduct referral alleging that a student, student group, or student organization has violated the prohibited conduct outlined in the “Student Code of Conduct”. The office of community standards and student responsibility (CSSR) may also receive referrals from external entities, including law enforcement and members of the general public. Upon receipt of a referral, the director of the office of community standards and student responsibility, or designee, may review all relevant information and may consult with any appropriate parties regarding the incident in question. It is the responsibility of the director of the office of community standards and student responsibility (CSSR) to determine the appropriateness of the referral as well as how the referral will be processed. Upon receipt of a referral and if appropriate, interim measures (including presidential interim suspension), may be implemented as outlined in Part I.

2. **Hearing Authorities** – Hearing authorities are responsible for processing cases involving alleged violations of prohibited conduct as described in the “Student Code of Conduct”. The director of CSSR (or designee) is responsible for determining the appropriate hearing authority for each matter. The following are hearing authorities:

   a. **Hearing Officer** – Appropriate staff members from the office of community standards and student responsibility and housing and residence life as determined by the director of CSSR (or designee) are responsible for hearing matters involving alleged violations of the prohibited conduct part of the “Student Code of Conduct” and serve to advise both the complainant and the respondent regarding procedures related to the “Student Code of Conduct”. They have the authority to conduct community standards conferences, to serve as a hearing officer in an administrative hearing and to serve as an advisor to the university hearing board and the university appeal board. They have the authority to impose a full range of sanctions under the “Student Code of Conduct”, up to and including disciplinary suspension and disciplinary expulsion.

   b. **University Hearing Board** – The university hearing board (UHB) is responsible for hearing matters involving alleged violations of the prohibited conduct part of the “Student Code of Conduct” when an appropriate CSSR staff member has determined that disciplinary suspension or disciplinary expulsion may be appropriate sanctions if the respondent is found responsible for the charge(s). A hearing board is composed of two students and one faculty member or administrator. The UHB is advised by a CSSR staff member and does not directly impose sanctions under the “Student Code of Conduct”. In instances where the respondent is found in violation, the UHB will make a recommendation regarding sanctions to the dean of students or designee, who will then impose any sanctions.

3. **Community Standards Conference**

   Any student, student group, or student organization (hereinafter referred to as the respondent) who has been charged with an alleged violation of the prohibited conduct part of the “Student Code of Conduct” will first be scheduled for a community standards conference with the hearing authority assigned to review the allegation. The respondent will be notified in writing of the date, time, and location of the community standards conference. Written notification will include:

   a. the specific charges pending against the respondent;

   b. a brief summary of the referral;

   c. statement of rights and responsibilities; and
d. if applicable, a statement notifying the respondent that the alleged conduct is significant enough that they may face suspension or expulsion if the charge is substantiated.

The community standards conference is the first step in the community standards process, and serves to provide the respondent with the opportunity to discuss the allegations that led to the referral. The respondent will receive more information regarding the community standards process, clarification of their rights and options, the ability to inspect and review all relevant information as well as a range of potential sanctions for the violation in question should the charges be substantiated. After a discussion regarding the incident and review of relevant information, the respondent will have an opportunity to accept or deny responsibility for the charge(s). If the respondent accepts responsibility for the charge(s), the hearing authority will sanction the respondent as part of the community standards conference.

If the respondent denies responsibility for one or more of the charges, the hearing authority will proceed to schedule a hearing for the student. If the hearing authority has determined that should the charge(s) be substantiated, disciplinary suspension or disciplinary expulsion are not appropriate sanctions, the respondent will be scheduled for an administrative hearing. If the hearing authority determines that disciplinary suspension or disciplinary expulsion are potential sanctions, the respondent may choose either an administrative hearing or a university hearing board.

The respondent must attend the community standards conference. If the respondent fails to appear for two scheduled community standards conferences and the absence is not excused, the conference will proceed in their absence. In these instances, if the hearing authority has determined that disciplinary suspension or disciplinary expulsion are not potential sanctions should the charge(s) be substantiated, the matter will be resolved in the community standards conference based on an evaluation of the evidence available to the hearing authority at the time of the scheduled conference. If the hearing authority determines that disciplinary suspension or disciplinary expulsion are potential sanctions should the charge(s) be substantiated, the respondent may be scheduled for an administrative hearing and sent notification of that hearing. Alternatively, a hold may be placed against the student’s registration until such time as the community standards process is complete. If a hearing is scheduled, the date for a hearing will be determined within a reasonable period of time following the community standards conference. Hearing notification shall include:

a. the date, time, and location of the hearing;
b. the specific charges against the respondent;
c. a statement of rights and responsibilities; and
d. in instances of administrative hearings only, the name of the hearing officer.

4. Hearings

The purpose of a hearing is to provide an equitable forum for the review of the available information regarding an alleged incident of misconduct. The hearing authority will decide by the preponderance of evidence whether or not the respondent is found responsible for the charge(s). All hearings are closed to the public, with the exception of advisors and/or support persons for the complainant and respondent. All parties directly participating in the hearing (the respondent, complainant, and staff member from CSSR or housing and residence life who is presenting the information) may remain present the entire time, excluding deliberations.

a. Types of Hearings
i. **Administrative Hearing** – Administrative hearings are presided over by a single hearing officer. The hearing officer will be someone other than the staff member who conducted the original community standards conference. If the respondent fails to appear at a scheduled administrative hearing and the absence is not excused, the hearing may proceed in the respondent’s absence or may be rescheduled at the discretion of the hearing officer. All hearings conducted under this process will be administrative hearings, unless a student is facing separation from the university (suspension or expulsion) and requests a university hearing board review their matter.

ii. **University Hearing Board Hearing** – University hearing board hearings are presided over by members of the university hearing board. Each university hearing board hearing will have a hearing board chair. The chair is responsible for keeping the proceedings moving forward. If the respondent fails to appear at a scheduled university hearing board and the absence is not excused, the hearing may proceed in the respondent’s absence or may be rescheduled at the discretion of the chair. Each university hearing board is assigned a hearing board advisor. The role of the advisor is to ensure the community standards process is adhered to and to answer procedural questions posed by any party during the hearing. The hearing board advisor will also keep the proceedings focused on issues relevant to the specific allegations. The hearing board advisor will not participate in a determination of a finding or sanction. However, the board advisor may be summoned by university hearing board members during deliberations to answer questions regarding the community standards process. Students may request a university hearing board hearing when they face possible separation from the university (suspension or expulsion), should they be found in violation.

iii. **Sanction-Only Hearing** – Students may select a sanction-only hearing when they accept responsibility for the charges against them but would like the opportunity to have the hearing authority review the matter for the purposes of determining an appropriate sanction. Sanction-only hearings are structurally similar to administrative and university hearing board hearings, except that the hearing authority will only make a determination regarding sanctioning. The hearing authority for sanction-only hearings may be either a single administrator or the university hearing board, depending on the possible outcome facing the student, as described above.

b. **Hearing Procedures for Administrative and University Hearing Board Hearings**

Items i-iii will generally proceed in the order listed below, though the hearing authority reserves the right to adjust the order as appropriate or necessary.

i. **Guidelines**
   1. The hearing authority will explain the respondent’s rights and responsibilities, and, if applicable, the complainant’s rights and responsibilities.
   2. The hearing authority (in conjunction with the board advisor, if applicable), is responsible for assuring that these rights as well as the process described in this Part are adhered to during the hearing.
   3. The respondent or the complainant may ask for the removal of a hearing authority by providing written or verbal evidence of bias.
a. In cases before a hearing board, the charge of bias is made to the chairperson who will determine whether it is valid. If the charge of bias is against the chairperson, the hearing board advisor shall decide whether it is valid. If bias is found, the hearing will be rescheduled.

b. In an administrative hearing, the charge of bias against the hearing authority can be made to the director of CSSR, prior to the hearing. The director will decide if the charge is valid. If bias is found, the director will assign a new hearing authority to conduct the hearing.

4. The hearing authority may ask questions of any party at any time throughout the hearing.

5. The hearing authority is responsible for determining the relevancy of questions asked during a hearing. The hearing authority may deem certain questions irrelevant and not allow them to be asked. In university hearing board hearings, the hearing board advisor may assist the hearing chair in those determinations.

6. The hearing authority may exclude persons from the hearing if they are disruptive or postpone the hearing because of disruptive behavior of participants or observers.

ii. Introduction

1. Each party in the room will introduce themselves and explain their role in the hearing.

2. The hearing authority will ask the respondent to briefly introduce themselves and explain why they have requested the hearing.

iii. Presentation of Information

1. Following the respondent's introduction, a staff member from either CSSR or housing and residence life will provide the hearing authority with a detailed summary of the incident and, if applicable, any subsequent investigation.

2. If there is a complainant, the complainant will then have an opportunity to provide the hearing authority with a summary of their role and perspective on the incident. The hearing authority may question the complainant at this time, followed by the staff member from CSSR or housing and residence life and the respondent (if applicable).

3. The hearing authority will then ask the respondent to describe their involvement in the matter at hand as it pertains to the charges being considered in the hearing. The hearing authority may question the respondent at this time, followed by the staff member from CSSR or housing and residence life and the complainant (if applicable).

4. The staff member from CSSR or housing and residence life will then present any relevant witnesses or documentary information to the hearing authority. The staff member from CSSR or housing and residence life may ask questions of any witness presented. The hearing authority, respondent, and complainant (if applicable) will each have the opportunity to ask questions regarding the information presented.

5. The complainant (if applicable) will then present any relevant witnesses or documentary information to the hearing authority. The complainant may ask questions of any witness presented. The hearing authority, respondent, and staff member will each have the opportunity to ask questions regarding the information presented.
6. The respondent will then present any relevant witnesses or documentary information to the hearing authority. The respondent may ask questions of any witness presented. The hearing authority, complainant (if applicable), and staff member will each have the opportunity to ask questions regarding the information presented.

7. The staff member from CSSR or housing and residence life will have an opportunity to make a summary statement.

8. The complainant, if applicable, will have an opportunity to make a summary statement.

9. The respondent will have an opportunity to make a summary statement.

iv. Deliberation and Finding

1. The hearing authority will go into closed session to determine by the preponderance of evidence whether the respondent will be found in violation of the charges pending in this matter. University hearing boards determine findings by majority vote.

2. The hearing will re-convene for the announcement of the finding. If the respondent is not found responsible for the violation, the case will be dismissed. If the respondent is found responsible for a violation of one or more of the pending charges, the hearing will proceed to sanctioning.

v. Sanctioning

1. The respondent will be offered an opportunity to speak on their own behalf and to present character witnesses or written references for consideration in determining a sanction.

2. The complainant, if applicable and present, will be given the opportunity to present a verbal impact statement. If there is a complainant who has chosen not to attend the hearing but has provided an impact statement to the staff member from CSSR or housing and residence life, it will be given to and read aloud by the hearing authority. Any impact statement must be presented to the hearing authority only after a finding of violation has been made in the matter.

3. The staff member from CSSR or housing and residence life will give an overview of the respondent’s disciplinary history, if any. The respondent will be given the opportunity to respond to the overview.

4. If the respondent was presented with a possible sanction during their community standards conference, the staff member from CSSR or housing and residence life will share that proposed sanction with the hearing authority.

5. The hearing authority will consider the following in determining a sanction:
   a. statements and evidence presented at the hearing;
   b. seriousness of the violation;
   c. the complainant’s oral or written statement of impact;
   d. The respondent’s character information;
   e. prior disciplinary record of the respondent; and
   f. disciplinary precedent.

6. The staff member from CSSR or housing and residence life will be provided an opportunity to ask the respondent questions relevant to sanctioning.

7. The hearing authority may ask follow up questions of the staff member from CSSR or housing and residence life or the respondent regarding any of the above information.

8. The hearing authority will go into closed session to determine a sanction.
9. The hearing will reconvene for the announcement of the sanction.
   a. In university hearing board hearings, the sanction is recommended to the dean of students or designee, who will make the final decision. While normally the Board’s recommendation will be the sanction imposed, the dean of students or designee may impose a different sanction. The dean of students or designee will send the student written notification of the decision.
   b. In administrative hearings, the sanction is imposed by the hearing officer. The hearing officer will send the student written notification of the decision.

c. Hearing Procedures for Sanction-Only Hearings
   Items i-iii will generally proceed in the order listed below, though the hearing authority reserves the right to adjust the order as appropriate or necessary.
   i. Guidelines
      1. The hearing authority will explain the respondent’s rights and responsibilities, and, if applicable, the complainant’s rights and responsibilities.
      2. The hearing authority (in conjunction with the board advisor, if applicable), is responsible for assuring that these rights as well as the process described in this Part are adhered to during the hearing.
      3. The respondent or the complainant may ask for the removal of a hearing authority by providing written or verbal evidence of bias.
         a. In cases before a hearing board, the charge of bias is made to the chairperson who will determine whether it is valid. If the charge of bias is against the chairperson, the hearing board advisor shall decide whether it is valid. If bias is found, the hearing will be rescheduled.
         b. In an administrative hearing, the charge of bias against the hearing authority can be made to the director of CSSR, prior to the hearing. The director will decide if the charge is valid. If bias is found, the director will assign a new hearing authority to conduct the hearing.
      4. The hearing authority may ask questions of any party at any time throughout the hearing.
      5. The hearing authority is responsible for determining the relevancy of questions asked during a hearing. The hearing authority may deem certain questions irrelevant and not allow them to be asked. In university hearing board hearings, the hearing board advisor may assist the hearing chair in those determinations.
      6. The hearing authority may exclude persons from the hearing if they are disruptive, or postpone the hearing because of disruptive behavior of participants or observers.
   ii. Introduction
      1. Each party in the room will introduce themselves and explain their role in the hearing.
      2. The hearing authority will review the charges against the respondent and ask the respondent to affirm that they are accepting responsibility for those charges.
      3. The hearing authority will ask the respondent to briefly introduce themselves and explain why they have requested this sanction-only hearing.
   iii. Presentation of Information
1. Following the respondent’s introduction, a staff member from either CSSR or housing and residence life will provide the hearing authority with a detailed summary of the incident and, if applicable, any subsequent investigation.

2. If there is a complainant, the complainant will then have an opportunity to comment on the summary given by the staff member.

3. The respondent will then have an opportunity to comment on the summary given by the staff member.

4. The hearing authority will then have the opportunity to ask the respondent (and complainant, if applicable), any questions they may have about the incident.

iv. Sanctioning

1. The hearing authority will then transition the hearing to the sanctioning stage.

2. The respondent will be offered an opportunity to speak on their own behalf and to present character witnesses or written references for consideration in determining a sanction.

3. The complainant, if applicable and present, will be given the opportunity to present a verbal impact statement. If there is a complainant who has chosen not to attend the hearing but has provided an impact statement to the staff member from CSSR or housing and residence life, it will be given to and read aloud by the hearing authority. Any impact statement must be presented to the hearing authority only after a finding of violation has been made in the matter.

4. The staff member from CSSR or housing and residence life will give an overview of the respondent’s disciplinary history, if any. The respondent will be given the opportunity to respond to the overview.

5. If the respondent was presented with a possible sanction during their community standards conference, the staff member from CSSR or housing and residence life will share that proposed sanction with the hearing authority.

6. The hearing authority will consider the following in determining a sanction:
   a. statements and evidence presented at the hearing;
   b. seriousness of the violation;
   c. the complainant’s oral or written statement of impact;
   d. the respondent’s character information;
   e. prior disciplinary record of the respondent; and
   f. disciplinary precedent.

7. The staff member from CSSR or housing and residence life will be provided an opportunity to ask the respondent questions relevant to sanctioning.

8. The hearing authority may ask follow up questions of the staff member from CSSR or housing and residence life or the respondent regarding any of the above information.

9. The hearing authority will go into closed session to determine a sanction.

10. The hearing will reconvene for the announcement of the sanction.
   a. In sanction-only hearings conducted by the university hearing board, the sanction is recommended to the dean of students or designee, who will make the final decision. While normally the Board’s recommendation will be the sanction imposed, the dean of students or designee may impose a different sanction. The dean of students or designee will send the student written notification of the decision.
b. In sanction-only hearings conducted by an administrative hearing officer, the sanction is imposed by the hearing officer. The hearing officer will send the student written notification of the decision.

5. Presenting a Case Using University Legal Counsel
In rare instances, the university may decide to formally present a student conduct case using legal counsel. In such cases, the respondent will be given adequate notice in order to seek legal counsel, if so desired. In these instances, the case will be heard by a university hearing board and the director of the office of legal affairs (or designee) will serve as a non-voting member of the hearing board.

Part F: Sanctions & Conditions of Sanction
Sanctions and conditions of sanction related to the sexual harassment and other sexual misconduct process may be found in Appendix B.

1. **Sanctions** – Students, student organizations, and student groups of Ohio University are responsible for abiding by all Ohio University policies. When a respondent is found in violation of the prohibited conduct part of the “Student Code of Conduct”, appropriate disciplinary sanctions will be imposed. Sanctions will take into account prior disciplinary history, if any. Sanctions are defined as follows:

   a. **Reprimand** – Official notification of unacceptable behavior and violation(s) of the “Student Code of Conduct”. Any further misconduct may result in more serious disciplinary sanctions.

   b. **Disciplinary Probation** – Sanction imposed for a designated period of time. Further violation of prohibited conduct as outlined in Part D may result in further disciplinary action up to and including disciplinary suspension or disciplinary expulsion. Periodic probationary meetings may also be required. All assigned conditions of sanction must be completed prior to the conclusion of disciplinary probation; otherwise the disciplinary probation will remain in effect.

   c. **Disciplinary Suspension** – Sanction imposed for a designated period of time. During the period of suspension, a student may not attend classes (either in person or online), or participate in University related activities, whether they occur on or off campus. Additionally, a student under disciplinary suspension may not be present on University premises unless authorized in writing in advance under conditions approved by the director of the office of community standards and student responsibility. All assigned educational sanctions must be completed prior to the conclusion of disciplinary suspension; otherwise the disciplinary suspension will remain in effect.
i. Students who have been suspended from the university must petition for reenrollment through the director of the office of community standards and student responsibility. Reenrollment may be granted, with further probationary status, at the discretion of the director. Students must complete a re-enrollment form through the registrar and be in good academic standing with their college and be otherwise eligible to re-enroll in order to return to the university.

ii. Disciplinary suspension of a student organization or student group is a temporary revocation of University recognition. While an organization or group is suspended, it may not use University resources or participate as an organization in any University activities or events. A student organization suspension will not exceed five years. Student organizations or groups that have been suspended from the university must petition for reenrollment through the director of the office of community standards and student responsibility. Reenrollment may be granted, with further probationary status, at the discretion of the director.

d. Disciplinary Expulsion – Sanction which permanently removes the student from their academic program and separates the student from the University without the opportunity to graduate or reenroll in the future. A student under disciplinary expulsion may not be present on university premises unless authorized in writing in advance under conditions approved by the director of the office of community standards and student responsibility. Expulsion will be noted on the student's academic transcript.

i. Disciplinary expulsion of a student organization or student group is the permanent revocation of University recognition of that organization.

2. Conditions of Sanction – In conjunction with a sanction, a student found to have been in violation of prohibited conduct may be assigned conditions of sanction that are educational in nature and reflect the nature of the violation. Conditions of sanction include but are not limited to, reflective papers, seminars, community restitution, access restriction, revocation of rights and privileges, fines/fees, etc. If a student has any outstanding conditions of sanction at the conclusion of disciplinary probation or suspension, that student’s disciplinary probation or suspension status will remain in effect pending the completion of the conditions of sanction. Additionally, failure to complete conditions of sanction by the deadline given will result in the placement of a disciplinary hold on the student's academic records.

Part G: Student Rights & Responsibilities
The following rights and responsibilities apply to those involved in a matter being addressed by the community standards process. The rights and options available to parties involved in a matter being addressed through the sexual harassment and other sexual misconduct process are described in Appendix B.
1. **Rights of Respondent** – All respondents in the community standards process have the following rights:
   a. Written notice of the charge(s) made against them and the basis of the allegation that led to the charge(s).
   b. In matters that could result in a sanction of suspension or expulsion, the above-mentioned notification will alert the respondent to the possible severity of the outcome.
   c. The right to an advisor, as defined in Part C.
   d. The right to a support person, as defined in Part C.
   e. To request reasonable accommodations due to disability as outlined in Part (G)(3).
   f. Reasonable access to inspect and review their own case file, which includes all information that would be used during the community standards process, to the extent permitted by confidentiality laws.
   g. Explanation of the resolution options available to them through the community standards process.
   h. To be presumed not responsible for a violation of prohibited conduct until found in violation by a preponderance of the evidence.
   i. To speak or not speak on their own behalf.
   j. The opportunity to respond to information used as part of the decision-making process.
   k. To deny responsibility for the charge(s) facing them and request a hearing.
   l. To question any witnesses that participate as part of a hearing.
   m. The right to appeal, as described in Part H.
   n. To waive any of the above stated rights provided that the waiver is made freely and in writing.

2. **Rights of the Complainant** – All complainants in the community standards process have the following rights:
   a. To pursue criminal or civil charges where a legal case exists (without University assistance).
   b. Explanation of the resolution options available to them through the community standards process.
   c. To be free from harassment and intimidation from respondents and others as they engage this process.
   d. The right to an advisor, as defined in Part C.
   e. The right to a support person, as defined in Part C.
   f. To request reasonable accommodations due to disability as outlined in Part (G)(3).
   g. To provide information for consideration during the community standards process, and to know the results of the process to the extent allowed under federal laws and university policies.
   h. The opportunity to appear at any hearing that may take place in order to provide relevant information.
   i. The opportunity to question any witnesses that participate as part of a hearing.
   j. The opportunity to submit a written impact statement for use in a hearing, even if the complainant chooses not to attend the hearing.

3. **Responsibilities of Respondents, Complainants, and Witnesses** – All respondents, complainants, and witnesses in the community standards process have the following responsibilities:
a. To be honest and forthright in all information they provide during the community standards process. Presenting false and misleading information during this process is a violation of prohibited conduct as outlined in Part D.
b. To attend all required meetings, conferences, or hearings, as scheduled, unless alternate arrangements are made in advance.
c. To refrain from disruption of the hearing process. Disruption of this process is a violation of prohibited conduct as outlined in Part D.
d. Complainants and respondents have the responsibility to prepare and present their entire case as well as secure the presence of any witnesses who will speak on their behalf.

4. Reasonable Accommodation for Students with Disabilities – Any student with a disability involved in this process has the right to request reasonable accommodation in order to ensure their full and equal participation in this process. Students wishing to request reasonable accommodations should make those requests directly to the office of Student Accessibility Services (SAS). Students do not have to disclose information about the complaint or charge to SAS to request reasonable accommodation except to the extent that it may assist in the determination of reasonable accommodations. Accommodations are determined on an individual basis by SAS staff and implemented in consultation with CSSR and ECRC (if applicable). Examples of reasonable accommodation include but are not limited to sign language interpretation, real-time communication access during hearings, large print documents, extended time to review documents, or assistance with transcribing questions during interviews or hearings. Students are responsible for requesting accommodations in a timely manner; failure to do so may result in a delay in the process.
   o Student Accessibility Services
     ▪ Baker University Center, 348 740-593-2620, disabilities@ohio.edu
     http://www.ohio.edu/uc/sas

Part H: Appeal Process
The following appeal processes apply to those involved in matters being addressed by the community standards process. The appeal process available to parties in matters being addressed through the sexual harassment and other sexual misconduct process may be found in Appendix B.

1. A respondent that has accepted responsibility for, or was found in violation of prohibited conduct through the community standards process, may appeal the finding(s) and condition(s) of sanctions imposed within five business days after the receipt of written notification detailing the decision of the case. Upon reasonable request, the five-business day deadline may be extended by the director of the office of community standards and student responsibility.
2. In the appeal, the respondent must state the reason(s) for the appeal, the supporting facts, and the requested solution. An appeal is not a rehearing of the matter and cannot be filed simply because the student disagrees with the outcome. An appeal may only be submitted for one or more of the following reasons:
   a. The sanctions and/or conditions of sanctions are extraordinarily disproportionate to the violation(s); and/or
   b. A procedural defect occurred that significantly impacted that outcome of the hearing; and/or
c. Discovery of new and significant information that could have affected the outcome of the hearing and that was not known, or could not reasonably have been discovered and/or presented at the time of the hearing.

3. University Appeal Board
   a. All appeals will be heard by the university appeal board. The appeal board will meet in a closed session, within a reasonable period of time, and will either grant or deny the appeal by a majority vote.
   b. If the student alleges that the sanction was extraordinarily disproportionate to the violation and the appeal board finds the sanctions extraordinarily disproportionate, the appeal board may reduce the sanction.
   c. In considering appeals based on a procedural defect or the presence of new information, if the appeal board finds that there was a defect in the procedure or that new information was presented which was sufficiently substantial to the outcome, the appeal board will order a new hearing or remand the matter to the original hearing authority as appropriate.
   d. The respondent will be notified of the outcome of their appeal in writing by the director of the Office of community standards and student responsibility.

4. Vice Presidential Appeal
   a. The respondent may request the vice president for student affairs or designee review a university appeal board decision if the university appeal board denies the appeal.
   b. An appeal to the vice president for student affairs or designee must be submitted to the office of the vice president for student affairs within three business days after the receipt of written notification of the appeal board decision.
   c. The appeal is limited to the grounds of the original appeal to the University Appeal Board, except in cases where the respondent is appealing on the basis of new evidence.
   d. If the student alleges that the sanction was extraordinarily disproportionate to the violation and the vice president for student affairs or designee finds the sanctions to be extraordinarily disproportionate, the vice president for student affairs or designee may reduce the sanction.
   e. In considering appeals based on a procedural defect or new information being presented, and the vice president for student affairs or designee finds that there was a defect in the procedure or that new information was presented which was sufficiently substantial to the outcome, the vice president for student affairs, or designee will order a new hearing or remand the matter to the original hearing authority as appropriate.
   f. The respondent will be notified of the outcome of their appeal in writing by the vice president for student affairs or designee within a reasonable period of time.
   g. The decision of the vice president for student affairs or designee is the final decision in the matter.

Part I: Interim Measures, Interim Suspension, and State of Emergency
The section addresses interim measures, interim suspension, and State of Emergency procedures for the community standards process. For supportive measures and emergency removal under the sexual harassment and other sexual misconduct process, refer to Appendix B.

1. Interim Measures
a. When the alleged actions of a student threaten the good order or safety of the university, interim measures may be put into place. Interim measures are administrative directives that are intended to insure the safety of the university and prevent a situation from escalating. Interim measures may be made at any point after a referral is received and may include, but are not limited to:
   i. Administrative directives for no contact;
   ii. Temporary or permanent re-assignment of university housing; and/or
   iii. Restriction of access to particular areas of campus.

b. CSSR will make decisions on interim measures after appropriate consultation. Professional staff in housing and residence life may initiate an immediate residential move in the case of allegations of sexual misconduct, violence, or threats of violence. They may also issue temporary administrative directives for no contact under the same circumstances. Housing and residence life will notify CSSR in writing of any interim measures they initiate as soon as possible, but no later than the next business day. All interim measures described above will be documented in writing.

2. Interim Suspension or Interim Suspension of Housing
   a. When the behavior of a student disrupts the good order of the university or poses a risk to the safety of the campus, the university may place the student on an interim suspension. This action immediately suspends the student from university premises and activities.

   b. The interim suspension will be decided by the dean of students (or designee), in consultation with appropriate university offices. The interim suspension will be confirmed in writing and remain in effect until such time as the alleged violations of the “Student Code of Conduct” are resolved. The student will be notified of the interim suspension by the director of community standards and student responsibility (or designee). Within three business days of the notification of the interim suspension, the student may request a review of the interim suspension. The request for review must be submitted in writing and include all relevant documentation the student wishes to be considered as part of the review. The purpose of the review is to determine only if the student poses an on-going threat. The vice president for student affairs (or designee) will then determine if the interim suspension remains in effect, is modified, or is rescinded. For instances involving allegations of sexual misconduct, relationship violence, or stalking (as defined in University Policy 03.004), the vice president or designee will make their decision in consultation with the Title IX coordinator. The decision on the review will be provided to the student in writing and is final.

   c. The student may request an extension of the deadline to submit a request for review in extreme circumstances, such as hospitalization or incarceration. Students may be required to provide documentation related to their request for an extension.

   d. The outcome of the review will not impact the pending disciplinary process. If the vice president for student affairs (or designee) upholds the interim suspension, the decision will remain in effect until the pending charges through the office of community standards and student responsibility have been resolved. The student will be notified of the decision of the vice president for student affairs (or designee) in writing within a reasonable time frame.
3. State of Emergency
   a. Pursuant to Section 3345.26 of the Revised Code, the board of trustees or president of a college or university which receives any state funds in support thereof, may declare a state of emergency when there is a clear and present danger or the orderly conduct of lawful activities at such college or university through riot, mob action or other substantial disorder, and may do one or more of the following, as are necessary to preserve order and discipline at such college or university during such emergency:
      i. Limit access to university property and facilities by any person or persons;
      ii. Impose a curfew;
      iii. Restrict the right of assembly by groups of five or more persons;
      iv. Provide reasonable measures to enforce limitations of access, a curfew and restrictions on the rights of assembly imposed pursuant to this part.
   b. Notice of action taken pursuant to Part (I)(3)(a) shall be posted or published in such manner as is reasonably calculated to reach all persons affected.
   c. Parts (I)(3)(a) and (I)(3)(b) of this document shall not be construed to limit the authority of the board of trustees, president or other proper official of a college or university to impose reasonable restrictions on use of and access to, and the hours and use and access to university property and facilities, for purpose of regulating the proper operation of such university, and regardless of whether any emergency exists.

Part J: “Student Code of Conduct” review process

1. Timeline
   a. The full “Student Code of Conduct” will undergo a formal review process every two years. The review will take place under the direction of the director of the Office of community standards and student responsibility in collaboration with the “Student Code of Conduct”: Review & Standards Committee.
   b. Should changes to the “Student Code of Conduct” be necessary for the purposes of complying with local, state, or Federal law, or as deemed necessary by the student code of conduct: review and standards committee, changes may be made outside of the two-year cycle.
   c. The appendices are independent of the “Student Code of Conduct” and are not part of the formal review process. For those appendices that require periodic review, the information for that process is listed in that appendix.

2. Proposing Changes to the “Student Code of Conduct”
   a. Any member of the Ohio university community may propose amendments to the “Student Code of Conduct”. Proposed amendments shall be reviewed by the student code of conduct: review and standards committee for possible consideration.

3. Approval Process
a. All proposed changes to the “Student Code of Conduct” must be reviewed and approved by the student code of conduct: review and standards committee. Those changes will be submitted to the vice president for student affairs for consideration.

b. Changes to “Student Code of Conduct” procedure (Parts C, E, G, H, and K) are subject to approval by the vice president for student affairs before implementation.

c. Changes to “Student Code of Conduct” policies (Parts A, B, D, F, I, and J) are subject to approval by the president and formal action by the board of trustees prior to implementation.

Part K: Acknowledgements

Parts of the Ohio university “Student Code of Conduct” are adapted from the national center for higher education risk management (NCHERM) group “Model Developmental Code of Student Conduct” and are used here with permission. Additionally, the office of community standards and student responsibility and the student code of conduct: review and standards committee wishes to acknowledge the following for their example and assistance in crafting this code of conduct: North Carolina state university; old dominion university; plagiarism.org - http://www.plagiarism.org/plagiarism-101/what-is-plagiarism; Ohio state university; university of central Florida; university of Florida; university of Texas at Austin; wright state university. This document has been formatted in order to comply with the fourth edition of the “Rule Drafting Manual” by the Ohio legislative service commission.

Appendix A
Ohio Revised Code Part 3333.38 (House Bill 95)

A. Pursuant to Ohio Revised Code Section 3333.38(C), Ohio university must immediately dismiss an individual who is convicted of, pleads guilty to, or is adjudicated a delinquent child for a violation of Ohio Revised Code Section 2917.02 (aggravated riot) or Ohio Revised Code Section 2917.03 (riot). Pursuant to Ohio Revised Code Section 3333.38(B), an individual who is convicted of, pleads guilty to, or adjudicated a delinquent child for any of the following offenses shall be ineligible to receive any student financial assistance supported by state funds for two calendar years from the time the individual applies for such assistance:

1. a violation of Ohio Revised Code Section 2917.02 (aggravated riot) or Ohio Revised Code Section 2917.03 (riot),

2. a violation of Ohio Revised Code Section 2917.04 (failure to disperse) that is a misdemeanor of the fourth degree,

3. a violation of Ohio Revised Code Section 2917.13 (misconduct at emergency) that is a misdemeanor of the fourth or first degree and occurs within the proximate area where four or more others are acting in a course of conduct in violation of Ohio Revised Code Section 2917.11 (disorderly conduct).

B. The student financial assistance programs supported by state funds includes any post-secondary student financial assistance supported by state funds, including assistance granted under Ohio Revised Code Section:

3315.33 Ohio Scholarship Fund for Teacher Trainees
3333.12  Ohio Instructional Grant Program and Part-time Ohio Instructional Grant Program
3333.21  Ohio Academic Scholarship Program
3333.26  Tuition Waiver for Child or Spouse of Public Service Officer Killed in Line of Duty
3333.27  Student Choice Grant Program
3333.28  Nurse Education Assistance Program
3333.29  Student Workforce Development Grant Program
3333.372 Ohio Outstanding Scholarship and Ohio Priority Needs Fellowship
5910.03  Scholarship for Children of Deceased or Disabled Veterans of the Armed Services of the United States
5910.032 Children of Persons Declared Prisoner of War or Missing in Action 5919.34 National Guard Scholarship Program
August 17, 2020

Student Code of Conduct

Consent Agenda Item

Tab #; pg
Outline

• Review Process
• Overview of Changes
• Next Steps
Review Process

- Review and Standards Committee
- Faculty, Student and Graduate Student Senate
- Open for Public Comment
Overview of Changes

• New Title IX Regulations
  • May 6, 2020 – New regulations released
  • August 14, 2020 – Implementation deadline
• Administrative update for compliance
• Updating language
• Sexual misconduct charges
Next Steps

• Consent Agenda
• Communication to Students
• Effective August 18, 2020
• Biannual Code Revisions in Spring 2021
Questions?
# Ohio University

**Student Code of Conduct**

*Effective August 18, 2020*

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- Part A: Mission of the Student Code of Conduct
- Part B: Scope of the Code
- Part C: Definition of Terms
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- Part F: Sanctions & Conditions of Sanction
- Part G: Student Rights & Responsibilities
- Part H: Appeal Process
- Part I: Interim Measures, Presidential Interim Suspension, and State of Emergency
- Part J: “Student Code of Conduct” Review Process
- Part K: Acknowledgements

## Appendix

- Appendix A: Ohio Revised Code Section 3333.38 (House Bill 95)
- Appendix B: Sexual Harassment and Other Sexual Misconduct Process
- Appendix C: Ohio University Policy 03.004: Sexual Harassment and Other Sexual Misconduct
Part A: Mission
Ohio university, including its regional campuses and online programs, holds as its central purpose the intellectual and personal development of its students. Distinguished by its rich history, diverse campus, international community, and beautiful Appalachian setting, Ohio university is also known as well for its outstanding faculty of accomplished teachers whose research and creative activity advance knowledge across many disciplines. It is this purpose that provides the aspirational basis for the “Student Code of Conduct”.

As we like to remind students, they are Bobcats no matter where they are. This means that each member of the OHIO community is responsible for their behavior, both on and off campus. When occasions arise where members of the community engage in behavior that violates the prohibited conduct listed in Part D, the process described within the Code of Conduct will be initiated. This process exists to protect the interest of the community and to challenge those whose behavior is not in accordance with our expectations of members of the Ohio university community. The university fully recognizes the right of all students to seek knowledge, debate, and freely express their ideas. Discourse and disagreement are fundamental components of any academic endeavor, and students will not be subject to disciplinary action for their lawful expression of ideas.

Sanctions implemented through the process are designed to give students the opportunity to reflect on their choices, challenge their ethical decision-making, and aid them in adjusting their behavior to become congruent with our community expectations. Being a member of the Ohio university community is a privilege, and the community standards process will determine if a student’s conduct warrants that they should no longer share in that privilege.

Part B: Scope of the Code
The “Student Code of Conduct” applies to the conduct of individual students, student organizations and student groups, both undergraduate and graduate, including students enrolled in the heritage college of osteopathic medicine, at regional and extension campuses, through the college credit plus program, and in distance education programs, such as e-learning. The right of all students to seek knowledge, debate and freely express their ideas is fully recognized by the university. The prohibited conduct described in Part D applies to student behavior and will not be used to impose discipline for the lawful expression of ideas.

The “Student Code of Conduct” applies to all students, student organizations and student groups, whether the alleged behavior occurred on or off campus. Further, the “Student Code of Conduct” may also be applied to behavior conducted online, via e-mail or through other electronic mediums. Ohio university does not regularly search for this information, but may take action if such information is brought to the attention of University officials.

Sexual harassment and misconduct, as defined by University Policy 03.004 (See Appendix C) and prohibited by the Student Code of Conduct, will be addressed through the Sexual Harassment and Other Sexual Misconduct Process (See Appendix B). Allegations of other conduct prohibited by the Student Code of Conduct may be investigated and adjudicated through the Sexual Harassment and Other Sexual Misconduct Process in conjunction with sexual harassment or sexual misconduct allegations.
The processes described in the code of conduct may be initiated when a student is charged with conduct that potentially violates both civil/criminal law and University policy, without regard to pending litigation in court or criminal arrest and prosecution. This includes instances where a current student is charged with serious violations of civil or criminal law, regardless of when that violation occurred. The community standards process may be carried out prior to, simultaneously with, or following criminal proceedings off campus. Determinations made and sanctions imposed under the “Student Code of Conduct” will not be subject to change because criminal charges arising out of the same facts were dismissed, reduced, or resolved in favor of or against the criminal law defendant.

Part C: Definition of Terms

The below definitions apply to the community standards process. For definitions and other information related to the “Sexual Harassment and Other Sexual Misconduct Process”, please see the Appendix B.

1. Advisor – An Ohio university employee or student selected by the complainant or respondent to assist them throughout the community standards process. This advisor may advise and assist the complainant or respondent in the preparation for any meetings or hearings that may occur; accompany the complainant or respondent through all community standards process meetings and hearing; and to actively participate in the proceedings to the extent desired by the complainant or respondent. Advisors may not be witnesses in the matter at hand, and they may not provide direct information or testimony at any point in the process. Advisors are not provided as part of the community standards process, and complainants and respondents wishing to use an advisor are responsible for obtaining one.

2. Campus – Property or buildings owned, leased, operated, controlled or managed by Ohio University, including all regional campuses, regardless of their location.

3. Complainant – Person who was subject to alleged misconduct as described in the “Student Code of Conduct”. There may be more than one complainant for an incident.

4. Hearing Authority – Any person, or persons, authorized by the director of the office of community standards and student responsibility, or designee to make findings as to whether a student has violated the prohibited conduct part of the “Student Code of Conduct”. This includes but is not limited to housing and residence life staff, office of community standards and student responsibility staff, and members of the university hearing board. The director of the office of equity and civil rights compliance authorizes individuals to serve as a hearing authority to make determinations in the sexual harassment and other sexual misconduct process.

5. Hearing Board Advisor – A staff member from the office of community standards and student responsibility designated to advise members of the university hearing board during university hearing board hearings.

6. Hearing Board Chair – A member of the university hearing board who has been designated by the hearing board advisor to serve as the chairperson for a university hearing board hearing.

7. Hearing Officer – A hearing authority who has been designated to preside over an administrative hearing and make findings as to whether a student has violated the prohibited conduct part of the “Student Code of Conduct”.

8. Relationship Violence – A pattern of behavior by one or both partners of a dating relationship that is intended to cause physical, sexual, psychological, or emotional harm.

9. Student – An individual who has been accepted for admission into an educational program at Ohio University, regardless of whether the student has registered or is enrolled in a particular term or courses.

10. Student Employee – An individual who holds an appointment as a student employee with the Office of Community Standards and Student Responsibility or the University Hearing Board.

11. Student Welfare Officer – A staff member from the Office of Community Standards and Student Responsibility designated to advise members of the university hearing board during university hearing board hearings.

12. University – Ohio University, an institution of higher education located in Columbus, Ohio.

13. University Hearing Board – A board of three members established by the Ohio Board of Regents and charged with making determinations as to whether a student has violated the prohibited conduct part of the “Student Code of Conduct”.

14. University Hearing Board Advisor – A staff member from the Office of Community Standards and Student Responsibility designated to advise members of the university hearing board during university hearing board hearings.

15. University Hearing Board Chair – A member of the university hearing board who has been designated by the hearing board advisor to serve as the chairperson for a university hearing board hearing.

16. University Hearing Officer – A hearing authority who has been designated to preside over an administrative hearing and make findings as to whether a student has violated the prohibited conduct part of the “Student Code of Conduct”.

17. University Hearing Rights Advisor – A staff member from the Office of Community Standards and Student Responsibility designated to advise members of the university hearing board during university hearing board hearings.

18. University Hearing Rights Officer – A hearing authority who has been designated to preside over an administrative hearing and make findings as to whether a student has violated the prohibited conduct part of the “Student Code of Conduct”.

19. University Hearing Rights Chair – A member of the university hearing board who has been designated by the hearing board advisor to serve as the chairperson for a university hearing board hearing.

20. University Hearing Rights Board – A board of three members established by the Ohio Board of Regents and charged with making determinations as to whether a student has violated the prohibited conduct part of the “Student Code of Conduct”.

21. University Hearing Rights Board Advisor – A staff member from the Office of Community Standards and Student Responsibility designated to advise members of the university hearing board during university hearing board hearings.

22. University Hearing Rights Board Chair – A member of the university hearing board who has been designated by the hearing board advisor to serve as the chairperson for a university hearing board hearing.

23. University Hearing Rights Officer – A hearing authority who has been designated to preside over an administrative hearing and make findings as to whether a student has violated the prohibited conduct part of the “Student Code of Conduct”.

24. University Hearing Rights Chair – A member of the university hearing board who has been designated by the hearing board advisor to serve as the chairperson for a university hearing board hearing.

25. University Hearing Rights Board – A board of three members established by the Ohio Board of Regents and charged with making determinations as to whether a student has violated the prohibited conduct part of the “Student Code of Conduct”.

26. University Hearing Rights Board Advisor – A staff member from the Office of Community Standards and Student Responsibility designated to advise members of the university hearing board during university hearing board hearings.

27. University Hearing Rights Board Chair – A member of the university hearing board who has been designated by the hearing board advisor to serve as the chairperson for a university hearing board hearing.

28. University Hearing Rights Officer – A hearing authority who has been designated to preside over an administrative hearing and make findings as to whether a student has violated the prohibited conduct part of the “Student Code of Conduct”.

29. University Hearing Rights Chair – A member of the university hearing board who has been designated by the hearing board advisor to serve as the chairperson for a university hearing board hearing.
8. **Members of the University Community** – Refers, collectively and individually, to faculty, staff, students, trustees, volunteers (e.g., advisors), organizations, groups, alumni, and contractors of the university or any of its campuses, facilities, programs, or events.

9. **Preponderance of Evidence** – Standard of proof used by the hearing authority. Preponderance of evidence means that the statements and information presented in the matter must indicate to a reasonable person that it is more likely than not that the respondent committed a violation.

10. **Referral** – Document which contains the basis for the alleged violation of the prohibited conduct part of the “Student Code of Conduct”, including but not limited to police reports or incident reports submitted to the office of community standards and student responsibility.

11. **Referring Party** – Person or office which reported the alleged violation of the prohibited conduct part of the “Student Code of Conduct” to the office of community standards and student responsibility.

12. **Respondent** – Student, student group, or student organization whom it is alleged committed a violation or violations of the “Student Code of Conduct”. There may be more than one respondent for an incident. In the cases of student groups or student organizations, the president, director, or other member of leadership will participate in the student conduct process on behalf of the group or organization.

13. **Student** – Any person from the time they are admitted to Ohio university up through the date of graduation. This includes, but is not limited to, new students at orientation, persons not currently enrolled but who are still seeking a degree from Ohio university, persons currently under suspension, and any other person enrolled in a credit earning course offered by Ohio university, including students enrolled in the college credit plus program. For purposes of exercising jurisdiction for university discipline, it also includes any person who has graduated from Ohio university if the university determines that his/her graduation or receipt of credit may have involved misconduct while he/she was working toward a degree as well as currently enrolled students who have been charged with serious violations of civil or criminal law, regardless of when that violation occurred.

14. **Student Groups** - A number of persons who are associated with the university and each other, but who have not registered, or are not required to register, as a student organization (included but not limited to athletic teams, musical or theatrical ensembles, academic or administrative units, clubs not registered as a student organization).

15. **Student Organization** - A number of persons who are associated with one another and have registered with the university as a student organization, including but not limited to clubs, club sports, or fraternities and sororities.

16. **Support Person** – Any person chosen by either the complainant or the respondent to provide support to them throughout their interactions with CSSR. This person does not actively participate in the process, but can be present at meetings and hearings to provide support to the complainant or respondent. The support person cannot be a witness in the matter in question.

17. **University** – Ohio university, including all of its regional campuses and online programs.
18. University Appeal Board—A panel of three individuals selected to review appeals as described in Part 8. The panel will be made up of three individuals from the university hearing board: either one faculty member, one staff member, and one student or one faculty or staff member, and two students.

19. University Hearing Board—A panel of three individuals who are responsible for hearing matters involving alleged violations of the prohibited conduct part of the “Student Code of Conduct” when the director, associate director, assistant director, or hearing officer in CSSR has determined that suspension or expulsion may be appropriate sanctions if the respondent is found responsible for the charge(s). A hearing board is composed of two students and one faculty or staff member.

20. University Official—Any person employed by the university (including but not limited to faculty, staff, administration, and student staff members) acting within the scope of their job duties.

21. Witness—Person who is requested to participate in an investigation or a hearing because they may have information about the alleged misconduct. Witness names may be supplied by the complainant, respondent, or others with knowledge of the matter. All witnesses requested to appear at a hearing by CSSR will be considered university witnesses.

Part D: Prohibited Conduct

The university considers the behavior described in the following sub-parts as inappropriate for members of the Ohio university community. These rules apply to all Ohio university students, student groups, and student organizations for behavior that occurs both on and off campus. The right of all students to seek knowledge, debate and freely express their ideas is fully recognized by the university.

1. Academic Misconduct
   a. Plagiarism: Using another's work, in whole or in part, without acknowledging the source and presenting that material as one's own academic work. This includes, but is not limited to:
      i. Reproducing another person's work, whether published or unpublished (this also includes using materials from companies that sell research papers)
      ii. Using another's ideas or written words without properly acknowledging the source:
      iii. Quoting a source word for word without providing quotation marks and citations.
      iv. Copying words or ideas from a source without appropriate citations.
      v. Submitting work that is substantially identical to another source in content and/or organization without appropriately citing the source.
      vi. Using other intellectual property in a work without appropriate citations, permissions, or rights (when applicable). Intellectual property includes but is not limited to images, videos, software programs, computer code, or pieces of music.
   b. Cheating: Any attempt by a student to answer questions on a test, quiz, or assignment by means other than his or her own knowledge, without authorization from the instructor resulting in unfair academic advantage. This includes, but is not limited to:
Using the textbook or other materials, such as a notebook, not authorized for use during an examination.

Using technology (i.e. cell phones, laptop computers, social media, text messages, etc.) to aid in the completion of work when not permitted to do so.

Observing or copying the work of another student or allowing another student to plagiarize, copy, or observe one’s own work.

Using unauthorized material during a test, notes, formula lists, notes written on clothing, etc.

Taking a quiz, exam, or similar evaluation in place of another person.

Providing or requesting assistance from another person in a manner prohibited by the instructor.

Using a laboratory, computer, or calculator inappropriately or without authorization.

Changing material on a graded exam and then requesting a re-grading of the exam.

Acquiring unauthorized knowledge of an examination or any part of an examination.

Signing in any person other than one’s self for class attendance.

c. Multiple Submissions: Submitting the same academic work for credit more than once unless specifically authorized by the instructor of record.

d. Fabrication or Falsification: Knowingly using and/or presenting invented or fabricated information, falsified research, or other findings.

e. Misrepresentation: Misrepresenting facts in an attempt to gain an academic advantage. This includes, but is not limited to:

   i. Providing false or misleading information in an attempt to receive an extension or postponement of a test, quiz, or other assignment.

   ii. Providing false or misleading information in an attempt to receive a re-take of an exam or a re-submission of an assignment.

   iii. Providing false or misleading information as part of an academic probation/dismissal appeal process.

Please note: An instructor may impose a grade penalty for academic misconduct and/or file a disciplinary referral.

2. Possessing/Providing False and Misleading Information

   a. Furnishing false information to university officials or law enforcement officers acting within the scope of their job duties.

   b. Forgery, alteration, or misuse of university documents and/or records.

   c. Possession, use and/or attempted use of false identification.

   d. Manufacture, distribution, or sale of false identification.

   e. Transferring, lending, borrowing or altering university identification.

3. Alcoholic Beverages Violation
a. Use and/or possession of alcoholic beverages except as expressly permitted by law and University Policy 24.001. This includes, but is not limited to, the underage possession or consumption of alcohol.

b. Selling, distributing, or furnishing of alcoholic beverages except as expressly permitted by law and University Policy 24.001. This includes, but is not limited to providing alcohol to a person under the legal drinking age.

c. Furnishing or causing to be furnished any alcoholic beverage to any person in a state of noticeable intoxication.

d. Misconduct under the influence of alcohol including but not limited to operating a vehicle under the influence, disorderly conduct by intoxication, and public intoxication.

e. Failure of a student organization to take all necessary steps to ensure that no person under the legal drinking age possesses alcoholic beverages at functions it sponsors or within any property or transportation it owns, operates, and/or rents.

4. Controlled Substance and/or Drug Violation

a. Use and/or possession of marijuana or substances derived from marijuana, except as expressly permitted by law.

b. Use and/or possession of other controlled substances except as expressly permitted by law.

c. Use and/or possession of drug related paraphernalia except as expressly permitted by law.

d. The abuse, misuse, sale, or distribution of prescription or over the counter medication.

e. Manufacture, distribution, sale and/or offer for sale of any controlled substance or drug, except as expressly permitted by law.

f. Intentionally or recklessly inhaling or ingesting substances (e.g. nitrous oxide, glue, paint, etc.) that will alter a person’s state of mind.

g. Misconduct under the influence of a controlled substance, including but not limited to operating a vehicle under the influence, disorderly conduct by intoxication, and public intoxication.

5. Disruptive Conduct

a. Conduct that substantially and materially disrupts or interferes with university operations including but not limited to teaching, research and/or administrative activities which occur on or off campus.
b. Causing, inciting, or participating in any disturbance that presents a clear and present danger to others, causes physical harm to others, or damage and/or destruction of property, including but not limited to participating in or inciting a riot.

c. Failure to comply with lawful orders of university officials or law enforcement officers acting within the scope of their job duties.

d. An act which deliberately interferes with the freedom of speech of any member or guest of the university community.

e. Misuse and/or tampering with any university safety equipment including but not limited to firefighting equipment, fire alarms, smoke detectors, blue light phones, etc.

f. Public Urination

g. Election Tampering: Tampering with the election of any Ohio university recognized student organization, including the student senate.

6. Harmful Behavior

a. Physical harm or threat of physical harm to any person.

b. Harassment: Unwelcome conduct (including written or electronic communication) that is so severe, pervasive, and objectively offensive that it substantially interferes with the ability of a person to work, learn, live or participate in, or benefit from the services, activities, or privileges provided by the university. In no event shall this provision be used to discipline a student for speech protected by the first amendment of the United States.

c. Discrimination: Unwelcome conduct (including written or electronic communication) against another individual that is based upon an individual protected category (as defined in Ohio University Policy 40.001) that is so severe, pervasive, and objectively offensive that it substantially interferes with the ability of a person to work, learn, live or participate in, or benefit from services, activities, or privileges provided by the university. In no event shall this provision be used to discipline a student for speech protected by the first amendment of the United States.

d. Reckless but not accidental action that poses a reasonable risk of physical harm to others.
e. **Stalking:** (For stalking based on sex or gender, please see Section D.7.h., below.) A course of conduct directed at a specific person that would cause a reasonable person to fear for his or her safety or the safety of others; or that would cause a reasonable person to suffer substantial emotional distress. A "reasonable person" is a person under similar circumstances or similarly situated to the victim. "Substantial emotional distress" is significant mental suffering or anguish that may, but does not necessarily require, medical or other professional treatment or counseling. Stalking requires two or more acts in which the stalker directly, indirectly, or through third parties, by any action, method, device, or means engages in the following behaviors: follows, monitors, observes, surveils, threatens, or communicates to or about a person; or interferes with a person's property. The following are examples of behaviors that could constitute stalking: sending unwanted emails, texts, letters, or gifts; asking someone or someone's acquaintances where they are or where they are going; repeatedly calling someone on the phone; using the internet to threaten someone; appearing at someone's class or workplace without a legitimate reason.

7. **Sexual Harassment and Other Sexual Misconduct**

Charges under this section of the student code of conduct will be addressed through the sexual harassment and other sexual misconduct process, which may be found in Appendix B.

a. **Sexual Harassment by Quid Pro Quo:** See University Policy 03.004: Sexual Harassment and Other Sexual Misconduct. ( Appendix C)

b. **Sexual Harassment under Title IX:** See University Policy 03.004: Sexual Harassment and Other Sexual Misconduct. ( Appendix C)

c. **Non-Consensual Sexual Intercourse:** See University Policy 03.004: Sexual Harassment and Other Sexual Misconduct. ( Appendix C)

d. **Non-Consensual Sexual Contact:** See University Policy 03.004: Sexual Harassment and Other Sexual Misconduct. ( Appendix C)

e. **Sexual Exploitation:** See University Policy 03.004: Sexual Harassment and Other Sexual Misconduct. ( Appendix C)

f. **Domestic Violence:** See University Policy 03.004: Sexual Harassment and Other Sexual Misconduct. ( Appendix C)

g. **Dating Violence:** See University Policy 03.004: Sexual Harassment and Other Sexual Misconduct. ( Appendix C)

h. **Stalking:** See University Policy 03.004: Sexual Harassment and Other Sexual Misconduct. ( Appendix C)

i. **Sexual Harassment under University Policy:** See University Policy 03.004: Sexual Harassment and Other Sexual Misconduct. ( Appendix C)
8. Hazing
   a. Recklessly or intentionally endangering the mental, emotional, or physical health and/or safety of a student: See University Policy 23.010: Hazing.
   c. Coerced consumption: See University Policy 23.010: Hazing.
   e. Coerced activities: See University Policy 23.010: Hazing.
   f. Acts of sexual harassment or other sexual misconduct as defined by University Policy 03.004.

9. Theft
   a. Taking without consent the property or services of the university, another person, business, or organization.
   b. Possessing property that can reasonably be determined to have been stolen from the university, another person, business, or organization.

10. Damage to Property
    a. Vandalism, destruction, or damage to public or private property.
    b. Reckless but not accidental action that poses a reasonable risk of damage or destruction of public or private property.

11. Use or Possession of Weapons and/or Dangerous Materials
    a. Possession, storage or use of weapons including but not limited to firearms, compressed-air guns, pellet guns, etc. on university owned or affiliated property except as expressly permitted by law.
    b. Possession, storage or use of dangerous materials including but not limited to fireworks, explosives or chemicals which are corrosive or explosive on university owned or affiliated property except as expressly permitted by law.
    c. Any object not mentioned above used to intimidate, threaten, harm, and/or provide force can be considered a weapon under this provision.
    d. Use of a weapon to intimidate, threaten, or harm another person.
12. Misuse of Keys and/or Access Device
   a. Unauthorized use, distribution, duplication, or possession of any key or other access
device issued for any campus building, structure, room or facility.

13. Misuse of the University Disciplinary Processes
   a. Falsification, distortion, or misrepresentation of information during the community
standards process or sexual harassment and other sexual misconduct process,
including filing a false complaint against another person.
   b. Hindering or interfering with the community standards process by failing to abide by the
notice from a university official to appear for a student conduct meeting or hearing.
   c. Intimidation or retaliation in response to an individual's participation in, or use of, the
community standards process.

14. Trespass or Misuse of Facilities
   a. Misuse or unauthorized use of any facility.
   b. Unauthorized entry or attempted entry into any facility.

15. Violation of Ohio University Student Housing Handbook
   a. Violation of any published housing and residence life policy, rule and/or regulation,
including the housing contract.

16. Violation of University Policy
   a. Violation of any university policy and/or procedure of Ohio university.

17. Violation of Law
   a. Conduct that would constitute a violation of any local, state, and/or federal law, including
violation of the Code of Ordinances of the city of Athens.

Part E: Community Standards Process
The following processes apply to those involved in matters being addressed by the community
standards process. The process for addressing allegations of sexual harassment and other
sexual misconduct may be found in Appendix B.
1. **Referrals** – Members of the Ohio university community may file a student conduct referral alleging that a student, student group, or student organization has violated the prohibited conduct outlined in the “Student Code of Conduct”. The office of community standards and student responsibility (CSSR) may also receive referrals from external entities, including law enforcement and members of the general public. Upon receipt of a referral, the director of the office of community standards and student responsibility, or designee, may review all relevant information and may consult with any appropriate parties regarding the incident in question. It is the responsibility of the director of the office of community standards and student responsibility (CSSR) to determine the appropriateness of the referral as well as how the referral will be processed. Upon receipt of a referral and if appropriate, interim measures (including presidential interim suspension), may be implemented as outlined in Part I.

2. **Hearing Authorities** – Hearing authorities are responsible for processing cases involving alleged violations of prohibited conduct as described in the “Student Code of Conduct”. The director of CSSR (or designee) is responsible for determining the appropriate hearing authority for each matter. The following are hearing authorities:

   a. **Hearing Officer** – Appropriate staff members from the office of community standards and student responsibility and housing and residence life as determined by the director of CSSR (or designee) are responsible for hearing matters involving alleged violations of the prohibited conduct part of the “Student Code of Conduct” and serve to advise both the complainant and the respondent regarding procedures related to the “Student Code of Conduct”. They have the authority to conduct community standards conferences, to serve as a hearing officer in an administrative hearing and to serve as an advisor to the university hearing board and the university appeal board. They have the authority to impose a full range of sanctions under the “Student Code of Conduct”, up to and including disciplinary suspension and disciplinary expulsion.

   b. **University Hearing Board** – The university hearing board (UHB) is responsible for hearing matters involving alleged violations of the prohibited conduct part of the “Student Code of Conduct” when an appropriate CSSR staff member has determined that disciplinary suspension or disciplinary expulsion may be appropriate sanctions if the respondent is found responsible for the charge(s). A hearing board is composed of two students and one faculty member or administrator. The UHB is advised by a CSSR staff member and does not directly impose sanctions under the “Student Code of Conduct”. In instances where the respondent is found in violation, the UHB will make a recommendation regarding sanctions to the dean of students or designee, who will then impose any sanctions.

3. **Community Standards Conference**
   Any student, student group, or student organization (hereinafter referred to as the respondent) who has been charged with an alleged violation of the prohibited conduct part of the “Student Code of Conduct” will first be scheduled for a community standards conference with the hearing authority assigned to review the allegation. The respondent will be notified in writing of the date, time, and location of the community standards conference. Written notification will include:

   a. the specific charges pending against the respondent;

   b. a brief summary of the referral;

   c. statement of rights and responsibilities; and
d. if applicable, a statement notifying the respondent that the alleged conduct is significant enough that they may face suspension or expulsion if the charge is substantiated.

The community standards conference is the first step in the community standards process, and serves to provide the respondent with the opportunity to discuss the allegations that led to the referral. The respondent will receive more information regarding the community standards process, clarification of their rights and options, the ability to inspect and review all relevant information as well as a range of potential sanctions for the violation in question should the charges be substantiated. After a discussion regarding the incident and review of relevant information, the respondent will have an opportunity to accept or deny responsibility for the charge(s). If the respondent accepts responsibility for the charge(s), the hearing authority will sanction the respondent as part of the community standards conference.

If the respondent denies responsibility for one or more of the charges, the hearing authority will proceed to schedule a hearing for the student. If the hearing authority has determined that should the charge(s) be substantiated, disciplinary suspension or disciplinary expulsion are not appropriate sanctions, the respondent will be scheduled for an administrative hearing. If the hearing authority determines that disciplinary suspension or disciplinary expulsion are potential sanctions, the respondent may choose either an administrative hearing or a university hearing board.

The respondent must attend the community standards conference. If the respondent fails to appear for two scheduled community standards conferences and the absence is not excused, the conference will proceed in their absence. In these instances, if the hearing authority has determined that disciplinary suspension or disciplinary expulsion are not potential sanctions should the charge(s) be substantiated, the matter will be resolved in the community standards conference based on an evaluation of the evidence available to the hearing authority at the time of the scheduled conference. If the hearing authority determines that disciplinary suspension or disciplinary expulsion are potential sanctions should the charge(s) be substantiated, the respondent may be scheduled for an administrative hearing and sent notification of that hearing. Alternatively, a hold may be placed against the student’s registration until such time as the community standards process is complete. If a hearing is scheduled, the date for a hearing will be determined within a reasonable period of time following the community standards conference. Hearing notification shall include:

a. the date, time, and location of the hearing;
b. the specific charges against the respondent;
c. a statement of rights and responsibilities; and
d. in instances of administrative hearings only, the name of the hearing officer.

4. Hearings

The purpose of a hearing is to provide an equitable forum for the review of the available information regarding an alleged incident of misconduct. The hearing authority will decide by the preponderance of evidence whether or not the respondent is found responsible for the charge(s). All hearings are closed to the public, with the exception of advisors and/or support persons for the complainant and respondent. All parties directly participating in the hearing (the respondent, complainant, and staff member from CSSR or housing and residence life who is presenting the information) may remain present the entire time, excluding deliberations.

a. Types of Hearings
i. **Administrative Hearing** – Administrative hearings are presided over by a single hearing officer. The hearing officer will be someone other than the staff member who conducted the original community standards conference. If the respondent fails to appear at a scheduled administrative hearing and the absence is not excused, the hearing may proceed in the respondent’s absence or may be rescheduled at the discretion of the hearing officer. All hearings conducted under this process will be administrative hearings, unless a student is facing separation from the university (suspension or expulsion) and requests a university hearing board review their matter.

ii. **University Hearing Board Hearing** – University hearing board hearings are presided over by members of the university hearing board. Each university hearing board hearing will have a hearing board chair. The chair is responsible for keeping the proceedings moving forward. If the respondent fails to appear at a scheduled university hearing board and the absence is not excused, the hearing may proceed in the respondent’s absence or may be rescheduled at the discretion of the chair. Each university hearing board is assigned a hearing board advisor. The role of the advisor is to ensure the community standards process is adhered to and to answer procedural questions posed by any party during the hearing. The hearing board advisor will also keep the proceedings focused on issues relevant to the specific allegations. The hearing board advisor will not participate in a determination of a finding or sanction. However, the board advisor may be summoned by university hearing board members during deliberations to answer questions regarding the community standards process. Students may request a university hearing board hearing when they face possible separation from the university (suspension or expulsion), should they be found in violation.

iii. **Sanction-Only Hearing** – Students may select a sanction-only hearing when they accept responsibility for the charges against them but would like the opportunity to have the hearing authority review the matter for the purposes of determining an appropriate sanction. Sanction-only hearings are structurally similar to administrative and university hearing board hearings, except that the hearing authority will only make a determination regarding sanctioning. The hearing authority for sanction-only hearings may be either a single administrator or the university hearing board, depending on the possible outcome facing the student, as described above.

b. **Hearing Procedures for Administrative and University Hearing Board Hearings**

Items i-iii will generally proceed in the order listed below, though the hearing authority reserves the right to adjust the order as appropriate or necessary.

i. **Guidelines**
   1. The hearing authority will explain the respondent’s rights and responsibilities, and, if applicable, the complainant’s rights and responsibilities.
   2. The hearing authority (in conjunction with the board advisor, if applicable), is responsible for assuring that these rights as well as the process described in this Part are adhered to during the hearing.
   3. The respondent or the complainant may ask for the removal of a hearing authority by providing written or verbal evidence of bias.
In cases before a hearing board, the charge of bias is made to the chairperson who will determine whether it is valid. If the charge of bias is against the chairperson, the hearing board advisor shall decide whether it is valid. If bias is found, the hearing will be rescheduled.

In an administrative hearing, the charge of bias against the hearing authority can be made to the director of CSSR, prior to the hearing. The director will decide if the charge is valid. If bias is found, the director will assign a new hearing authority to conduct the hearing.

4. The hearing authority may ask questions of any party at any time throughout the hearing.

5. The hearing authority is responsible for determining the relevancy of questions asked during a hearing. The hearing authority may deem certain questions irrelevant and not allow them to be asked. In university hearing board hearings, the hearing board advisor may assist the hearing chair in those determinations.

6. The hearing authority may exclude persons from the hearing if they are disruptive or postpone the hearing because of disruptive behavior of participants or observers.

ii. Introduction

1. Each party in the room will introduce themselves and explain their role in the hearing.

2. The hearing authority will ask the respondent to briefly introduce themselves and explain why they have requested the hearing.

iii. Presentation of Information

1. Following the respondent’s introduction, a staff member from either CSSR or housing and residence life will provide the hearing authority with a detailed summary of the incident and, if applicable, any subsequent investigation.

2. If there is a complainant, the complainant will then have an opportunity to provide the hearing authority with a summary of their role and perspective on the incident. The hearing authority may question the complainant at this time, followed by the staff member from CSSR or housing and residence life and the respondent (if applicable).

3. The hearing authority will then ask the respondent to describe their involvement in the matter at hand as it pertains to the charges being considered in the hearing. The hearing authority may question the respondent at this time, followed by the staff member from CSSR or housing and residence life and the complainant (if applicable).

4. The staff member from CSSR or housing and residence life will then present any relevant witnesses or documentary information to the hearing authority. The staff member from CSSR or housing and residence life may ask questions of any witness presented. The hearing authority, respondent, and complainant (if applicable) will each have the opportunity to ask questions regarding the information presented.

5. The complainant (if applicable) will then present any relevant witnesses or documentary information to the hearing authority. The complainant may ask questions of any witness presented. The hearing authority, respondent, and staff member will each have the opportunity to ask questions regarding the information presented.
6. The respondent will then present any relevant witnesses or documentary information to the hearing authority. The respondent may ask questions of any witness presented. The hearing authority, complainant (if applicable), and staff member will each have the opportunity to ask questions regarding the information presented.
7. The staff member from CSSR or housing and residence life will have an opportunity to make a summary statement.
8. The complainant, if applicable, will have an opportunity to make a summary statement.
9. The respondent will have an opportunity to make a summary statement.

iv. Deliberation and Finding
1. The hearing authority will go into closed session to determine by the preponderance of evidence whether the respondent will be found in violation of the charges pending in this matter. University hearing boards determine findings by majority vote.
2. The hearing will re-convene for the announcement of the finding. If the respondent is not found responsible for the violation, the case will be dismissed. If the respondent is found responsible for a violation of one or more of the pending charges, the hearing will proceed to sanctioning.

v. Sanctioning
1. The respondent will be offered an opportunity to speak on their own behalf and to present character witnesses or written references for consideration in determining a sanction.
2. The complainant, if applicable and present, will be given the opportunity to present a verbal impact statement. If there is a complainant who has chosen not to attend the hearing but has provided an impact statement to the staff member from CSSR or housing and residence life, it will be given to and read aloud by the hearing authority. Any impact statement must be presented to the hearing authority only after a finding of violation has been made in the matter.
3. The staff member from CSSR or housing and residence life will give an overview of the respondent's disciplinary history, if any. The respondent will be given the opportunity to respond to the overview.
4. If the respondent was presented with a possible sanction during their community standards conference, the staff member from CSSR or housing and residence life will share that proposed sanction with the hearing authority.
5. The hearing authority will consider the following in determining a sanction:
   a. statements and evidence presented at the hearing;
   b. seriousness of the violation;
   c. the complainant’s oral or written statement of impact;
   d. the respondent’s character information;
   e. prior disciplinary record of the respondent; and
   f. disciplinary precedent.
6. The staff member from CSSR or housing and residence life will be provided an opportunity to ask the respondent questions relevant to sanctioning.
7. The hearing authority may ask follow up questions of the staff member from CSSR or housing and residence life or the respondent regarding any of the above information.
8. The hearing authority will go into closed session to determine a sanction.
9. The hearing will reconvene for the announcement of the sanction.
   a. In university hearing board hearings, the sanction is recommended to the dean of students or designee, who will make the final decision. While normally the Board’s recommendation will be the sanction imposed, the dean of students or designee may impose a different sanction. The dean of students or designee will send the student written notification of the decision.
   b. In administrative hearings, the sanction is imposed by the hearing officer. The hearing officer will send the student written notification of the decision.

c. Hearing Procedures for Sanction-Only Hearings
   Items i-iii will generally proceed in the order listed below, though the hearing authority reserves the right to adjust the order as appropriate or necessary.

   i. Guidelines
      1. The hearing authority will explain the respondent’s rights and responsibilities, and, if applicable, the complainant’s rights and responsibilities.
      2. The hearing authority (in conjunction with the board advisor, if applicable), is responsible for assuring that these rights as well as the process described in this Part are adhered to during the hearing.
      3. The respondent or the complainant may ask for the removal of a hearing authority by providing written or verbal evidence of bias.
         a. In cases before a hearing board, the charge of bias is made to the chairperson who will determine whether it is valid. If the charge of bias is against the chairperson, the hearing board advisor shall decide whether it is valid. If bias is found, the hearing will be rescheduled.
         b. In an administrative hearing, the charge of bias against the hearing authority can be made to the director of CSSR, prior to the hearing. The director will decide if the charge is valid. If bias is found, the director will assign a new hearing authority to conduct the hearing.
      4. The hearing authority may ask questions of any party at any time throughout the hearing.
      5. The hearing authority is responsible for determining the relevancy of questions asked during a hearing. The hearing authority may deem certain questions irrelevant and not allow them to be asked. In university hearing board hearings, the hearing board advisor may assist the hearing chair in those determinations.
      6. The hearing authority may exclude persons from the hearing if they are disruptive, or postpone the hearing because of disruptive behavior of participants or observers.

   ii. Introduction
      1. Each party in the room will introduce themselves and explain their role in the hearing.
      2. The hearing authority will review the charges against the respondent and ask the respondent to affirm that they are accepting responsibility for those charges.
      3. The hearing authority will ask the respondent to briefly introduce themselves and explain why they have requested this sanction-only hearing.

   iii. Presentation of Information
1. Following the respondent’s introduction, a staff member from either CSSR or housing and residence life will provide the hearing authority with a detailed summary of the incident and, if applicable, any subsequent investigation.

2. If there is a complainant, the complainant will then have an opportunity to comment on the summary given by the staff member.

3. The respondent will then have an opportunity to comment on the summary given by the staff member.

4. The hearing authority will then have the opportunity to ask the respondent (and complainant, if applicable), any questions they may have about the incident.

iv. Sanctioning

1. The hearing authority will then transition the hearing to the sanctioning stage.

2. The respondent will be offered an opportunity to speak on their own behalf and to present character witnesses or written references for consideration in determining a sanction.

3. The complainant, if applicable and present, will be given the opportunity to present a verbal impact statement. If there is a complainant who has chosen not to attend the hearing but has provided an impact statement to the staff member from CSSR or housing and residence life, it will be given to and read aloud by the hearing authority. Any impact statement must be presented to the hearing authority only after a finding of violation has been made in the matter.

4. The staff member from CSSR or housing and residence life will give an overview of the respondent’s disciplinary history, if any. The respondent will be given the opportunity to respond to the overview.

5. If the respondent was presented with a possible sanction during their community standards conference, the staff member from CSSR or housing and residence life will share that proposed sanction with the hearing authority.

6. The hearing authority will consider the following in determining a sanction:
   a. statements and evidence presented at the hearing;
   b. seriousness of the violation;
   c. the complainant’s oral or written statement of impact;
   d. the respondent’s character information;
   e. prior disciplinary record of the respondent; and
   f. disciplinary precedent.

7. The staff member from CSSR or housing and residence life will be provided an opportunity to ask the respondent questions relevant to sanctioning.

8. The hearing authority may ask follow up questions of the staff member from CSSR or housing and residence life or the respondent regarding any of the above information.

9. The hearing authority will go into closed session to determine a sanction.

10. The hearing will reconvene for the announcement of the sanction.
   a. In sanction-only hearings conducted by the university hearing board, the sanction is recommended to the dean of students or designee, who will make the final decision. While normally the Board’s recommendation will be the sanction imposed, the dean of students or designee may impose a different sanction. The dean of students or designee will send the student written notification of the decision.
b. In sanction-only hearings conducted by an administrative hearing officer, the sanction is imposed by the hearing officer. The hearing officer will send the student written notification of the decision.

5. Presenting a Case Using University Legal Counsel
In rare instances, the university may decide to formally present a student conduct case using legal counsel. In such cases, the respondent will be given adequate notice in order to seek legal counsel, if so desired. In these instances, the case will be heard by a university hearing board and the director of the office of legal affairs (or designee) will serve as a non-voting member of the hearing board.

Part F: Sanctions & Conditions of Sanction
Sanctions and conditions of sanction related to the sexual harassment and other sexual misconduct process may be found in Appendix B.

1. Sanctions – Students, student organizations, and student groups of Ohio university are responsible for abiding by all Ohio university policies. When a respondent is found in violation of the prohibited conduct part of the “Student Code of Conduct”, appropriate disciplinary sanctions will be imposed. Sanctions will take into account prior disciplinary history, if any. Sanctions are defined as follows:

a. Reprimand – Official notification of unacceptable behavior and violation(s) of the “Student Code of Conduct”. Any further misconduct may result in more serious disciplinary sanctions.

b. Disciplinary Probation – Sanction imposed for a designated period of time. Further violation of prohibited conduct as outlined in Part D may result in further disciplinary action up to and including disciplinary suspension or disciplinary expulsion. Periodic probationary meetings may also be required. All assigned conditions of sanction must be completed prior to the conclusion of disciplinary probation; otherwise the disciplinary probation will remain in effect.

c. Disciplinary Suspension – Sanction imposed for a designated period of time. During the period of suspension, a student may not attend classes (either in person or online), or participate in University related activities, whether they occur on or off campus. Additionally, a student under disciplinary suspension may not be present on University premises unless authorized in writing in advance under conditions approved by the director of the office of community standards and student responsibility. All assigned educational sanctions must be completed prior to the conclusion of disciplinary suspension; otherwise the disciplinary suspension will remain in effect.
i. Students who have been suspended from the university must petition for reenrollment through the director of the office of community standards and student responsibility. Reenrollment may be granted, with further probationary status, at the discretion of the director. Students must complete a re-enrollment form through the registrar and be in good academic standing with their college and be otherwise eligible to re-enroll in order to return to the university.

ii. Disciplinary suspension of a student organization or student group is a temporary revocation of University recognition. While an organization or group is suspended, it may not use University resources or participate as an organization in any University activities or events. A student organization suspension will not exceed five years. Student organizations or groups that have been suspended from the university must petition for reenrollment through the director of the office of community standards and student responsibility. Reenrollment may be granted, with further probationary status, at the discretion of the director.

d. **Disciplinary Expulsion** – Sanction which permanently removes the student from their academic program and separates the student from the University without the opportunity to graduate or reenroll in the future. A student under disciplinary expulsion may not be present on university premises unless authorized in writing in advance under conditions approved by the director of the office of community standards and student responsibility. Expulsion will be noted on the student's academic transcript.

i. Disciplinary expulsion of a student organization or student group is the permanent revocation of University recognition of that organization.

2. **Conditions of Sanction** – In conjunction with a sanction, a student found to have been in violation of prohibited conduct may be assigned conditions of sanction that are educational in nature and reflect the nature of the violation. Conditions of sanction include but are not limited to, reflective papers, seminars, community restitution, access restriction, revocation of rights and privileges, fines/fees, etc. If a student has any outstanding conditions of sanction at the conclusion of disciplinary probation or suspension, that student's disciplinary probation or suspension status will remain in effect pending the completion of the conditions of sanction. Additionally, failure to complete conditions of sanction by the deadline given will result in the placement of a disciplinary hold on the student's academic records.

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**Part G: Student Rights & Responsibilities**
The following rights and responsibilities apply to those involved in a matter being addressed by the community standards process. The rights and options available to parties involved in a matter being addressed through the sexual harassment and other sexual misconduct process are described in Appendix B.
1. Rights of Respondent – All respondents in the community standards process have the following rights:
   a. Written notice of the charge(s) made against them and the basis of the allegation that led to the charge(s).
   b. In matters that could result in a sanction of suspension or expulsion, the above-mentioned notification will alert the respondent to the possible severity of the outcome.
   c. The right to an advisor, as defined in Part C.
   d. The right to a support person, as defined in Part C.
   e. To request reasonable accommodations due to disability as outlined in Part (G)(3).
   f. Reasonable access to inspect and review their own case file, which includes all information that would be used during the community standards process, to the extent permitted by confidentiality laws.
   g. Explanation of the resolution options available to them through the community standards process.
   h. To be presumed not responsible for a violation of prohibited conduct until found in violation by a preponderance of the evidence.
   i. To speak or not speak on their own behalf.
   j. The opportunity to respond to information used as part of the decision-making process.
   k. To deny responsibility for the charge(s) facing them and request a hearing.
   l. To question any witnesses that participate as part of a hearing.
   m. The right to appeal, as described in Part H.
   n. To waive any of the above stated rights provided that the waiver is made freely and in writing.

2. Rights of the Complainant – All complainants in the community standards process have the following rights:
   a. To pursue criminal or civil charges where a legal case exists (without University assistance).
   b. Explanation of the resolution options available to them through the community standards process.
   c. To be free from harassment and intimidation from respondents and others as they engage this process.
   d. The right to an advisor, as defined in Part C.
   e. The right to a support person, as defined in Part C.
   f. To request reasonable accommodations due to disability as outlined in Part (G)(3).
   g. To provide information for consideration during the community standards process, and to know the results of the process to the extent allowed under federal laws and university policies.
   h. The opportunity to appear at any hearing that may take place in order to provide relevant information.
   i. The opportunity to question any witnesses that participate as part of a hearing.
   j. The opportunity to submit a written impact statement for use in a hearing, even if the complainant chooses not to attend the hearing.

3. Responsibilities of Respondents, Complainants, and Witnesses – All respondents, complainants, and witnesses in the community standards process have the following responsibilities:
a. To be honest and forthright in all information they provide during the community standards process. Presenting false and misleading information during this process is a violation of prohibited conduct as outlined in Part D.

b. To attend all required meetings, conferences, or hearings, as scheduled, unless alternate arrangements are made in advance.

c. To refrain from disruption of the hearing process. Disruption of this process is a violation of prohibited conduct as outlined in Part D.

d. Complainants and respondents have the responsibility to prepare and present their entire case as well as secure the presence of any witnesses who will speak on their behalf.

4. **Reasonable Accommodation for Students with Disabilities** – Any student with a disability involved in this process has the right to request reasonable accommodation in order to ensure their full and equal participation in this process. Students wishing to request reasonable accommodations should make those requests directly to the office of Student Accessibility Services (SAS). Students do not have to disclose information about the complaint or charge to SAS to request reasonable accommodation except to the extent that it may assist in the determination of reasonable accommodations. Accommodations are determined on an individual basis by SAS staff and implemented in consultation with CSSR and ECRC (if applicable). Examples of reasonable accommodation include but are not limited to sign language interpretation, real-time communication access during hearings, large print documents, extended time to review documents, or assistance with transcribing questions during interviews or hearings. Students are responsible for requesting accommodations in a timely manner; failure to do so may result in a delay in the process.

   - **Student Accessibility Services**
     - Baker University Center, 348 740-593-2620, disabilities@ohio.edu
     - [http://www.ohio.edu/uc/sas](http://www.ohio.edu/uc/sas)

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**Part H: Appeal Process**

The following appeal processes apply to those involved in matters being addressed by the community standards process. The appeal process available to parties in matters being addressed through the sexual harassment and other sexual misconduct process may be found in Appendix B.

1. A respondent that has accepted responsibility for, or was found in violation of prohibited conduct through the community standards process, may appeal the finding(s) and condition(s) of sanctions imposed within five business days after the receipt of written notification detailing the decision of the case. Upon reasonable request, the five-business day deadline may be extended by the director of the office of community standards and student responsibility.

2. In the appeal, the respondent must state the reason(s) for the appeal, the supporting facts, and the requested solution. An appeal is not a rehearing of the matter and cannot be filed simply because the student disagrees with the outcome. An appeal may only be submitted for one or more of the following reasons:
   a. The sanctions and/or conditions of sanctions are extraordinarily disproportionate to the violation(s); and/or
   b. A procedural defect occurred that significantly impacted the outcome of the hearing; and/or
c. Discovery of new and significant information that could have affected the outcome of the hearing and that was not known, or could not reasonably have been discovered and/or presented at the time of the hearing.

3. University Appeal Board
   a. All appeals will be heard by the university appeal board. The appeal board will meet in a closed session, within a reasonable period of time, and will either grant or deny the appeal by a majority vote.
   b. If the student alleges that the sanction was extraordinarily disproportionate to the violation and the appeal board finds the sanctions extraordinarily disproportionate, the appeal board may reduce the sanction.
   c. In considering appeals based on a procedural defect or the presence of new information, if the appeal board finds that there was a defect in the procedure or that new information was presented which was sufficiently substantial to the outcome, the appeal board will order a new hearing or remand the matter to the original hearing authority as appropriate.
   d. The respondent will be notified of the outcome of their appeal in writing by the director of the Office of community standards and student responsibility.

4. Vice Presidential Appeal
   a. The respondent may request the vice president for student affairs or designee review a university appeal board decision if the university appeal board denies the appeal.
   b. An appeal to the vice president for student affairs or designee must be submitted to the office of the vice president for student affairs within three business days after the receipt of written notification of the appeal board decision.
   c. The appeal is limited to the grounds of the original appeal to the University Appeal Board, except in cases where the respondent is appealing on the basis of new evidence.
   d. If the student alleges that the sanction was extraordinarily disproportionate to the violation and the vice president for student affairs or designee finds the sanctions to be extraordinarily disproportionate, the vice president for student affairs or designee may reduce the sanction.
   e. In considering appeals based on a procedural defect or new information being presented, and the vice president for student affairs or designee finds that there was a defect in the procedure or that new information was presented which was sufficiently substantial to the outcome, the vice president for student affairs, or designee will order a new hearing or remand the matter to the original hearing authority as appropriate.
   f. The respondent will be notified of the outcome of their appeal in writing by the vice president for student affairs or designee within a reasonable period of time.
   g. The decision of the vice president for student affairs or designee is the final decision in the matter.

Part I: Interim Measures, Interim Suspension, and State of Emergency
The section addresses interim measures, interim suspension, and State of Emergency procedures for the community standards process. For supportive measures and emergency removal under the sexual harassment and other sexual misconduct process, refer to Appendix B.

1. Interim Measures
When the alleged actions of a student threaten the good order or safety of the university, interim measures may be put into place. Interim measures are administrative directives that are intended to insure the safety of the university and prevent a situation from escalating. Interim measures may be made at any point after a referral is received and may include, but are not limited to:

i. Administrative directives for no contact;
ii. Temporary or permanent re-assignment of university housing; and/or
iii. Restriction of access to particular areas of campus.

CSSR will make decisions on interim measures after appropriate consultation. Professional staff in housing and residence life may initiate an immediate residential move in the case of allegations of sexual misconduct, violence, or threats of violence. They may also issue temporary administrative directives for no contact under the same circumstances. Housing and residence life will notify CSSR in writing of any interim measures they initiate as soon as possible, but no later than the next business day. All interim measures described above will be documented in writing.

2. Interim Suspension or Interim Suspension of Housing

a. When the behavior of a student disrupts the good order of the university or poses a risk to the safety of the campus, the university may place the student on an interim suspension. This action immediately suspends the student from university premises and activities.

b. The interim suspension will be decided by the dean of students (or designee), in consultation with appropriate university offices. The interim suspension will be confirmed in writing and remain in effect until such time as the alleged violations of the "Student Code of Conduct" are resolved. The student will be notified of the interim suspension by the director of community standards and student responsibility (or designee). Within three business days of the notification of the interim suspension, the student may request a review of the interim suspension. The request for review must be submitted in writing and include all relevant documentation the student wishes to be considered as part of the review. The purpose of the review is to determine only if the student poses an on-going threat. The vice president for student affairs (or designee) will then determine if the interim suspension remains in effect, is modified, or is rescinded. For instances involving allegations of sexual misconduct, relationship violence, or stalking (as defined in University Policy 03.004), the vice president or designee will make their decision in consultation with the Title IX coordinator. The decision on the review will be provided to the student in writing and is final.

c. The student may request an extension of the deadline to submit a request for review in extreme circumstances, such as hospitalization or incarceration. Students may be required to provide documentation related to their request for an extension.

d. The outcome of the review will not impact the pending disciplinary process. If the vice president for student affairs (or designee) upholds the interim suspension, the decision will remain in effect until the pending charges through the office of community standards and student responsibility have been resolved. The student will be notified of the decision of the vice president for student affairs (or designee) in writing within a reasonable time frame.
e. If the final decision of the community standards process is to suspend or expel the student, the sanction will take effect from the date of the interim suspension. If the decision is a reprimand or disciplinary probation, or if the student is found not to be in violation of the charges, for purposes of the record, the interim suspension will be deemed not to have occurred. The student has the right to appeal the final decision in accordance with Part H.

3. State of Emergency
   a. Pursuant to Section 3345.26 of the Revised Code, the board of trustees or president of a college or university which receives any state funds in support thereof, may declare a state of emergency when there is a clear and present danger or the orderly conduct of lawful activities at such college or university through riot, mob action or other substantial disorder, and may do one or more of the following, as are necessary to preserve order and discipline at such college or university during such emergency:
      i. Limit access to university property and facilities by any person or persons;
      ii. Impose a curfew;
      iii. Restrict the right of assembly by groups of five or more persons;
      iv. Provide reasonable measures to enforce limitations of access, a curfew and restrictions on the rights of assembly imposed pursuant to this part.
   b. Notice of action taken pursuant to Part (I)(3)(a) shall be posted or published in such manner as is reasonably calculated to reach all persons affected.
   c. Parts (I)(3)(a) and (I)(3)(b) of this document shall not be construed to limit the authority of the board of trustees, president or other proper official of a college or university to impose reasonable restrictions on use of and access to, and the hours and use and access to university property and facilities, for purpose of regulating the proper operation of such university, and regardless of whether any emergency exists.

Part J: “Student Code of Conduct” review process

1. Timeline
   a. The full “Student Code of Conduct” will undergo a formal review process every two years. The review will take place under the direction of the director of the Office of community standards and student responsibility in collaboration with the “Student Code of Conduct”: Review & Standards Committee.
   b. Should changes to the “Student Code of Conduct” be necessary for the purposes of complying with local, state, or Federal law, or as deemed necessary by the student code of conduct: review and standards committee, changes may be made outside of the two-year cycle.
   c. The appendices are independent of the “Student Code of Conduct” and are not part of the formal review process. For those appendices that require periodic review, the information for that process is listed in that appendix.

2. Proposing Changes to the “Student Code of Conduct”
   a. Any member of the Ohio university community may propose amendments to the “Student Code of Conduct”. Proposed amendments shall be reviewed by the student code of conduct: review and standards committee for possible consideration.

3. Approval Process
a. All proposed changes to the “Student Code of Conduct” must be reviewed and approved by the student code of conduct: review and standards committee. Those changes will be submitted to the vice president for student affairs for consideration.

b. Changes to “Student Code of Conduct” procedure (Parts C, E, G, H, and K) are subject to approval by the vice president for student affairs before implementation.

c. Changes to “Student Code of Conduct” policies (Parts A, B, D, F, I, and J) are subject to approval by the president and formal action by the board of trustees prior to implementation.

Part K: Acknowledgements

Parts of the Ohio university “Student Code of Conduct” are adapted from the national center for higher education risk management (NCHERM) group “Model Developmental Code of Student Conduct” and are used here with permission. Additionally, the office of community standards and student responsibility and the student code of conduct: review and standards committee wishes to acknowledge the following for their example and assistance in crafting this code of conduct: North Carolina state university; old dominion university; plagiarism.org - http://www.plagiarism.org/plagiarism-101/what-is-plagiarism; Ohio state university; university of central Florida; university of Florida; university of Texas at Austin; wright state university. This document has been formatted in order to comply with the fourth edition of the “Rule Drafting Manual” by the Ohio legislative service commission.

Appendix A

Ohio Revised Code Part 3333.38 (House Bill 95)

A. Pursuant to Ohio Revised Code Section 3333.38(C), Ohio university must immediately dismiss an individual who is convicted of, pleads guilty to, or is adjudicated a delinquent child for a violation of Ohio Revised Code Section 2917.02 (aggravated riot) or Ohio Revised Code Section 2917.03 (riot). Pursuant to Ohio Revised Code Section 3333.38(B), an individual who is convicted of, pleads guilty to, or adjudicated a delinquent child for any of the following offenses shall be ineligible to receive any student financial assistance supported by state funds for two calendar years from the time the individual applies for such assistance:

1. a violation of Ohio Revised Code Section 2917.02 (aggravated riot) or Ohio Revised Code Section 2917.03 (riot),

2. a violation of Ohio Revised Code Section 2917.04 (failure to disperse) that is a misdemeanor of the fourth degree,

3. a violation of Ohio Revised Code Section 2917.13 (misconduct at emergency) that is a misdemeanor of the fourth or first degree and occurs within the proximate area where four or more others are acting in a course of conduct in violation of Ohio Revised Code Section 2917.11 (disorderly conduct).

B. The student financial assistance programs supported by state funds includes any post-secondary student financial assistance supported by state funds, including assistance granted under Ohio Revised Code Section:

3315.33 Ohio Scholarship Fund for Teacher Trainees
3333.12  Ohio Instructional Grant Program and Part-time Ohio Instructional Grant Program
3333.21  Ohio Academic Scholarship Program
3333.26  Tuition Waiver for Child or Spouse of Public Service Officer Killed in Line of Duty
3333.27  Student Choice Grant Program
3333.28  Nurse Education Assistance Program
3333.29  Student Workforce Development Grant Program
3333.372 Ohio Outstanding Scholarship and Ohio Priority Needs Fellowship
5910.03  Scholarship for Children of Deceased or Disabled Veterans of the Armed Services of the United States
5910.032 Children of Persons Declared Prisoner of War or Missing in Action 5919.34
National Guard Scholarship Program
FACULTY AND ADMINISTRATIVE EMERITI AWARDS

RESOLUTION 2020 –

WHEREAS, the following individuals have rendered dedicated and outstanding service to Ohio University, and

WHEREAS, their colleagues and supervisors have recommended action to recognize their service.

NOW THEREFORE, BE IT RESOLVED that the Board of Trustees hereby approves emeriti status for the following individuals upon their retirement from Ohio University.

<table>
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<th>Name</th>
<th>Unit</th>
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<td>Professor Emerita of Biological Sciences</td>
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<tr>
<td>Hugh Martin</td>
<td>Scripps College of Communication</td>
<td>10</td>
<td>Professor Emeritus of Journalism and Media Economics</td>
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Interoffice Communication

Date: July 31, 2020

To: The President and Board of Trustees

From: Elizabeth Sayrs, Executive Vice President and Provost
       Howard Dewald, Associate Provost for Faculty and Academic Planning

Re: Emeriti Nominations

The two individuals named have rendered dedicated service to Ohio University and have been recommended by their department chair/school director and college dean for emeriti status upon their retirement.
Interoffice Communication

Date: July 31, 2020

To: The President and Board of Trustees

From: Elizabeth Sayrs, Executive Vice President and Provost
       Randy Leite, Dean of the College of Health Sciences and Professions
       Nicole Pennington, Executive Dean of Regional Higher Education

Re: Notification of Early Graduation

Because the COVID-19 pandemic has increased demand for health care professionals, two academic programs are preparing students for early graduation in fall semester:

- second-year nursing students in the associate’s degree program on the Zanesville campus, and
- master’s students in the physician assistant program on the Dublin campus.

The department chairs will work with the Registrar’s Office to ensure degree requirements are met and degrees are conferred in October.
Interoffice Communication

Date: August 4, 2020

To: The President and Board of Trustees

From: Janie Peterson, President of Student Senate

Re: Annual Student Senate Report

The Student Senate leadership will be prepared to present its Annual Report to the Board of Trustees on August 17th.

Our report will include: Student Senate Agenda:

1. Overview of Student Senate
   a. Election update
   b. Introduction of current officers

2. Programming and partnerships
   a. Overview of programming sponsored by Student Senate
   b. Collaboration with other student organizations

3. Student Senate Initiatives
   a. Sexual assault
   b. Mental health

4. Senate Appropriations Committee
   a. Student Organization funding
   b. Financial overview of Student Senate

5. Student Advocacy
   a. Shared governance
   b. Outreach efforts
Governance and Compensation Committee Meeting
APPOINTMENT OF NATIONAL TRUSTEE
RESOLUTION 2020 -- ______

WHEREAS, in accordance with Article I, Section 3 of its Bylaws, the Board desires to appoint a National Trustee for a full three year term that will expire on August 18, 2023; and

WHEREAS, Eileen M. Sheil was appointed to this Board by the Governor in accordance with Revised Code Section 3337.01; and

WHEREAS, Eileen M. Sheil has advised that she intends to resign as a voting member of the Board because she is relocating out-of-state and will no longer be eligible to serve as a voting member of the Board; and

WHEREAS, this Board desires to fill a vacancy in the National Trustee position with the appointment of Eileen M. Sheil to be effective August 19, 2020, conditioned upon her resignation as a voting member of the Board;

NOW THEREFORE, BE IT RESOLVED that the Board of Trustees, in accordance with Article I, Section 3 of its Bylaws, hereby appoints Eileen M. Sheil to serve as a National Trustee for a term that will commence on August 19, 2020 and will expire on August 18, 2023.
Audit and Risk Management Committee Meeting
Interoffice Communication

Date:    July 31, 2020

To:    The President and Board of Trustees

From:    Deborah J. Shaffer, Senior Vice President Finance & Administration, CFO and Treasurer
          Larry D. Wines, Director of Enterprise Risk Management and Insurance
          Elizabeth Conlin, Executive Director IUC – Risk Management & Insurance Consortium

Re:    2020 Risk and Insurance Report

Enclosed please find the annual Risk and Insurance Report for presentation to the Board of Trustees. Ohio University participates in the Inter-University Council Risk Management & Insurance Consortium (IUC-RMIC). The mission of the IUC-RMIC is to provide the member universities with innovative risk management expertise, asset protection, and effective loss control strategies in support of affordable access to education for our students.

The IUC-RMIC Executive Director works with our broker services team at Marsh to develop estimated cost savings the IUC-RMIC provides as compared to individual members addressing their risk management and insurance needs on a standalone basis. This is evidenced by the outcome of this year’s renewal where Ohio University’s overall program costs as part of the IUC-RMIC program decreased by 8.87%, or $114,396. Coupled with estimated savings, the university saved in excess of $800,000 as a member of the IUC-RMIC.

Ohio University purchases the following types of coverage and insurance through the IUC-RMIC:

• Property Insurance – protects university buildings, contents and business interruption against losses from major perils, including, but not limited to, boiler and machinery, fire, earthquake, flood, lightening, windstorm, hail, smoke, riot, damage caused by vehicles or aircraft, sprinkler leakage, vandalism and terrorism. The property market has been deteriorating due to catastrophic losses in higher education, which was only accelerated by the events of the COVID-19 pandemic. In recent years, insureds were seeing single to double digits premium increases being experienced depending on insureds’ risk and loss profiles. In FY20, the IUC-RMIC experienced minimal increases; however, double digit increases were experienced at the FY21 renewal.
• New this year is our Fine Arts program which was created to consolidate and enhance our coverage for property of historical and/or artistic value as well as reduce our deductibles especially for artwork on loan to the University which now has a nil ($0) deductible.

• Casualty (GL, AL, ELL) - protects against negligent and alleged negligent acts of employees or other University agents that result in bodily injury or property damage to the property of others. Includes liability arising from automobiles, premises, and police professional. Also includes foreign liability insurance and medical malpractice insurance. Further, coverage is extended to include Wrongful Acts, Failure to Education as well as suits brought by employees for employment related issues. The primary increase driver for casualty was due to pool funding requirements as a result of loss activity with the Self-Insurance Pool. There was a slight increase to the insurance carriers; however, the IUC-RMIC has been able to maintain coverage for Sexual Abuse & Molestation, Traumatic Brain Injury and Law Enforcement as well as Communicable Disease for FY21.

• Crime – due to underwriter’s concerns regarding Faithful Performance and a potential loss involving the coverage, the previous carrier, Travelers, would not renew the coverage with Faithful Performance; therefore, the IUC-RMIC had to quickly seek alternatives with another carrier which led to increased costs in order to maintain coverage for Faithful Performance.

• Excess Social Engineering – with the change in Crime carriers, the new carrier reduced coverage for Social Engineering; therefore, a stand-alone option was secured for the members who desired greater coverage.

Ohio University also independently purchases Specialized Insurance coverage inclusive of foreign healthcare coverage; International SOS (inclusive of medical evacuation) to which we’ve added International SOS’s TravelTracker Incident Support to our program to enhance duty of care; supplemental student athlete medical insurance; supplemental student health insurance for uninsured students; Petroleum Underground Storage Tanks insurance; TechGROWTH Ohio Fund Insurance; WOUB Broadcasters Liability insurance; aviation and airport insurance as well as medical malpractice coverage for students in the Allied Healthcare programs.
## Premium Overview FY16-20

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<td><strong>CASUALTY</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>GL, AL &amp; ELL</td>
<td>$479,245</td>
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<td>Medical Malpractice</td>
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<td>$9,576</td>
<td>$24,240</td>
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<td>-53.85%</td>
<td>Foreign Liability is a 3-Year Prepaid Policy</td>
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<td>Crime</td>
<td>$28,818</td>
<td>$43,127</td>
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<td>$74,383</td>
<td>$88,215</td>
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<td>Excess Social Engineering</td>
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<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>$6,704</td>
<td>N/A</td>
<td>New Program Offering</td>
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<td>Pollution</td>
<td>$13,131</td>
<td>$13,093</td>
<td>$18,729</td>
<td>$15,303</td>
<td>$18,009</td>
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<tr>
<td>Administrative Fees</td>
<td>$23,592</td>
<td>$33,658</td>
<td>$36,260</td>
<td>$34,173</td>
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<td>Loss Control</td>
<td>$91,976</td>
<td>$89,330</td>
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<td>$112,334</td>
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<tr>
<td><strong>TOTAL</strong></td>
<td>$1,142,446</td>
<td>$1,290,294</td>
<td>$1,209,556</td>
<td>$1,265,004</td>
<td>$1,474,161</td>
<td>16.53%</td>
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Inter University Council - Risk Management & Insurance Consortium (IUC-RMIC)

Property & Casualty Programs

Overview

The University is a member of the Inter University Council - Risk Management & Insurance Consortium (IUC-RMIC), which was formed in 1994 by Ohio’s 4-year public universities (with the exception of The Ohio State University) to address the risk management and insurance needs of the member universities. The mission of the IUC-RMIC is to:

“To provide our member universities with innovative risk management expertise, asset protection and effective loss control strategies in support of affordable access to education for our students.”

The goal of the Consortium is to provide (or, recommend) risk mitigation techniques, which includes insurance, to address risk inherent to the Higher Education environment. This arrangement has allowed the IUC-RMIC to provide broad coverage tailored to the needs of higher education as well as limits and sub-limits not typically available on an individual basis, all at a competitive cost. Through a combination of institutional retainers, the IUC-RMIC self-insurance pool and insurance, the consortium has been able to stabilize costs over time while maintaining the coverage and services needed by its members, which would not be available in the traditional commercial insurance markets. Further, the university realizes savings in insurance costs by retaining larger deductibles for both property and liability insurance. The lower costs of primary insurance layers facilitate the university’s ability to purchase higher limits in order to achieve better protection from the impact of larger, catastrophic events.

Essential to the IUC-RMIC are the services of the IUC-RMIC Executive Director as well as our strategic partners who have been retained for purposes of providing risk management and insurance support and expertise toward implementing the consortium’s strategic objectives.

Before reviewing the outcome of FY20-21’s risk efforts as well as future objectives, it’s important to understand the market climate and the University’s loss experience.

Market Climate

One of the many services the IUC-RMIC provides includes on-going market assessment and reporting to the membership. This critical information supports the IUC-RMIC’s strategic objectives in
bringing forward options to sustain and evolve the programs offered as well as setting member expectations should change be required. Recent reports concerning the state of the insurance market affecting higher education reveal that these markets are challenged, and member universities have and will most likely continue to experience significant changes should the market continue to deteriorate.

For property, the market for Higher Education has typically been viewed as a favorable occupancy; however, the market has experienced unprecedented losses in recent years. These losses coupled with overall losses have led to declining market conditions. As a result, insureds are experiencing double digit increases depending upon an insured’s risk and loss profile. Further, coverage for natural catastrophe events, such as flood and convective storm have been scrutinized with limits and deductibles impacted. First party cyber is a continued concern for many property carriers, with most carriers moving to exclude, limiting or aggregating limits for non-property damage events. First party cyber was excluded from the IUC-RMIC property program by our carriers effective 7/1/2020. In the past, flood loss modeling has been performed for the University’s real and personal property assets which allowed for a better understanding of its flood exposure and to identify risk mitigation opportunities. At July 1, 2020, the University’s Flood limits and deductibles have been impacted and the University is working with the IUC-RMIC to understand this impact and identify opportunities to mitigate the impact such as investigating further support from the Pool as well as exploring NFIP (the National Flood Insurance Program).

Like property, the casualty market is also experiencing significant challenge, but more severe due to increased frequency of severe losses as well as a decrease in market capacity – currently, insureds are seeing increases in the range of 25% to 35%. There is continued focus on the “frequency and severity” of loss related to sexual assault, athletics, traumatic brain injury, discrimination, and law enforcement to name a few. Market firming is further impacted by the fears of ‘deep-pockets’ and ‘social inflation’. Due to these factors affecting the casualty market, many carriers are reducing capacity, significantly increasing premiums, reducing coverage or just pulling out of the market entirely. Due to the leverage of the Consortium, our casualty program through FY19-20 avoided significant coverage limitations with only modest increases; however, effective July 1, 2020, the IUC-RMIC was unable to complete the expiring layers due to availability of capacity and price. IUC-RMIC was able to secure $30M (General Liability) and $35M (Educator’s Legal Liability) without exclusions for Sexual Abuse, TBI or Communicable Disease with an increase of 21.04% for the University. As we continue to focus our efforts on the risks detailed within, the IUC-RMIC will also continue to address emerging risks.

While we recognize that many institutions are entertaining discussions on Enterprise Risk Management (ERM) and have embarked on instituting varying elements of the risk philosophy, ERM continues to be perceived favorably by the insurance underwriting community and further supports more positive underwriting results.
**University Loss Experience**

As respects the University’s loss profile, the University’s loss experience continues to compare favorably to the members of the IUC-RMIC. Since July 1, 2013, the University’s total incurred losses are $1,983,715, which is 5.77% of the IUC-RMIC loss profile. More recent losses include:

**Property (previously shared)**
- Winter 2017-18 Deep Freeze - $222,003 for freeze damage to university buildings, plumbing, sprinkler heads, etc.
- Boiler #2 The Ridges - $198,874 for loss of boiler due to safety switch malfunction which caused the boiler to dry fire.

**Casualty**

Although the University operates in a favorable litigation environment for public entities in Ohio, the following claims have exceeded the institutional retention of $100,000:

- 2 Claimants – educators’ legal liability (ELL) claim settled with a total claim cost of $768,239; settlement amounts of $335,000 per claimant in addition to legal and claims expenses of $98,239.

  Claimant – bodily injury litigation resulting from an out-of-state automobile accident settled with a total claim cost of $461,404; settlement amount of $325,000 in addition to legal and claim expenses of $136,404.

- Claimant – educator’s legal liability (ELL) claim settled for $90,000 with remaining expenses currently being finalized.

In addition, we have placed our casualty insurance carriers on notice regarding the following claims which have the potential to exceed $25,000:

- Claimant – ELL (educator’s legal liability claim)
- Claimant – SAML (Sexual Abuse and Molestation Liability) claim
- Class Action – tuition refund demand resulting from COVID-19 pandemic
Our legal counsel’s aggressive defense of lawsuits brought against the university continues to have a significant, favorable impact on our overall experience. For all other claims, the University has not experienced a claim in excess of the institutional retention since 2013.

**FY20-21 Renewal Outcome**

With respect to the University’s program, the FY19-20 renewal proved to be more challenging than in recent years, and these challenges continued into FY20-21. Markets are experiencing unprecedented Property as well as Sexual Assault claims in Higher Education. While year-over-year costs were up 20.93%, the University was able to retain the broad coverage and services offered by the IUC-RMIC, including Sexual Abuse & Molestation and TBI (Traumatic Brain Injury) coverage. The following outlines what drove this increase in IUC-RMIC costs for Ohio University:

- **Property** – the property market is currently seeing tremendous change, but due to an IUC-RMIC program implemented in FY18, the members were insulated at renewal from the more significant premium increases or changes in coverage affecting other higher education policy holders. That said, there was increased pool funding requirements due to the University’s as well as other member’s losses in the Self-Insurance Pool. Further, building and property values were up slightly.

- **Fine Arts** – while this was a newly created program, the University did not experience a significant increase in costs but rather was able enhance coverage as well as also reduce deductibles especially for artwork on loan to the University, which is now a nil deductible.

- **Casualty (GL (General Liability), AL (Auto Liability), ELL (Educators’ Legal Liability))** – the primary increase driver was due to pool funding requirements as a result of loss activity with the Self-Insurance Pool. There was an increase to the insurance carriers; however, the IUC-RMIC has been able to maintain coverage for SAM (Sexual Abuse & Molestation), TBI (Traumatic Brain Injury) and Law Enforcement. Further, the IUC-RMIC was able to avoid any exclusion for communicable disease for FY21.

- **Special Accident** – this policy renewed after a long-term policy expired.

- **Crime** – due to underwriter’s concerns regarding Faithful Performance and a potential loss involving the coverage, the previous carrier, Travelers, would not renew the coverage with Faithful Performance; therefore, the IUC-RMIC had to quickly seek alternatives, which led to increased costs.

- **Excess Social Engineering** – with the change in Crime carriers, the new carrier reduced coverage for Social Engineering; therefore, a stand-alone option was secured for the members who desired greater coverage.
In addition to the above, it is important to note:

- Auto Physical Damage (APD) – the University’s auto physical damage claims have decreased 31.32%. The University’s office of risk management has focused on auto damage claims, e.g. by utilizing loss control funds to identify and install garage/overpass signage which has led to a significant reduction of motor vehicle vs. garage/overpass auto physical damage claims. The office of risk management is also working with Transportation and Parking Services as well as the IUC-RMIC to explore options to improve motor vehicle record (MVR) checks for authorized users of the University fleet vehicles.

- Flood – while others are seeing a restriction in coverage, the IUC-RMIC was able to maintain the University’s $100M in high-hazard flood limits through FY19-20, which was up from $25M in prior years. However, effective with the FY20-21 renewal, high hazard flood limits have been reduced to $15M, and additional limits are being explored.

Although the University experienced an increase in costs year-over-year, it is important to recognize that our costs continue to benchmark below industry averages. Using a conservative approach, the IUC-RMIC annually benchmarks the program and it is estimated that Ohio University saved approximately $1,257,844 during the most recent benchmarking exercise for FY 19-20 only. For all members of the IUC-RMIC, savings are estimated at $11.6M for FY19-20 only.

**Looking Ahead**

With the Consortium amending its name to the IUC - Risk Management & Insurance Consortium, the Consortium’s philosophy continues to shift from the buying of insurance to the management of risk through strategic objectives, whether short or long-term. As the IUC-RMIC transitioned to this new structure, more strategic focus has been placed on establishing strategic risk objectives and the following summarizes some of the outcomes and future objectives:

- The Claims Committee has approved the release of an IUC-RMIC Quarterly Claims Summary that will now be distributed to all members on a quarterly basis. This will allow members to see all claims of the Consortium as well as comparing their performance to other members.

- The IUC-RMIC will be granting each member access to a Risk Management Information System to track incidents/claims as required by the Consortium but also for their own use, which will support understanding Total Cost of Risk.

- The Risk Control Committee is now regularly reviewing losses and loss trends to establish and evolve risk mitigation strategies related the IUC-RMIC loss profile but, at the same time, reviewing industry losses to compliment these strategies.
- The Finance, Audit & Investment committee continually reviews all financial aspects of the Consortium.
- A Program Review was requested this past year, which after evaluation, has led to the approval of new funding approaches for the Self-Insurance Pools, which will result in greater stability and predictability for the members.
- The new structure has also created greater member engagement, which allows for more in-depth discussion of:
  - Financial impact of claims to institutional colleagues, not just Risk Management.
  - Exposure quantification, which will support more accurately pricing the risk and ensuring equitability between the members.
  - Emerging risks and underwriter concerns, which will allow the IUC-RMIC to manage change with the goal of maintaining necessary coverage, i.e. retaining limits for Sexual Molestation and Traumatic Brain Injury as well as setting expectations.
  - Current coverage to determine if language needs to more closely align with the current higher education environment.
  - Current level of limits to determine if additional limits should/can be considered to address catastrophic events.

Specialized Insurance
A few University departments continue to purchase insurance, as necessary, in order to bolster the effectiveness of their various missions, and these are discussed below.

- The Office of Global Opportunities (OGO) arranges for the provision of North American quality insurance and healthcare to students, staff and faculty on travel outside of the United States. The policy also includes emergency evacuation coverage, which supports the repatriation of University travelers from dangerous or life-threatening situations. Costs associated with CY2019 international travel insurance totaled $62,988 with individual travelers contributing $1.50 per day of travel. In total, payments from travelers totaled $54,282. This year also saw a switch in insurance policy underwriters from Cigna to Chubb. The University has contracted with insurance broker Gallagher and our carrier Chubb Insurance which has a close working relationship with International SOS to create a comprehensive risk mitigation solution. For CY 2020, the overall cost for travel insurance covering all University student, staff and faculty travelers on official University business or experiences is $53,216 and is paid by the University Benefits Office.
Furthermore, International SOS provides emergency travel assistance related services with last term’s cost coming in at $8,408. This includes access to international assistance services and duty of care support. International SOS coordinates access to and payment for health and emergency services onsite, most of which are covered by the above-named insurance policy. Most recently, the University added International SOS’s TravelTracker and TravelTracker Incident Support to our program. This duty of care package will allow the University to account for travelers, send messages in case of a major event or crisis and confirm traveler safety. The pro-rated cost for these services from November 22, 2019 through June 30, 2020, is $19,049.49. The cost for the full FY20-21 year for International SOS’s TravelTracker program will be $26,945 which represents a 14% decrease in the cost of our subscription fee on an annual basis due to decreased international program activity.

- Intercollegiate Athletics maintains medical insurance providing coverage for approximate 430 student athletes participating in 16 covered sports activities. This secondary insurance policy covers expenses associated with all athletic related injuries covered by the NCAA catastrophic injury policy. The secondary athletic insurance policy serves as primary in situations in which a student-athlete is uninsured or insured through the university’s student health insurance plan. The current policy is $0 deductible includes available limits of up to $1,000,000/$90,000 per injury and provides for greater control over coverage costs for qualified reportable injuries. Our ICA student athlete insurance carrier is Catlin Insurance Company who’s premium for 2018-2019 was $471,240. The 2019-2020 premium saw a slight decrease to $464,300. Although the past year saw some large student athlete claims, we continue to work with Athletics, Catlin and our Third-Party Administrator, BMI, to improve cost containment strategies.

For FY20-21, we explored options such as continuing at a zero deductible which would have seen our annual premium come in between $600K-$700K. We also considered a fully self-insured option but did not feel the time was appropriate for such a move. After consultation and a review of the market and our options with Athletics, our broker with Mercer, and given the uncertainty introduced by the pandemic, we decided to bind student-athlete insurance coverage with a new carrier, QBE, which will include a $5,000 deductible at an annual premium of $351,186.

- Ohio University carries medical malpractice limits of $2,000,000/$6,000,000 with a $25,000 deductible for our Allied Health programs with affiliation agreements. Our FY19-20 renewal costs were $88,215, which was the same as 2018. For FY20-21, our renewal costs to maintain
the $2M/$6M med mal coverage will be $97,696 which represents an increase of approximately 11%.

- Ohio University requires all students enrolled in Athens credit hours to maintain an active health insurance policy. The Student Health Insurance Policy is a 1-year commercial policy which is renewable for up to three years and is re-negotiated with the carrier each academic year. A Student Health Insurance Advisory Committee (SHIP AC), composed of domestic and international students, as well as University staff, evaluates the plan and provides a recommendation for any imposed changes to the Vice President for Student Affairs. Every four years an official Request for Proposal (RFP) is made available for competitive bid to student health insurance providers. A special advisory committee composed of students, staff, and health care affiliates evaluates the submissions and determines two finalists for campus visits. Once evaluations by students and staff are reviewed, the advisory committee determines the appropriate recommendation to the Vice President for Student Affairs. The Student Health Insurance Policy currently provided by United Healthcare Student Resources offers Domestic, International and Regional Campus students, as well as their dependents, a comprehensive commercial health insurance policy. The policy is compliant with all Healthcare Reform guidelines, the U.S. Department of State and State of Ohio mandates. The plan is available to all registered students on all campuses and offers coverage specifically tailored to Ohio University student needs. The premium amount is applied to student accounts. The student is responsible for 100% of the premium amount. Students may also meet student insurance requirements by purchasing independently through the state exchange.

- The university’s Petroleum Underground Storage Tanks are covered via the State of Ohio’s Financial Assistance Fund as required by Ohio Revised Code 3737.88. The limits of liability are $1,000,000 with a deductible of $55,000. The annual premium is $4,000 which the same amount as last year.

- WOUB purchased a Broadcasters Liability Insurance policy from Chubb effective December 31, 2017 through December 31, 2020, which provides $1,000,000 in coverage. The deductible is $5,000 and the annual premium is $4,741.

- The University’s excess Educator’s Legal Liability (ELL) policy provides $10,000,000 Directors & Officers (D&O) coverage with additional coverage extended through the excess layers which is shared coverage and aggregates with the other IUC-RMIC members. Named
insureds and affiliated entities include the University and all entities in which the IUC-RMIC member has greater than 50% ownership or desires the entity to be covered under the IUC-RMIC programs. For Ohio University these include:

- The Ohio University Foundation (OUF)
- The Inn – Ohio of Athens, Inc. (the OU Inn)
- The Ohio University Theater Division – Tantrum Theater
- The Ohio University Emeriti Association
- Sugar Bush Foundation (SBF)
- The Ohio University Green and White Club
- Fritz J. & Dolores H. Russ Holdings, LLC
- Russ North Valley Road, LLC, Russ Research Center LLC
- Russ Center North, LLC
- Appalachian Health Information Exchange (AHIE)
- TechGROWTH Ohio Fund, Inc. (TGO)
- Consortium for Health in Appalachia Ohio (CHEAO)
- The Interlink Alliance (TIA)
- RTT Collaborative (RTTC)
- Muskingum Recreation Center (MRC).

In addition, the TechGROWTH Ohio Fund also purchases D&O liability policy from Great American Insurance Group with coverage of $2,000,000 and a low $1,000 deductible for an annual premium of $2,465 which are the same amounts as last year. The Muskingum Recreation Center has also purchased D&O, property and casualty insurance. The Inn – Ohio of Athens, Inc. dba the Ohio University Inn purchases property and casualty insurance through The Pyramid Group via Winegardner & Hammons Hotel Group, LLC, to protect its facility and operations.

- Ohio University’s Business Travel Accident Coverage which was renewed with Mutual of Omaha effective July 1, 2018 to July 1, 2021, provides $3,000,000 aggregate coverage with $0 deductible for a three-year prepaid premium of $30,371.61. The three-year prepaid premium has not increased for several years.

- Aviation insurance continues with AIG as we continue with our three-year term policy. Our Corporate Aircraft policy which covers the two Beechcraft King Airs provides $50,000,000 coverage for $0 deductible at $19,233 per year. Our Flight Training policy provides $5,000,000 of coverage at $82,818 per year with deductibles of $5,000 for some aircraft and $0 for others. Our Airport Premises/General Liability policy provides $10,000,000 coverage at a $5,000 deductible for $4,762 per year.
For FY20-21, our Airport Premises/General Liability policy premium remained flat at $4,762. Due to changes in our fleet, our Flight Training (aka Instruction & Rental) policy saw a small decrease to $78,432. Unfortunately, due to the March 3, 2020 tornado in Nashville which damaged King Air N700U, we are looking at the premium for our King Airs increasing to $32,171 for this fiscal year.

Workers’ Compensation Coverage
The University continues to benefit from the January 1, 2013 transition to Self-Insurance through the Ohio Bureau of Worker’s Compensation. Being Self-Insured has allowed a greater level of claim and cost control not afforded to State Fund employers. As a result, fiscal year FY18-19 saw a $138,534 reduction in claim costs over FY17-18. Ongoing focus on global settlement of older State Fund claims allowed the University to avoid long term liability associated with some of these older, long term claims.

In April of 2019, the University began a search for a new workers’ compensation third party administrator (TPA), with an eye towards cost reduction and an improved user experience for both the workers’ comp claim team and our injured workers. After an in-depth search process that included, written responses, technology reviews, and face to face interviews, a new TPA was chosen from the many applicants. Effective January 1, 2020, The Matrix Companies took over these TPA responsibilities. Anticipated first year savings from service fees alone are estimated in the $30-$40,000 range. Additional claim related costs are hoped to be realized as a result of improved focus on bringing older claims to final resolution. Also, we were able to secure a renewal quote from our excess workers’ comp carrier, Safety National, which will see our premium go from $71,803 to $57,839 for FY20-21 which is a decline of 19.45%.

Enterprise Risk Management
With the assistance of our ERM consultant with Gallagher, we have adopted a revised ERM process to identify, understand and manage our institutional risks and opportunities. Our goals are to

- enhance our focus on strategic risks,
- expand the ERM process to address lower level risks,
- distribute ownership of risk management to functional areas,
- integrate compliance into ERM process, and
- link risk related activities to Ohio University’s strategic pathways and priorities.

Our enhanced ERM process covers all major sectors of risk as well as sixteen departments of university operations. We began the process in late 2018 when leadership worked with our ERM team to develop common definitions, training materials and tools. The Presidential University Risk Council
(PURC) was established and convened its first meeting January 15, 2019. Subsequently, subject matter experts (SMEs) were identified by PURC for sixteen operational areas and by the end of March 2019 all PURC members and SMEs completed the developed risk awareness education and training. Risk interviews were conducted with PURC and SMEs in the Spring of 2019 with department risk registers confirmed in July & August 2019. A consolidated risk listing was presented to PURC at the September 2019 meeting which was reviewed and confirmed at our December 2019 meeting. Although the COVID-19 pandemic impacted our ERM process like everything else, we are optimistic that later this year we will be working on risk mitigation plans for those top “high risks” identified and selected by the PURC.

Conclusion
Enterprise Risk Management and Insurance continuously seeks to conserve funds while improving coverage, creating long-term partnerships, and collaborating toward risk prevention and mitigation efforts in order to maintain or improve upon our costs. Ohio University’s association with the IUC-RMIC has proven to be a cost-effective relationship; this affords the university the opportunity to participate in the group purchase of risk management and insurance products at a reduced cost, and to share risk in a mutually beneficial manner. This is evidenced by the outcome of last year’s renewal where our overall program costs as part of the IUC-RMIC program increased by $200,943 but coupled with estimated savings, the university saved approximately $1,000,000 as a member of the IUC-RMIC.
August 17, 2020

2020 ERM and Insurance Report
&
IUC-RMIC Update

Tab #; pg
The IUC Risk Management & Insurance Consortium (IUC-RMIC) was formed in 1994 by Ohio's 4-year public universities (with the exception of OSU) to address the risk management and insurance needs of the member universities.

Current Members & Aggregated Exposures

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<th>Fiscal Year</th>
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<th>Employee Count</th>
<th>Student FTE</th>
<th># of Autos</th>
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<td>FY21</td>
<td>$27,874,186,173</td>
<td>51,273</td>
<td>222,829</td>
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<td>% ∆</td>
<td>5.93%</td>
<td>(0.17%)</td>
<td>(1.74%)</td>
<td>2.00%</td>
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Why Does OU Participate in IUC-RMIC?

- Significant cost savings vs. pursuing coverage alone.

- Enhanced coverage tailored to the needs of higher education, e.g., new Fine Arts Program and Excess Social Engineering coverage.

- Resources and services including support for ERM programs.

- The mission of the IUC-RMIC is: "To provide our member universities with innovative risk management expertise, asset protection and effective loss control strategies in support of affordable access to education for our students."
FY 20-21 Renewal: Significant Changes

Property

• Structure
• Terms & Conditions
• Flood Limits
• Deductibles
• Terrorism

Casualty

• Structure
• Additional Excess Limits

FY20-21 Pool Funding – Property and Casualty
Property Program
Benchmarking – Colleges & Universities

**Total Limits**
In $ Millions

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<th>Quartile</th>
<th>Median</th>
<th>Average</th>
<th>1st Quartile</th>
<th>3rd Quartile</th>
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**Main Deductible/Retention**
Actual Amount

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<th>Quartile</th>
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<td>IUC-RMIC</td>
<td>$250,000</td>
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**Rate**
Rate = Premium / Insured Values

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<th>Average</th>
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<tr>
<td>IUC-RMIC</td>
<td>0.075</td>
<td>0.057</td>
<td>0.038</td>
<td>0.028</td>
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Source:
Marsh, May 2020
Colleges & Universities
44 Peers
Property Program
Changes to Structure

**FY17**
- Total Limit: $1,100,000,000

**FY18 to FY20**
- Total Limit: $1,750,000,000
- AIG 80%
- Zurich 20%

**FY21**
- Total Limit: $1,500,000,000
- Chubb - 100%
- Zurich - 50%
- AIG - 50%
- Zurich - 50%

**Excludes Communicable Disease**
Property Program
Changes to Terms & Conditions

• Zurich becomes lead insurer
• Move from Manuscript Form to Zurich’s Edge Company Form
• Many sublimits have been reduced
• Absolute exclusion for:
  - Communicable Disease
  - Computer Systems Damage (Cyber)
## Property Program
### Decreased Flood Limits

<table>
<thead>
<tr>
<th>Flood (Annual Aggregate)</th>
<th>EXPIRING</th>
<th>RENEWAL</th>
</tr>
</thead>
</table>
| AlG (80%) & Zurich (20%)| $100,000,000 | Zurich (50%) 
|                          | $100,000,000 |  $100,000,000 |
| Flood - SFHA Zone \(^{(1)}\) | $100,000,000 | $20,000,000 
|                          | $100,000,000 |  $10,000,000 |
| Flood - MFHA Zone \(^{(1)}\) | $100,000,000 | $40,000,000 
|                          | $100,000,000 |  $100,000,000 |

Note: \(^{(1)}\) Included in overall flood limit.
# Property Program

## Changes to Non-CAT (Catastrophic) Deductibles

<table>
<thead>
<tr>
<th></th>
<th>EXPIRING</th>
<th>RENEWAL</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>AIG (80%)</strong></td>
<td>100,000</td>
<td>100,000</td>
</tr>
<tr>
<td><strong>Zurich (20%)</strong></td>
<td>$100,000</td>
<td>$100,000</td>
</tr>
<tr>
<td><strong>Zurich (50%)</strong></td>
<td>$100,000</td>
<td>$100,000</td>
</tr>
<tr>
<td><strong>AIG (50%)</strong></td>
<td>$100,000</td>
<td>$100,000</td>
</tr>
</tbody>
</table>

**Member Deductible**  
(Property Damage & Time Element)

- AIG (80%): $100,000
- Zurich (20%): $100,000
- Zurich (50%): $350,000
- AIG (50%): $350,000

**IUC-RMIC Self-Insurance Pool**

- Carriers, Per Occurrence: $350,000
- Carriers, Annual Aggregate: $700,000

All covered losses between $100,000 and $350,000 that are not excluded by the carriers erode the "Carriers, Annual Aggregate".
## Property Program

### Changes to CAT (Catastrophic) Deductibles

<table>
<thead>
<tr>
<th>CAT Deductibles</th>
<th>EXPIRING</th>
<th>RENEWAL</th>
<th>Losses Erode Deductible Aggregate (Zurich / AIG)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Earthquake</td>
<td>AIG (80%) &amp; Zurich (20%)</td>
<td>$350,000</td>
<td>Yes</td>
</tr>
<tr>
<td>Flood</td>
<td>$350,000</td>
<td>Yes</td>
<td>$350,000</td>
</tr>
<tr>
<td>- SFHA Locations</td>
<td>$500,000</td>
<td>Yes</td>
<td>$1,000,000</td>
</tr>
<tr>
<td>- MFHA Locations</td>
<td>$350,000</td>
<td>Yes</td>
<td>$1,000,000</td>
</tr>
<tr>
<td>Wind/Hail</td>
<td>$350,000</td>
<td>Yes</td>
<td>$1,000,000</td>
</tr>
</tbody>
</table>
Property Program
Moved to Standalone Terrorism Coverage

Key Coverage Considerations

<table>
<thead>
<tr>
<th></th>
<th>Yes Act Must Be Declared by Federal Government</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>$5M Minimum Damage Requirement</td>
<td>None</td>
<td></td>
</tr>
<tr>
<td>No Domestic Acts Covered</td>
<td>Yes</td>
<td></td>
</tr>
</tbody>
</table>

Total Limit = $1,750,000,000

- **AIG 80%**
- **Zurich 20%**

Per Occurrence
- **$1,650,000,000**
- **$100,000,000**
- **$250,000**
- **$100,000**

Member Dedicated
- **$100,000,000**

IUC-RMIC Self-Insurance Pool
- **$100,000**

Terrorism as part of Property (Included in Self-Insurance Pool)
- **Expanding Premium = $246,649**
- **Renewal Premium = $428,750**

Total Limit = $600,000,000

- **Hamilton (Lloyds) - 100%**
- **AXA (Indian Harbor) - 100%**

Per Occurrence
- **$500,000,000**
- **$100,000,000**

Member Deductible
- **$100,000**

Active Assailant (aggregate)
- **$5,000,000**

Strikes, Riots & Civil Commotion (aggregate)
- **$2,500,000**

Annual Premium = $265,000 (Outside Self-Insurance Pool)
Casualty Program
Decreased Limits

GL/AL

Total Limit
$50,000,000

$25,000,000 (Shared)
Sompo
(Integrated)

$15,000,000 (Shared)
United Educators

$10,000,000
GenRe

$900,000
IUC-RMIC Pool

$100,000
Member Deductible

Annual Premium
$2,183,436

SAM, TBI & Communicable Disease Not Excluded

ELL

Total Limit
$30,000,000

$35,000,000

$5,000,000 (Shared)
United Educators

$20,000,000 (Shared)
GenRe

$10,000,000
IUC-RMIC Pool

$900,000
Member Deductible

Annual Premium
$2,573,352

Indian Harbor (AXA)

SAM, TBI & Communicable Disease Not Excluded except
Indian Harbor contains an Absolute SAM Exclusion
Casualty Program
Excess GLX Opportunity

Total Limit

$45,000,000 (partially uninsured) $35,000,000

- **Total Limit**
  - Apollo 50% Uninsured 50%
  - Indian Harbor (AXA) $5M (Shared)

- **Total Limit**
  - United Educators
  - GenRe
  - IUC-RMIC Pool
  - Member Deductible
  - GL/AL
  - ELL

**Annual Premium**

$3,026,865

(Apollo is an indication only)

---

- Marsh approached the global excess marketplace, challenged with SAM, TBI & Communicable Disease coverage

- Coverage, if found, is costly with critical exclusions. Most recent quote (Apollo, in orange):
  - **Exclusions**: TBI, SAM, E&O Medical Malpractice, Cyber, Communicable Disease, OPIOID, Media Liability
  - **Significant** underwriting information will be required to firm quote
  - Apollo will **not** write without a partner in this layer

- Following the renewal, Marsh has continued to entertain global market discussions.
Casualty Program
Benefits of Collaboration

### IUC-RMIC Aggregated Annual Spend

<table>
<thead>
<tr>
<th></th>
<th>FY17</th>
<th>FY18</th>
<th>FY19</th>
<th>FY20</th>
<th>FY21</th>
<th>% Δ</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Property</strong></td>
<td>$6,069,035</td>
<td>$3,946,923</td>
<td>$3,878,554</td>
<td>$4,493,501</td>
<td>$6,041,800</td>
<td>34.46%</td>
</tr>
<tr>
<td>Increase due to the expiration of a 3-year term, deteriorating market and COVID-19</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Casualty</strong></td>
<td>$4,064,200</td>
<td>$5,014,507</td>
<td>$5,251,946</td>
<td>$5,955,366</td>
<td>$6,442,659</td>
<td>7.84%</td>
</tr>
<tr>
<td>Increase due to deteriorating casualty market and expanded coverages</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>$10,133,235</td>
<td>8,961,430</td>
<td>$9,130,500</td>
<td>$10,448,866</td>
<td>$12,464,159</td>
<td>19.30%</td>
</tr>
</tbody>
</table>

NOTES: (1) Did not calculate until FY17.
FY20 being calculated.

### Coverage and cost that could not be obtained on an individual basis.

### Additional Member Benefits
- Broader terms and conditions (wording) -
- Higher program limits, and sublimits -
- Minimal mid-term premium adjustments -
- Reduced administration -
- Less mandated requirements -
- Training & Resources -
- Education -

### Estimated Savings (for State Efficiency Reports)

<table>
<thead>
<tr>
<th></th>
<th>FY15</th>
<th>FY16</th>
<th>FY17</th>
<th>FY18</th>
<th>FY19</th>
</tr>
</thead>
<tbody>
<tr>
<td>Property</td>
<td>$1,876,091</td>
<td>$3,927,722</td>
<td>$2,817,469</td>
<td>$6,442,177</td>
<td>$8,500,517</td>
</tr>
<tr>
<td>Casualty</td>
<td>$2,335,856</td>
<td>$2,152,818</td>
<td>$2,394,541</td>
<td>$1,543,156</td>
<td>$2,712,318</td>
</tr>
<tr>
<td>Medical Mal</td>
<td>$321,646</td>
<td>$319,223</td>
<td>$328,936</td>
<td>$298,659</td>
<td>$283,708</td>
</tr>
<tr>
<td>Misc Services</td>
<td>(1)</td>
<td>(1)</td>
<td>$147,619</td>
<td>$115,006</td>
<td>$109,311</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>$4,533,593</td>
<td>$6,399,763</td>
<td>$5,688,562</td>
<td>$8,398,994</td>
<td>$11,605,853</td>
</tr>
</tbody>
</table>

NOTES: (1) Did not calculate until FY17.
FY20 being calculated.
IUC-RMIC Property Pool

Effective July 1, 2020, transition to an actuarial based projection (vs. a formula) to determine funding of the Property Pool utilizing a 70% confidence interval initially, then fund to this actuarial projection annually.

FY20-21 Proposed Funding

<table>
<thead>
<tr>
<th>Actuarial Projections @ 70%</th>
<th>Funding Consideration</th>
</tr>
</thead>
<tbody>
<tr>
<td>Projected Outstanding Loss &amp; Loss Expense</td>
<td>$1,079,177</td>
</tr>
<tr>
<td>Less Penalties &amp; Deductible Paybacks</td>
<td>($217,072)</td>
</tr>
<tr>
<td>Total Projected Outstanding Loss &amp; Loss Expense</td>
<td>$862,105</td>
</tr>
</tbody>
</table>

Projected Future Losses for July 1, 2020 – 2021 (does not consider changes to CAT deductibles) | $700,000 | Fund at July 1, 2020 |

**IMPORTANT NOTE**

This currently does not include Terrorism, Wind, Hail, Tornado, Flood & Earthquake, which is currently under review.

**Notes:**

— The aggregate has been exhausted the last 10 out of 14 years.
— All losses (except for those detailed above under the ‘important note’) between $100,000 and $350,000 will erode the aggregate.
— The above funding reflects a 2% discount factor.
IUC-RMIC Casualty Pool

Effective July 1, 2020, transition funding of the Casualty Pool to the actuarial projection as below

FY20-21 Proposed Funding

<table>
<thead>
<tr>
<th>Actuarial Projections @ 70%</th>
<th>Funding Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Projected Outstanding Loss &amp; Loss Expense</td>
<td>$3,700,405</td>
</tr>
<tr>
<td>Less Penalties &amp; Deductible Paybacks</td>
<td>($199,332)</td>
</tr>
<tr>
<td>Total Projected Outstanding Loss &amp; Loss Expense</td>
<td>$3,501,073</td>
</tr>
<tr>
<td>Projected Future Losses for July 1, 2020 - 2021</td>
<td>$1,303,325</td>
</tr>
</tbody>
</table>

**Notes:**
- Above funding reflects a 2% discount factor.
- Exposures follow the current policy; however, have decreased employee count by 10% due to reductions in exposures resulting from the pandemic.
Ohio University’s Loss Experience

Property and Casualty Losses

- The University’s property loss experience has compared favorably to the members of the IUC-RMIC.

- The University’s loss experience continues to compare favorably to the members of the IUC-RMIC. Since July 1, 2013, the University’s total incurred losses are $1,983,715, which is 5.77% of the IUC-RMIC loss profile.

- Aviation Update – King Air TN Tornado loss: Initial estimate $750K w/ ~ $100K for engines d/t prop strike. Engine repairs recently revised up to $548K. Hence significant increase in premium for our King Airs. Other aviation coverage (e.g., flight school, airport) was flat.
Ohio University’s COVID-19 Claim

Status & Next Steps

Notice to Carriers: March 20, 2020 (Property)
Outside Counsel: Stacy Berliner, Brouse McDowell
Forensic Accountant: In Process of Selecting

Status:
- Coverage opinion completed and now seeking carrier opinion on various aspects of coverage including, but not limited to, Communicable Disease, Business Interruption, Extra Expense, Civil & Military Authority, Ingress/Egress, Rental Value & Perils Insured Against.
- RFI to Members – July 30, 2020 (due September 30th)
- Coordinating recovery – insurance, CARES Act, FEMA, Other
- Next steps dependent upon carrier coverage opinion & what is being claimed
IUC-RMIC Focus on Strategic Risk Objectives

• The IUC-RMIC Claims Committee approved the release of a Quarterly Claims Summary now distributed to all members quarterly.

• Member access to a Risk Management Information System (RMIS) to track incidents/claims as required by the Consortium.

• The IUC-RMIC Risk Control Committee now regularly reviews losses and loss trends to establish and evolve risk mitigation strategies related.
Looking Ahead

Focus on Strategic Risk Objectives (Cont.)

• The Finance, Audit & Investment committee continually reviews all financial aspects of the Consortium.

• Following a Program Review and evaluation last year, the IUC-RMIC has sought and obtained approval of new funding approaches for Self-Insurance Pools, which we anticipate will result in greater stability and predictability for members.

• At FY21, Flood limits and deductibles changed for high hazard flood (refer to slides 8 and 10 respectively). The Consortium is looking into and exploring our options.

• OU risk manager will Chair the IUC-RMIC Claims Committee for 2020-22.
Conclusion

Thank You!

Questions?
Resources, Facilities, and Affordability Committee Meeting
WHEREAS, the University has completed its financial planning and consultation that resulted in a final recommendation for fiscal year 2021 budgeted revenues and expenses, and

WHEREAS, the University finalized its budget priorities within the constraints of fiscal year 2021 revenues, including base operating revenues and federal CARES Act grant funding, and

WHEREAS, the University is providing the Board of Trustees with a final expense budget recommendation based upon fiscal year revenues, permissible and sustainable reserve usage, and the provisions of the state budget.

NOW THEREFORE, BE IT RESOLVED that the Fiscal Year 2020-21 budgets of the expected revenues and expenses as presented are hereby approved based on the condition that the Senior Vice President of Finance and Administration, in conjunction with the Executive Vice President and Provost and with approval of the President, is authorized to make adjustments during the fiscal year to ensure that total expenditures do not exceed available resources.
Proposed FY21 University Budget

Consolidated University Budget (All Funds)
Interoffice Communication

Date:    July 31, 2020

To:    The President and Board of Trustees

From:    Deborah J. Shaffer, Senior Vice President Finance & Administration, CFO and Treasurer
         Elizabeth Sayrs, Executive Vice President & Provost

Re:    FY21 University Budget

At our August meeting, we will be presenting for Board approval the FY21 Ohio University Operating Budget. The resolution facilitating approval of the FY21 University budget will be presented at the August Resources Committee.

The development of the FY21 Budget required complex scenario planning as the University continues to actively manage its response to COVID-19 within the context of a multi-year rebalancing initiative. While our August Board action will include a detailed FY21 budget resolution, we anticipate that current year revenues and expenses will change based on evolving enrollments and new expenses associated with operating in a post-COVID environment. The institutional strategies and scenario planning used in our budget development will be the primary topics of the August Board Retreat, including:

- Enrollment Planning Assumptions
- Multi-year Revenue Assumptions
- Base Operating Expense Budgets
- Short and Long-Term Expense Strategies to Limit Reserve Use
- COVID Reopening and Strategic Budget Planning
- Initiative COVID Expense Budgets

The August Retreat provides an opportunity for us to share with the Board how the institution is planning strategically during a time of uncertainty while focusing on financial sustainability. Additional materials from Ernst & Young will support the importance of analyzing the institution’s multi-year revenues and expenses within the context of existing operating reserves.
Interoffice Communication

Date:    July 31, 2020

To:      The President and Board of Trustees

From:    Deborah Shaffer, Senior Vice President for Finance and Administration, CFO and Treasurer
          Elizabeth Sayrs, Executive Vice President and Provost

Re:      Proposed Tuition and Fee Rates for FY21

As part of the August meeting, we are presenting two fee resolutions that will impact tuition and fee rates in FY21.

• The first resolution includes the following from the Student Fee Committee:
  o Recommendation to increase six graduate course fees within the College of Business, and
  o Recommendation to increase two broad-based fees charged by the Registrar’s Office.

• The second resolution is to revise the Board’s May 2020 resolution #2020-3836 based on
  subsequent review by ODHE. The May 2020 resolution waived the non-resident surcharge to
  out-of-state graduate students who received a baccalaureate degree from a college or university in
  Ohio. ODHE advised that there must be at least a minimal fee of $1 per credit hour.

• The third resolution establishes a rate of $200 per course for credit evaluation and assessment as
  part of the University’s Prior Learning Assessment (PLA) program. Student portfolios of prior
  learning experience are assessed for course credit by applicable discipline faculty members.
WHEREAS, the appropriate planning and consultations within the University have been completed, resulting in the recommendations for fee increases for purposes of investment in strategic priorities and to support quality academic programming; and

WHEREAS, these fee increases must be authorized and consistent with legislation enacted by the General Assembly.

NOW THEREFORE, BE IT RESOLVED that the Board of Trustees adopts the student fee schedules attached hereto as Exhibit A, effective Fall Semester 2020 unless otherwise noted.
## Request for New Course & Broad-Based Fees

**Fiscal Year: 2021**

<table>
<thead>
<tr>
<th>Course ID</th>
<th>Campus</th>
<th>Course Title</th>
<th>Dept/School</th>
<th>Fee Name</th>
<th>Current Fee</th>
<th>FY21 Fee Requested</th>
<th>FY21 BOT Fee Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>SASM6670/6354*</td>
<td>ECAM</td>
<td>Human Resource Management in Sport Organization</td>
<td>Business - Graduate programs</td>
<td>LTC Manuals Fee</td>
<td>-</td>
<td>50.00</td>
<td>50.00</td>
</tr>
<tr>
<td>SASM 6356/COED 6120*</td>
<td>ECAM</td>
<td>Leadership and Ethics in Interscholastic Athletic Admin</td>
<td>Business - Graduate programs</td>
<td>LTC Manuals Fee</td>
<td>-</td>
<td>50.00</td>
<td>50.00</td>
</tr>
<tr>
<td>SASM6480/6352*</td>
<td>ECAM</td>
<td>Facility Management and Programming</td>
<td>Business - Graduate programs</td>
<td>LTC Manuals Fee</td>
<td>-</td>
<td>50.00</td>
<td>50.00</td>
</tr>
<tr>
<td>SASM6550/6355*</td>
<td>ECAM</td>
<td>Sports Marketing</td>
<td>Business - Graduate programs</td>
<td>LTC Manuals Fee</td>
<td>-</td>
<td>50.00</td>
<td>50.00</td>
</tr>
<tr>
<td>SASM 6700/6353*</td>
<td>ECAM</td>
<td>Financial Administration of Sport Fac and Programs</td>
<td>Business - Graduate programs</td>
<td>LTC Manuals Fee</td>
<td>-</td>
<td>50.00</td>
<td>50.00</td>
</tr>
<tr>
<td>SASM 6800/6340*</td>
<td>ECAM</td>
<td>Interscholastic Data Analysis</td>
<td>Business - Graduate programs</td>
<td>LTC Manuals Fee</td>
<td>-</td>
<td>50.00</td>
<td>50.00</td>
</tr>
</tbody>
</table>

*Effective Spring 2021

<table>
<thead>
<tr>
<th>Office</th>
<th>Campus</th>
<th>Fee Name</th>
<th>Fee Type</th>
<th>Student Type</th>
<th>Current Fee</th>
<th>FY21 Fee Requested</th>
<th>FY21 BOT Fee Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>University Registrar</td>
<td>Athens</td>
<td>Late Registration Fee</td>
<td>Broad-Based</td>
<td>All Students</td>
<td>$40/$60/$80/$100</td>
<td>150.00</td>
<td>150.00</td>
</tr>
<tr>
<td>University Registrar</td>
<td>Athens</td>
<td>Late Application for Graduation</td>
<td>Broad-Based</td>
<td>All Students</td>
<td>-</td>
<td>100.00</td>
<td>100.00</td>
</tr>
</tbody>
</table>
WHEREAS, the Governor and Ohio Department of Higher Education are asking universities to adopt policies to provide in-state tuition rates to graduate students who have received their bachelor’s degrees from an Ohio institution to enhance the likelihood that they will remain in Ohio to be part of our state’s economic future; and

WHEREAS, the goal is to strive to establish graduate tuition policies that support this request in as many disciplines as achievable; and

WHEREAS, preliminary discussions have determined that tuition for the College of Osteopathic Medicine should be exempted from this policy; and

WHEREAS, From Section 381.170 of Am. Sub. H.B. 166 of the 133rd GA:

“The board of trustees of a state institution of higher education shall not authorize a waiver or nonpayment of instructional fees or general fees for any particular student or any class of students other than waivers specifically authorized by law or approved by the Chancellor.”; and

WHEREAS, the Chancellor has asked universities to submit this policy for approval through the ODHE tuition waiver process to comply with the above legislation; and

WHEREAS, the legislature expects universities to assess at least some minimal non-resident fee to non-resident students; and

WHEREAS, the Board of Trustees previously adopted Resolution 2020- 3836 wherein it waived non-resident fees for certain non-resident students; and

WHEREAS, the University now seeks to establish a non-resident fee of $1 per credit hour rather than waive the fee as provided in Resolution 2020- 3836.

NOW THEREFORE, BE IT RESOLVED that the Board of Trustees adopts the policy of charging a graduate non-resident fee to $1 per credit hour for graduate students, with the exception of students in the College of Osteopathic medicine, who have received their bachelor’s degrees from an Ohio institution of higher education.

BE IT FURTHER RESOLVED that this policy be submitted to the Chancellor for approval through the ODHE tuition waiver process effective Fall term 2020.
WHEREAS, the Prior Learning Assessment (PLA) program allows students to receive credit for prior experience through the creation of a portfolio that is assessed by a faculty member; and

WHEREAS, other Ohio public universities offer similar programs where students are charged a flat rate ranging from $70 to $225 per course for evaluation and assessment of course credit; and

WHEREAS, Ohio University currently does not have a specific PLA fee for this type of credit assessment; and

WHEREAS, University faculty are paid a flat stipend per course for portfolio evaluation, which allows for separate costs that can be covered by a flat fee rate.

NOW THEREFORE, BE IT RESOLVED that the Board of Trustees adopts the policy to establish a rate of $200 per course for credit evaluation and assessment under the University’s Prior Learning Assessment program.
ESTABLISHMENT OF QUASI-ENDOWMENTS
TRANSLATIONAL BIOMEDICAL SCIENCES

RESOLUTION 2020-

WHEREAS, the Board of Trustees of Ohio University is responsible for oversight of the financial condition of the institution, and

WHEREAS, the Board of Trustees has the ability to establish quasi-endowments, or funds functioning as endowments, to be utilized at the discretion of the University, and

WHEREAS, The Ohio University Foundation Board of Trustees, and specifically, the Investment Committee is entrusted by the Board of Trustees of Ohio University with the oversight to invest funds established as University quasi-endowments, and

WHEREAS, University quasi-endowments are managed in accordance with The Ohio University Foundation’s spending policy, and

WHEREAS, University executive staff recommend creation of two new quasi-endowments, sourced from intellectual property licensing royalties, for support of the Translational Biomedical Sciences (TBS) graduate program, including fellowships and/or assistantships:

• Heritage College Translational Biomedical Sciences Quasi-Endowment, established in the amount of $2 million. The TBS program is integral to the Heritage College’s efforts to train physician-scientists who complete combined DO/PhD degrees.
• Research Division Translational Biomedical Sciences Quasi-Endowment, established in the amount of $2 million. As units serving the entire university, the Research Division and Graduate College host and support interdisciplinary programs including TBS.

NOW THEREFORE, BE IT RESOLVED that the Board of Trustees of Ohio University hereby approves creation of the above listed quasi-endowments.

BE IT FURTHER RESOLVED that the Treasurer of Ohio University, be and hereby is, directed and authorized to administer policies and procedures to manage these quasi-endowments.
Interoffice Communication

Date: July 31, 2020

To: The President and Board of Trustees

From: Elizabeth Sayrs, Executive Vice President and Provost

Re: Creation of Translational Biomedical Sciences Quasi-Endowments

The successful commercialization of a pharmaceutical invented by Goll-Ohio Eminent Scholar John Kopchick and graduate student Wen Chen generated $105M in royalties for Ohio University. The portion of these funds retained by the university has been reinvested in various ways to support research and commercialization. The final royalty installment was received in 2019, and at the current time we are seeking authorization to use royalty funds to create quasi-endowments to support expansion of the university’s contributions to research that is translated into benefit for the public.

In particular, royalty funds held separately by the Heritage College of Osteopathic Medicine and the Research Division will be used to establish quasi-endowments with spending committed to supporting the Translational Biomedical Sciences (TBS) Graduate Program. The TBS program was established in the Graduate College in 2014 in response to calls at the national level for innovation in graduate research training to foster interdisciplinary approaches essential to engaging with 21st century health challenges. At the current time more than 50 faculty drawn from five colleges are associated with the program, which has grown to enroll two dozen students.

By construction, the TBS program has a small operating budget and leverages academic resources from across the university. The majority of students are supported on research assistantships funded by extramural grants to individual faculty. The spending allocations from the proposed quasi-endowments will place the TBS program in a stronger position to provide competitive fellowships and assistantships, attracting talented students to Ohio University to pursue their studies and research. Our intention is to enable current and future generations of faculty and students to replicate the success demonstrated by Drs. Kopchick and Chen, in creating knowledge that is translated into applications to benefit humanity.
WHEREAS, the Board of Trustees of Ohio University is responsible for oversight of the financial condition of the institution, and

WHEREAS, the Board of Trustees has the ability to establish quasi-endowments, or funds functioning as endowments, to be utilized at the discretion of the University, and

WHEREAS, The Ohio University Foundation Board of Trustees, and specifically, the Investment Committee is entrusted by the Board of Trustees of Ohio University with the oversight to invest funds established as University quasi-endowments, and

WHEREAS, University quasi-endowments are managed in accordance with The Ohio University Foundation’s spending policy, and

WHEREAS, University executive staff recommend creation of a new quasi-endowment, sourced from HCOM working capital originating from past agreements with their clinical partners:

The Centers for Osteopathic Research and Education (CORE) served for 35 years as the entity connecting HCOM with their clinical hospital partners across the state. Following a change in the accreditation system, the CORE Board conducted its final meeting in June 2020 and voted the remaining funds from the partner agreements be moved to an interest-earning, quasi endowment that would provide ongoing support to enhance osteopathic medical education for those the college serves.

NOW THEREFORE, BE IT RESOLVED that the Board of Trustees of Ohio University hereby approves creation of the above listed quasi-endowment.

BE IT FURTHER RESOLVED that the Treasurer of Ohio University, be and hereby is, directed and authorized to administer policies and procedures to manage this quasi-endowment.
Interoffice Communication

Date:    July 31, 2020

To:      The President and Board of Trustees

From:    Elizabeth Sayrs, Executive Vice President and Provost

Re:      Creation of HCOM Clinical Education Support Quasi-Endowment

The Centers for Osteopathic Research and Education (CORE) has been a long-standing entity connecting the Heritage College of Osteopathic Medicine (HCOM) with our clinical hospital partners across the state. For the past 35 years, the CORE has provided leadership, accreditation oversight, and support for osteopathic post-doctoral programs within the consortium.

In June 2020, the CORE Executive Committee conducted its final meeting leading up to the full implementation of the single accreditation system for graduate medical education (GME) through the Accreditation Council on Graduate Medical Education (ACGME). Though only a small number of institutions and residency programs will remain in the CORE throughout their terminal accreditation process by the American Osteopathic Association (AOA), the Heritage College is required to provide the GME support necessary to those clinical partners.

The origination of funds for the consortium and CORE came from the past agreements between our clinical partners and HCOM for academic sponsorship of osteopathic graduate medical education programs. The CORE members contracted with HCOM for accreditation oversight and support services including research, faculty development and continuing education for preceptors/clinical educators, osteopathic integration, and a variety of other educational programming. Any remaining funds at the end of each year were held in HCOM working capital on behalf of the consortium. The CORE Board met and voted to recommend that the funds be moved to an interest-earning, quasi-endowment that would provide ongoing support to enhance osteopathic medical education for those the college serves instead of redistributing funds back to partner hospitals.

A resolution to establish this quasi-endowment has been included for action at the August Trustee meeting.
APPROVAL OF THE OHIO UNIVERSITY
FY21 CAPITAL IMPROVEMENT PLAN

RESOLUTION 2020 -

WHEREAS, the University has undertaken the development of a FY21 Capital Improvement Plan (CIP), and

WHEREAS, due to the financial impacts associated with the COVID-19 pandemic, the FY21 Capital Improvement Plan is reduced from the projects included as part of the FY2021-FY2026 Six Year Capital Improvement Plan, approved by the Board of Trustees in Resolution 2019-3789, and

WHEREAS, the FY21 CIP includes projects identified as critical to continue based on review with University leadership and represents a significant reduction in new project starts from the approved FY2021-FY2026 Six Year CIP, and

WHEREAS, the reduced FY 21 CIP was reviewed with Deans, Vice Presidents, Auxiliary units, Athletics, and Regional Campus leadership to arrive at a consensus of critical projects and gain their endorsement of the plan, and

WHEREAS, the Capital Funding and Priorities Committee has approved and made recommendation to the President, and

WHEREAS, the FY21 Capital Improvement Plan has been approved by the President.

NOW THEREFORE, BE IT RESOLVED that the Ohio University Board of Trustees does hereby approve the attached FY21 Capital Improvement Plan.
## FY21 CIP SUMMARY – NEW PROJECT STARTS

### FY21 Starts Capital Improvement Plan

<table>
<thead>
<tr>
<th>Classification &amp; Project</th>
<th>Budget</th>
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</thead>
<tbody>
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<tr>
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<td>Lancaster HVAC and Energy Efficiency Improvements Phase II</td>
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<td>Shannon Hall HVAC Improvements</td>
<td>$1,573,300</td>
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<td><strong>University Initiatives</strong></td>
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<tr>
<td>ADA - 2021</td>
<td>$250,000</td>
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<tr>
<td>Classroom Improvement Plan FY21</td>
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<tr>
<td>Annual Emergency Projects Fund - 2021</td>
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<td>Painting - In-House FY21</td>
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</tr>
<tr>
<td>Safety Funds - 2021</td>
<td>$500,000</td>
</tr>
<tr>
<td><strong>Utility System Upgrades</strong></td>
<td>$500,000</td>
</tr>
<tr>
<td>EIP - Campus Steam System Repairs 2021</td>
<td>$500,000</td>
</tr>
</tbody>
</table>
Interoffice Communication

Date: July 31, 2020

To: The President and Board of Trustees

From: Deborah J. Shaffer, Senior Vice President Finance & Administration, CFO and Treasurer
       Steve Wood, Senior Associate Vice President, Facilities Management & Safety

Re: Capital Improvement Plan (CIP)

At the August Board meeting we will present the finalized FY21 Capital Improvement Plan for your approval. As updated at the June Board meeting, due to the financial impacts associated with COVID-19, the team analyzed projects over the next two fiscal years but we are only asking for approval of the typical One Year CIP. In light of budget concerns, we will be requesting the FY21 Century Bond $10M deferred maintenance allocation be used on an emergency basis only, as deemed necessary by the SAVP, FMS.

The presentation we will review with the BOT highlights:
- Recap of June slides to review process and assumptions
- Update on budget numbers and overall reduction percentages that have changed since June
- Metrics summarizing impact of investments upon deferred maintenance backlog
- FY21 Century Bond usage plans

The BOT will be presented 2 resolutions for approval of:
- The FY21 Capital Improvement Plan
- Allocation of FY21 Century Bond $10M deferred maintenance project funds for emergency projects

During our review, leadership re-examined deferred maintenance and programmatic priorities in conjunction with operating budget constraints and in alignment with the current strategic plan. As a result of this process, we are proposing to reduce the scheduled FY21/22 new project starts from $101.7 million to $41.1 million, or a 60% reduction. Additionally, all in-progress projects have been reviewed to ensure continued alignment with current strategic objectives.

As a reminder, each project over $500,000 is presented for Board approval prior to execution.
August 17, 2020

FY21 Capital Improvement Plan (CIP)

Resolution

Tab #; pg
Capital Improvement Plan (CIP) Update Process

• OHIO presents an updated CIP for BoT approval each June; 6 Year CIP in odd years, annual CIP in even years
  • FY21 CIP was scheduled for June 2020 BoT approval
  • Prioritized projects were approved BoT in last year’s 6 Year CIP

• Due to current University operating and capital funding uncertainties, emphasis is on halting all non-essential work
  • State funding uncertainty
  • Need to preserve reserves
  • Volatile investment portfolio

• In response, the June 2020 CIP Update process has been modified; FY21 & FY22 CIP project reviews involved
  • Engagement with CIP Areas to assess project criticality
  • Review of project priorities in conjunction with University Planning portfolio strategy
  • Comparing what was planned with what is still planned

CIP Areas System-Wide

<table>
<thead>
<tr>
<th>Academic/Programmatic</th>
</tr>
</thead>
<tbody>
<tr>
<td>Building Systems &amp; Infrastructure</td>
</tr>
<tr>
<td>Utility System Upgrades</td>
</tr>
<tr>
<td>Culinary Services</td>
</tr>
<tr>
<td>Residential Housing</td>
</tr>
<tr>
<td>Information Technology</td>
</tr>
<tr>
<td>Transportation and Parking Services</td>
</tr>
<tr>
<td>University Initiatives</td>
</tr>
</tbody>
</table>
## FY21-22 Starts – Reduced new starts by $61M to date

<table>
<thead>
<tr>
<th>CIP Area</th>
<th>Key Priorities</th>
<th>FY21-22 June 2019</th>
<th>FY21-22 Move Forward</th>
</tr>
</thead>
<tbody>
<tr>
<td>Academic/Programmatic</td>
<td>Clippinger P3, Lin Hall Museum Expansion, etc.</td>
<td>$35.6M</td>
<td>$28.9M</td>
</tr>
<tr>
<td>Building Systems &amp; Infra</td>
<td>HVAC, Roofs, etc.</td>
<td>$11.1M</td>
<td>$0.2M</td>
</tr>
<tr>
<td>Culinary Services</td>
<td>Boyd Market, Nelson Market, Equipment Purchases, etc.</td>
<td>$6.3M</td>
<td>$0</td>
</tr>
<tr>
<td>Residential Housing</td>
<td>Building Infrastructure Deferred Maintenance, Must Do Renovations</td>
<td>$16.4M</td>
<td>$0</td>
</tr>
<tr>
<td>OIT</td>
<td>Annual Capital Improvements</td>
<td>$8.0M</td>
<td>$0</td>
</tr>
<tr>
<td>Regional Higher Education</td>
<td>Lancaster HVAC Improvements, Shannon Hall HVAC</td>
<td>$4.7M</td>
<td>$4.7M</td>
</tr>
<tr>
<td>Transportation and Parking</td>
<td>Parking Lot Maintenance, Meter upgrades</td>
<td>$0.7M</td>
<td>$0M</td>
</tr>
<tr>
<td>University Initiatives</td>
<td>Emergency, ADA, Safety, Classroom Improvement Plan, Wayfinding</td>
<td>$8.6M</td>
<td>$6.3M</td>
</tr>
<tr>
<td>Utility System Upgrades</td>
<td>CWP3, Steam Repairs, Old Heating Plant Switchgear</td>
<td>$10.3M</td>
<td>$1.0M</td>
</tr>
<tr>
<td>Grand Total New Starts</td>
<td>FY21-22 June 2019 Approval</td>
<td>$101.7M</td>
<td>$41.1M</td>
</tr>
</tbody>
</table>
CIP Update Process

• **Overarching Principle** - Over next two fiscal years, what projects are critical to continue and what can be placed on hold to reduce our capital spend?

• **Draft Recommendation Bucket Overview**
  
  • **Continue Project to Move Forward if**
    • Project is deemed critical or high priority programmatically or strategically
    • Funded with gift/grant or endowment that otherwise would not be able to be used
    • Project is well into design or construction; unwise to stop investment or other factors
  
  • **On Hold/Cancel**
    • Project is deemed high priority, however holding will not cause harm - move project forward when financial and economic conditions improve
    • Project is no longer deemed as a priority given long term planning goals or institutional changes
  
  • **Review**
    • Leadership review needed; how does project supports goals
## FY21 & FY22 - $41.1M in Project Starts

<table>
<thead>
<tr>
<th>CIP Areas System-Wide</th>
<th>Move Forward Projects</th>
<th>FY21 &amp; 22 Project $</th>
<th>On Hold/Cancel</th>
<th>Review</th>
</tr>
</thead>
<tbody>
<tr>
<td>Academic/Programmatic</td>
<td>ROC-Funding Chemistry Bldg-into construct Clippinger All Phases eSports</td>
<td>$28.9M</td>
<td>HCOM Ph 2 Design Lin Hall Museum-fundraise Unit requested projects Alden Library Stairwell resurface</td>
<td>Lindley Hall Admin Relocations</td>
</tr>
<tr>
<td>Building Systems &amp; Infrastructure Utility System Upgrades</td>
<td>Alden Library AHU Replacement Ping Center Roof Lancaster HVAC</td>
<td>$1.2M</td>
<td>Botanical Research HVAC Chubb Hall HVAC Konneker Research Windows &amp; HVAC Gordy Hall Carpet Replacement</td>
<td></td>
</tr>
<tr>
<td>Culinary Services</td>
<td>Central Food Flooring Upgrade</td>
<td>$0</td>
<td>Trisolini House Renovation Boyd Market Improvements</td>
<td></td>
</tr>
<tr>
<td>Residential Housing</td>
<td>Convo Restroom Renovations</td>
<td>$0</td>
<td>Gamertsfelder Bath/HVAC Upgrades Washington Casework &amp; Flooring</td>
<td></td>
</tr>
<tr>
<td>Information Technology</td>
<td>OIT Network Replacement</td>
<td>$0</td>
<td>OIT Capital Spending</td>
<td></td>
</tr>
<tr>
<td>TPS</td>
<td></td>
<td>$0</td>
<td>Parking Lot Maintenance 21-22 Vehicle Replacements 22-25 Baker Parking Lot Resurface</td>
<td></td>
</tr>
<tr>
<td>Regional Higher Education</td>
<td>Lancaster HVAC Shannon Hall HVAC</td>
<td>$4.7M</td>
<td></td>
<td></td>
</tr>
<tr>
<td>University Initiatives</td>
<td>Annual ADA, Emergency, Masonry, Painting, Classroom</td>
<td>$6.3M</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
CAPITAL FUNDING ASSUMPTIONS:

State
- FY21/22 – No state funding
- FY23/24 – 50% of $24M

Century Bond
- Full Funding (reference FY21 Century Bond resolution)

Debt
- Use where already committed
- Inability to transfer to other projects in construction

Working Capital
- Limited usage based on must do projects

SUMMARY:

June 2019 FY21-26 Six Year CIP
- $456.8M Total FY21/22 Spend
- Projects in progress or planned to execute in FY21 and FY22
  - $101.7M in new starts for FY21/22

Current FY21/22 Spend Plan $302.3M
- Total FY21/22 Spend
  - Projects in progress to proceed plus not started to proceed
    - 34% reduction
  - $41.1M recommended as FY21/22 new starts
    - 60% reduction from June 2019 Six Year CIP
Metrics: Deferred Maintenance Backlog

Deferred maintenance per gross square foot, as impacted by projected CIP spending

Please Note: DM Backlog total is for Athens buildings only and does not include utility infrastructure, regional, OIT backlog

Impact on FY22 from FY21-26 and FY21 Annual CIP changes due to financial climate

<table>
<thead>
<tr>
<th></th>
<th>FY18</th>
<th>FY19</th>
<th>FY20</th>
<th>FY21</th>
<th>FY22</th>
</tr>
</thead>
<tbody>
<tr>
<td>GSF</td>
<td>8,261,326</td>
<td>8,143,495</td>
<td>8,073,741</td>
<td>8,282,891</td>
<td>8,282,891 *</td>
</tr>
<tr>
<td>Increase</td>
<td>McCracken Addition, Ridges Observatory, Grover Center</td>
<td>Sook Center</td>
<td>HCOM Phase 1, Chemistry Building, Chilled Water Plant 3</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Change of Use</td>
<td>Ridges 13, 14, 18</td>
<td>29 Park Place, HRTC</td>
<td>Grosvenor Hall</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
CIP Approval

What are you approving?

- Athens Campus includes over $475M Deferred Maintenance backlog that remains, addressing $111.71M in this plan
- Regional Campuses, Roads & Infrastructure, OIT backlog is additional above this number
- Risk remains in multiple facilities that have known programmatic, modernization, and deferred maintenance needs but are not planned to receive investment in this period (e.g. Konneker Research, Chubb Hall, Convo)

Considerations:

$261.23M: Projects in progress that will continue

$41.1M: FY21-FY22 Two Year CIP, new starts
FY21 New Starts

What are you approving?

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Considerations:

- No dollars are being included in this plan to accommodate initiatives associated with University reduction in square footage.
FY21 Capital Improvement Plan

Resolution

APPROVAL OF THE OHIO UNIVERSITY
FY21 CAPITAL IMPROVEMENT PLAN

RESOLUTION 2020 -

WHEREAS, the University has undertaken the development of a FY21 Capital Improvement Plan (CIP), and

WHEREAS, due to the financial impacts associated with the COVID-19 pandemic, the FY21 Capital Improvement Plan is reduced from the projects included as part of the FY2021-FY2026 Six Year Capital Improvement Plan, approved by the Board of Trustees in Resolution 2019-3789, and

WHEREAS, the FY21 CIP includes projects identified as critical to continue based on review with University leadership and represents a significant reduction in new project starts from the approved FY2021-FY2026 Six Year CIP, and

WHEREAS, the reduced FY 21 CIP was reviewed with Deans, Vice Presidents, Auxiliary units, Athletics, and Regional Campus leadership to arrive at a consensus of critical projects and gain their endorsement of the plan, and

WHEREAS, the Capital Funding and Priorities Committee has approved and made recommendation to the President, and

WHEREAS, the FY21 Capital Improvement Plan has been approved by the President.

NOW THEREFORE, BE IT RESOLVED that the Ohio University Board of Trustees does hereby approve the attached FY21 Capital Improvement Plan.
APPROVAL FOR FY21 CENTURY BOND (CB21) $10 MILLION DEFERRED MAINTENANCE ALLOCATION FOR EMERGENCY PROJECTS

RESOLUTION 2020 -

WHEREAS, the University issued $250 million in 100-year maturity taxable bonds (“Century Bonds”) in order to establish a central bank funding model for deferred maintenance and other projects; and

WHEREAS, the Century Bond Guiding Principles set aside $160 million of the $250 million to address deferred maintenance in 16 annual $10 million deferred maintenance tranches beginning in FY15; and

WHEREAS, in light of the current COVID-19 pandemic, University management has adjusted the planned FY21 Capital Improvement Plan (CIP) to reduce the dependence on the CB21 tranche for deferred maintenance projects; and

WHEREAS, University management will utilize the CB21 tranche for emergency projects only as determined by the Chief Facility Officer; and

WHEREAS, this has been reviewed and approved by the Treasury and Debt Advisory Committee.

NOW THEREFORE, BE IT RESOLVED that the Ohio University Board of Trustees approves utilization of the FY21 $10 million deferred maintenance tranche for emergency projects only.
August 17, 2020

FY21 Century Bond $10M Deferred Maintenance Tranche

Resolution

Tab #; pg
FY21 Century Bond $10M Tranche (CB21)

• The thorough review of the FY21 CIP resulted in adjustments to reduce the dependence on CB21 funds for deferred maintenance projects

• A resolution requesting use of the CB21 funds for emergency projects only is included for approval

• As a reminder, all projects over $500K require board approval
APPROVAL FOR FY21 CENTURY BOND (CB21) $10 MILLION DEFERRED MAINTENANCE ALLOCATION FOR EMERGENCY PROJECTS

RESOLUTION 2020 -

WHEREAS, the University issued $250 million in 100-year maturity taxable bonds (“Century Bonds”) in order to establish a central bank funding model for deferred maintenance and other projects; and

WHEREAS, the Century Bond Guiding Principles set aside $160 million of the $250 million to address deferred maintenance in 16 annual $10 million deferred maintenance tranches beginning in FY15; and

WHEREAS, in light of the current COVID-19 pandemic, University management has adjusted the planned FY21 Capital Improvement Plan (CIP) to reduce the dependence on the CB21 tranche for deferred maintenance projects; and

WHEREAS, University management will utilize the CB21 tranche for emergency projects only as determined by the Chief Facility Officer; and

WHEREAS, this has been reviewed and approved by the Treasury and Debt Advisory Committee.

NOW THEREFORE, BE IT RESOLVED that the Ohio University Board of Trustees approves utilization of the FY21 $10 million deferred maintenance tranche for emergency projects only.
CAPITAL PROJECTS
APPROVAL TO PROCEED WITH DESIGN AND CONSTRUCTION

RESOLUTION 2020 -

WHEREAS, construction and renovation projects with a total project budget of $500,000 or more require Board approval; and

WHEREAS, projects presented and recommended for Board approval have been subject to a multi-step planning process culminating in executive leadership review and approval by the Capital Funding and Priorities Committee (CF&PC); and

WHEREAS, the following projects are presented for Board approval:

Modification of Design & Construction Requests:

<table>
<thead>
<tr>
<th>Project</th>
<th>Previous</th>
<th>Current</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Scripps Hall Ground Floor Esports Renovation</td>
<td>$650,000</td>
<td>TBD</td>
<td>TBD</td>
</tr>
</tbody>
</table>

Design & Construction Requests:

<table>
<thead>
<tr>
<th>Project</th>
<th>Current</th>
</tr>
</thead>
<tbody>
<tr>
<td>Campus Steam System Repairs 2021</td>
<td>$500,000</td>
</tr>
</tbody>
</table>

WHEREAS, in anticipation of possible reductions in state capital appropriations funding earmarked for the following project, which was previously approved by the Board of Trustees in Resolution 2019-3782, available accrued interest from the Series 2017 bonds and proceeds of Series 2020 Bonds, authorized by Resolution 2019-3804, may be used in substitution of state funding if necessary.

<table>
<thead>
<tr>
<th>Project</th>
<th>Current</th>
</tr>
</thead>
<tbody>
<tr>
<td>Clippinger Renovation Strategy Phase 2</td>
<td>$33,622,000</td>
</tr>
</tbody>
</table>

NOW THEREFORE, BE IT RESOLVED that the Ohio University Board of Trustees approves the requests described above; authorizes the receipt of appropriate bids or proposals; and authorizes the President, the Senior Vice President for Finance and Administration, or either’s authorized designee to accept and award contracts and execute all necessary agreements, easements and documents to effectuate the transaction and related transactions within the total project budgets identified.

BE IT FURTHER RESOLVED that the President, the Senior Vice President for Finance and Administration, or either’s authorized designee are authorized to utilize accrued interest from the Series 2017 bonds and proceeds of Series 2020 Bonds if necessary as set forth above.
Interoffice Communication

Date: July 31, 2020

To: The President and Board of Trustees

From: Deborah J. Shaffer, Senior Vice President Finance & Administration, CFO and Treasurer
       Steve Wood, Chief Facilities Officer and Senior Associate Vice President

Re: Capital Projects Update and Request for Approval to Undertake Design through Construction

Projects in excess of $500,000 will be presented for Board approval to undertake design through construction. A presentation containing background information, funding sources and the proposed schedule for each project is included with these materials as well as a resolution for board action.

<table>
<thead>
<tr>
<th>PROJECT</th>
<th>Authorized Expenditures</th>
<th>Project Budget</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Previously Approved</td>
<td>Total Approved</td>
</tr>
<tr>
<td></td>
<td>Current Action</td>
<td>Authorized Expenditures</td>
</tr>
<tr>
<td>Budget Amendment &amp; Approval to Undertake Construction</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Scripps Hall Ground Floor eSports Renovation</td>
<td>$ 650,000</td>
<td>TBD</td>
</tr>
<tr>
<td>Approval to Undertake Design through Construction</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Campus Steam System Repairs 2021</td>
<td>$ 500,000</td>
<td>$ 500,000</td>
</tr>
</tbody>
</table>

Current Project Approval Request Information

- Campus Steam System Repairs 2021 – This $500K project will address campus steam distribution and tunnel improvements to the aging infrastructure. Identified activities will be coordinated with the summer campus steam outage. This project is funded by energy infrastructure projects century bond bank.

- Scripps Hall Ground Floor eSports Renovation – Update and Probable Budget Amendment

The Scripps Hall Ground Floor eSports Renovation with a $650K project budget was approved by the Board as part of the January 2020 Resolution 2020-3815. The project is part of a presidential initiative to bring competitive video gaming to OHIO and will create an eSports facility in Scripps Hall. This will enable the Ohio University eSports team to engage
in conference competition. The space will be comprised of a competition room, a club practice room, social gaming area, office space and a broadcasting booth. Design for this project was complete in July 2020 with construction scheduled to begin September 2020 and end in December 2020. The current project budget is funded by central university funding.

**Status Update**

Design of the project is complete. An early May bid opening resulted in receipt of two bids that far exceeded the construction budget and were not awardable. The high bids were attributed in part to the ongoing pandemic and uncertainty regarding workforce availability and material supply chains. The project team has since adjusted the project scope to reduce cost as much as possible. As the project was being re-bid, due to uncertainty associated with the pandemic and the continued unpredictable bidding environment, a third-party estimator evaluated the revised project scope and validated our concerns that estimated construction costs could still exceed the project budget. The bid opening scheduled for August 12, 2020 will provide the project team with firm pricing for the project from the lowest responsive and responsible bidder.

* If necessary, following the August 12 bid opening, the August 2020 Capital Projects resolution and materials will be updated to request approval of a budget amendment for completion of the Scripps Hall Ground Floor eSports Renovation project.

- **Clippinger Renovation Strategy Phase 2 - Funding Adjustment**

Clippinger Renovation Phase 2 project was approved by the Board in June 2019. The $33.6M project funding was initially planned to consist of $26.2M state capital appropriations and $7.4M century bond funding. The project is a priority for completion and well underway. Due to uncertainties in near-term state capital appropriations funding as a result of financial disruption from the global COVID-19 pandemic, Board approval to supplant reduced or delayed state capital appropriations with available bond proceeds is being sought. The overall budget for this project will remain the same.
August 17, 2020

Capital Projects Update

Resolution

Tab #; pg
# Facilities Projects Summary

<table>
<thead>
<tr>
<th>Phase</th>
<th>Number of Projects</th>
<th>Budget</th>
<th>Expenditures</th>
</tr>
</thead>
<tbody>
<tr>
<td>0 - Conceptual</td>
<td>10</td>
<td>$2,811,200</td>
<td>$4,295</td>
</tr>
<tr>
<td>1 - PreDesign</td>
<td>2</td>
<td>$3,500,000</td>
<td>$-</td>
</tr>
<tr>
<td>2 - Design</td>
<td>24</td>
<td>$95,509,360</td>
<td>$3,672,544</td>
</tr>
<tr>
<td>3 - Construction</td>
<td>35</td>
<td>$162,295,477</td>
<td>$88,664,322</td>
</tr>
<tr>
<td>4 - Closeout</td>
<td>69</td>
<td>$72,318,825</td>
<td>$64,546,167</td>
</tr>
<tr>
<td><strong>Grand Total</strong></td>
<td><strong>140</strong></td>
<td><strong>$336,434,863</strong></td>
<td><strong>$156,887,328</strong></td>
</tr>
</tbody>
</table>
# Approvals Requested

<table>
<thead>
<tr>
<th>PROJECT</th>
<th>Authorized Expenditures</th>
<th>Project Budget</th>
</tr>
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<tbody>
<tr>
<td></td>
<td>Previously Approved</td>
<td>Current Action</td>
</tr>
<tr>
<td>Budget Amendment &amp; Approval to Undertake Construction</td>
<td>$650,000</td>
<td>TBD</td>
</tr>
<tr>
<td>Scripps Hall Ground Floor eSports Renovation</td>
<td>$650,000</td>
<td>TBD</td>
</tr>
<tr>
<td>Approval to Undertake Design through Construction</td>
<td>$500,000</td>
<td>$500,000</td>
</tr>
</tbody>
</table>
Scripps Hall Ground Floor eSports Renovation

• Board of Trustees- January 2020
Scripps Hall Ground Floor eSports Renovation

Funding table and schedule will be inserted following bid opening August 12th.
Campus Steam System Repairs 2021
Campus Steam System Repairs 2021

<table>
<thead>
<tr>
<th>Fund Sources</th>
<th>Century Bond Bank-EIP</th>
<th>$75,000</th>
<th>$425,000</th>
<th>$500,000</th>
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<tr>
<td>Sub-Totals</td>
<td>$75,000</td>
<td>$425,000</td>
<td>$500,000</td>
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### Board Actions:

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<thead>
<tr>
<th>Design</th>
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<th>Approved Budget</th>
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<tbody>
<tr>
<td>$75,000</td>
<td>$425,000</td>
<td>$500,000</td>
<td>$500,000</td>
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</table>

### Previous Board Budget Approvals

<table>
<thead>
<tr>
<th>Design</th>
<th>Construction</th>
<th>Authorized Expenditures</th>
<th>Approved Budget</th>
</tr>
</thead>
<tbody>
<tr>
<td>$0</td>
<td>-</td>
<td>$0</td>
<td>$0</td>
</tr>
</tbody>
</table>

### Current Board Request:

<table>
<thead>
<tr>
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<th>Construction</th>
<th>Authorized Expenditures</th>
<th>Approved Budget</th>
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</thead>
<tbody>
<tr>
<td>$75,000</td>
<td>$425,000</td>
<td>$500,000</td>
<td>$500,000</td>
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</table>

### Sub-Totals

<table>
<thead>
<tr>
<th>Design</th>
<th>Construction</th>
<th>Authorized Expenditures</th>
<th>Approved Budget</th>
</tr>
</thead>
<tbody>
<tr>
<td>$75,000</td>
<td>$425,000</td>
<td>$500,000</td>
<td>$500,000</td>
</tr>
</tbody>
</table>

### Fiscal Year Schedule

- **FY20**: Design
- **FY21**: Construction
- **FY22**: Design, Construction

*Based on data from AACE Cost Estimate Classification System*
Clippinger Renovation Strategy Phase 2
Clippinger Renovation Strategy Phase 2

- Board of Trustees June 2019
- Updated Funding Table August 2020

<table>
<thead>
<tr>
<th>Fiscal Year</th>
<th>FY16</th>
<th>FY17</th>
<th>FY18</th>
<th>FY19</th>
<th>FY20</th>
<th>FY21</th>
<th>FY22</th>
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</thead>
<tbody>
<tr>
<td>Schedule</td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
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<td>Activity</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Fund Sources

- **State Capital Appropriations**: $3,803,745
- **Series 2017 Bond**: $1,373,750
- **Series 2020 Bond**: $9,557,778
- **Century Bond**: $6,060,239

### Previous Board Budget Approvals

- **Previous Board Budget Approvals**: $3,803,745
- **Construction**: $29,818,255
- **Authorized Expenditures**: $33,622,000
- **Approved Budget**: $33,622,000

### Current Board Request

- **Current Board Request**: $3,803,745
- **Construction**: $29,818,255
- **Approved Budget**: $33,622,000

### Sub-Totals

- **Sub-Totals**: $3,803,745
- **Construction**: $29,818,255
- **Approved Budget**: $33,622,000

### Board Actions: 2019-3782

- **State Capital Appropriations**: $3,803,745
- **Series 2017 Bond**: $1,373,750
- **Series 2020 Bond**: $9,557,778
- **Century Bond**: $6,060,239

### Sub-Totals

- **Sub-Totals**: $3,803,745
- **Construction**: $29,818,255
- **Approved Budget**: $33,622,000
Capital Project Approval

Resolution

CAPITAL PROJECTS
APPROVAL TO PROCEED WITH DESIGN AND CONSTRUCTION

RESOLUTION 2020 -

WHEREAS, construction and renovation projects with a total project budget of $500,000 or more require Board approval; and

WHEREAS, projects presented and recommended for Board approval have been subject to a multi-step planning process culminating in executive leadership review and approval by the Capital Funding and Priorities Committee (CF&P); and

WHEREAS, the following projects are presented for Board approval:

<table>
<thead>
<tr>
<th>Modification of Design &amp; Construction Requests:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Project</td>
</tr>
<tr>
<td>Scripps Hall Ground Floor Esports Renovation</td>
</tr>
</tbody>
</table>

Design & Construction Requests:

<table>
<thead>
<tr>
<th>Capital Project Budget &amp; Authorized Expenditures</th>
</tr>
</thead>
<tbody>
<tr>
<td>Project</td>
</tr>
<tr>
<td>Campus Steam System Repairs 2021</td>
</tr>
</tbody>
</table>

WHEREAS, in anticipation of possible reductions in state capital appropriations earmarked for the following project, which was previously approved by the Board of Trustees in Resolution 2019-3782, available accrued interest from the Series 2017 bonds and proceeds of Series 2020 Bonds, authorized by Resolution 2019-3804, may be used in substitution of state funding if necessary.

<table>
<thead>
<tr>
<th>Capital Project Budget &amp; Authorized Expenditures</th>
</tr>
</thead>
<tbody>
<tr>
<td>Project</td>
</tr>
<tr>
<td>Clippinger Renovation Strategy Phase 2</td>
</tr>
</tbody>
</table>

NOW THEREFORE, BE IT RESOLVED that the Ohio University Board of Trustees approves the requests described above; authorizes the receipt of appropriate bids or proposals; and authorizes the President, the Senior Vice President for Finance and Administration, or either’s authorized designee to accept and award contracts and execute all necessary agreements, easements and documents to effectuate the transaction and related transactions within the total project budgets identified.

BE IT FURTHER RESOLVED that the President, the Senior Vice President for Finance and Administration, or either’s authorized designee are authorized to utilize accrued interest from the Series 2017 bonds and proceeds of Series 2020 Bonds if necessary as set forth above.
Interoffice Communication

Date: July 31, 2020

To: The President and Board of Trustees

From: Deborah J. Shaffer, Senior Vice President Finance & Administration, CFO and Treasurer
       Robin R. Schaffer, Executive Director, Treasury Operations

Re: Treasury & Debt Update

Cashflows:

Updated cashflows will be incorporated into the budget presentation. The cashflows will be provided prior to the August 2020 Board of Trustees meetings.

Treasury and Debt Advisory Committee:

The Treasury and Debt Advisory Committee (“TDAC”) will meet on August 12, 2020 to discuss utilizing the FY21 $10 million tranche for emergency projects only as determined by the Chief Facility Officer. The TDAC meeting materials will be shared with the Board of Trustees at the August 2020 Board meetings.

The Treasury Team recommends approval of the following resolution that will be presented at the August 2020 meeting: Approval for FY21 Century Bond $10 Million Deferred Maintenance Allocation for Emergency Projects.
Interoffice Communication

Date:  July 31, 2020

To:       The President and Board of Trustees

From:    Deborah J. Shaffer, Senior Vice President Finance & Administration, CFO and Treasurer
          Steve Wood, Chief Facilities Officer and Senior Associate Vice President

Re:       Facility Projects Updates

The following facility project reports are included with this update:

1. The *Active Capital Project Schedules* report shows the design and construction timeline view for major projects in progress as of the meeting date.
2. The *Facility Projects Report* details active projects approved for expenditure as well as proposed projects under development (indicated as the Conceptual phase). The report is sorted by project phase, and then by completion date within phase.

A summary of active projects by phase is shown in the table below:

<table>
<thead>
<tr>
<th>Phase</th>
<th>Number of Projects</th>
<th>Budget</th>
<th>Expenditures</th>
</tr>
</thead>
<tbody>
<tr>
<td>0 - Conceptual</td>
<td>10</td>
<td>$2,811,200</td>
<td>$4,295</td>
</tr>
<tr>
<td>1 - PreDesign</td>
<td>2</td>
<td>$3,500,000</td>
<td>-</td>
</tr>
<tr>
<td>2 - Design</td>
<td>24</td>
<td>$95,509,360</td>
<td>$3,672,544</td>
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<td>$64,546,167</td>
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<tr>
<td>Grand Total</td>
<td>140</td>
<td>$336,434,863</td>
<td>$156,887,328</td>
</tr>
</tbody>
</table>

*Projects in the ‘0 - Conceptual Phase’ often do not yet have developed budget estimates and are therefore reflected as “zero” in the attached report.

Facility projects highlights since the June Board of Trustees meeting include:

- Start of construction:
  - Clippinger Renovation Phase 2A
  - Stocker Roof Replacement
• Continued construction progress:
  o 29 Park Place and Carriage House Renovation
  o Campus Steam Repairs 2020
  o Chemistry Building
  o Chilled Water Plant 3 and Utility Distribution
  o Convocation Center Restroom Renovation – Phase 2
  o HCOM Facility
  o Konneker Alumni Center Renovation
  o Morton Chilled Water Connection
  o Richland Avenue Pedestrian Passageway

• Substantial completion:
  o OUL Fire Alarm System Replacement
  o Ridges Utilities Decentralization
  o Schoonover Center Green Roof
## 2020 Active Capital Project Schedules

<table>
<thead>
<tr>
<th>PROJECT TITLE</th>
<th>BUDGET</th>
</tr>
</thead>
<tbody>
<tr>
<td>HCOM Phase I Academic Replacement Space</td>
<td>65,000,000</td>
</tr>
<tr>
<td>Chemistry Building</td>
<td>42,600,000</td>
</tr>
<tr>
<td>Clippinger Renovation Phase 2</td>
<td>33,622,000</td>
</tr>
<tr>
<td>Russ Research Opportunity Center</td>
<td>30,100,000</td>
</tr>
<tr>
<td>Chilled Water Plant 3 - Plant</td>
<td>19,967,279</td>
</tr>
<tr>
<td>Chilled Water Plant 3 - Utility Distribution</td>
<td>9,853,021</td>
</tr>
<tr>
<td>Gamertsfelder Bath/HVAC Upgrades</td>
<td>7,600,000</td>
</tr>
<tr>
<td>OUD Medical Education Building 2 Renovation 2020</td>
<td>6,800,000</td>
</tr>
<tr>
<td>Konneker Research Ridges 25 HVAC and Boiler System Upgrade 2018</td>
<td>5,000,000</td>
</tr>
<tr>
<td>29 Park Place and Carriage House Renovation and ADA Improvements</td>
<td>3,551,611</td>
</tr>
<tr>
<td>Residential Restrooms Renovation 2018</td>
<td>3,500,000</td>
</tr>
<tr>
<td>Ping Center Roof Replacement 2020</td>
<td>3,300,000</td>
</tr>
<tr>
<td>Stocker Roof Replacement 2019</td>
<td>3,300,000</td>
</tr>
<tr>
<td>Porter and Lindley Halls Window Replacement 2019</td>
<td>2,899,452</td>
</tr>
<tr>
<td>University Terrace Realignment 2020</td>
<td>1,870,000</td>
</tr>
<tr>
<td>Chilled Water Plant 3 - Utility Distribution Phase II</td>
<td>1,754,700</td>
</tr>
<tr>
<td>OUL HVAC and Energy Efficiency Improvements Phase I</td>
<td>1,730,000</td>
</tr>
<tr>
<td>Konneker Alumni Center ADA Addition and Renovation 2018</td>
<td>1,722,750</td>
</tr>
<tr>
<td>Innovation Center Infrastructure Upgrades 2019</td>
<td>1,438,323</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>PROJECT TITLE</th>
<th>BUDGET</th>
</tr>
</thead>
<tbody>
<tr>
<td>Convocation Center 3rd Floor Residential Restrooms Renovation 2018</td>
<td>3,500,000</td>
</tr>
<tr>
<td>Ping Center Roof Replacement 2020</td>
<td>3,300,000</td>
</tr>
<tr>
<td>Stocker Roof Replacement 2019</td>
<td>3,300,000</td>
</tr>
<tr>
<td>Porter and Lindley Halls Window Replacement 2019</td>
<td>2,899,452</td>
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<tr>
<td>University Terrace Realignment 2020</td>
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<tr>
<td>Chilled Water Plant 3 - Utility Distribution Phase II</td>
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<tr>
<td>OUL HVAC and Energy Efficiency Improvements Phase I</td>
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<tr>
<td>Konneker Alumni Center ADA Addition and Renovation 2018</td>
<td>1,722,750</td>
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<tr>
<td>Innovation Center Infrastructure Upgrades 2019</td>
<td>1,438,323</td>
</tr>
<tr>
<td>PROJECT TITLE</td>
<td>BUDGET</td>
</tr>
<tr>
<td>------------------------------------------------------------------</td>
<td>----------</td>
</tr>
<tr>
<td>HRTC Repurpose for HCOM 2019</td>
<td>1,163,500</td>
</tr>
<tr>
<td>Herrold Hall Infrastructure and Interior Improvements</td>
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</tr>
<tr>
<td>Alden Library Air Handlers 3 and 4 Replacement 2019</td>
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<tr>
<td>OUC Stevenson Center Roof Replacement 2020</td>
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</tr>
<tr>
<td>Richland Avenue Pedestrian Crossing Sidewalk Realignment</td>
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<tr>
<td>Scripps Hall Ground Floor Esports Renovation 2020</td>
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<tr>
<td>OUE Health &amp; Physical Education Center Roof Replacement 2019</td>
<td>642,600</td>
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<tr>
<td>Morton Chilled Water Connection 2019</td>
<td>610,000</td>
</tr>
<tr>
<td>Campus Steam System Repairs 2020</td>
<td>603,875</td>
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</table>
## Ohio University Projects Status Update

<table>
<thead>
<tr>
<th>Project Name</th>
<th>Project Manager</th>
<th>Current Phase</th>
<th>Funding Sources</th>
<th>Budget</th>
<th>Expenditures</th>
<th>Schedule</th>
</tr>
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<tbody>
<tr>
<td>Grover Center Roof Replacement 2020</td>
<td>Candace Vlasak</td>
<td>0 - Conceptual</td>
<td></td>
<td>$357,700</td>
<td>$357,700</td>
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<td>Alden Chilled Water Tie-In 2020</td>
<td>Brody Bauers</td>
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<td></td>
<td>$2,150,000</td>
<td>$2,150,000</td>
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<tr>
<td>Porter Hall Elevator 2020</td>
<td>Candace Vlasak</td>
<td>X</td>
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<td>$475,000</td>
<td>$475,000</td>
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<td>Ping Center Fire System Survey 2020</td>
<td>Tony Bertino</td>
<td>0 - Conceptual</td>
<td></td>
<td>$57,500</td>
<td>$57,500</td>
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<td>Alden Library Center Stairwell Resurfacing 2020</td>
<td>Gem Stone</td>
<td>0 - Conceptual</td>
<td></td>
<td>$175,000</td>
<td>$175,000</td>
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<tr>
<td>Hwa Wei Lee Storm Sewer Repair 2020</td>
<td>Tony Bertino</td>
<td>0 - Conceptual</td>
<td></td>
<td>$1,407,265</td>
<td></td>
<td>9/14/2011</td>
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<td>Clippinger Research Annex 116 Equipment Installation 2020</td>
<td>Gem Stone</td>
<td>0 - Conceptual</td>
<td></td>
<td>$1,079,250</td>
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</tr>
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<td>Campus Steam System Repairs 2021</td>
<td>Brody Bauers</td>
<td>0 - Conceptual</td>
<td></td>
<td>$6,800,000</td>
<td>$6,800,000</td>
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<tr>
<td>Child Development Center Playground Addition 2020</td>
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<td>0 - Conceptual</td>
<td></td>
<td>$6,000</td>
<td>$3,100</td>
<td>1/25/2020</td>
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<tr>
<td>Compost Facility Electrical Connection Permit 2020</td>
<td>Tamra Ansel</td>
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<td></td>
<td>$1,079,250</td>
<td>$92,000</td>
<td>6/25/2020</td>
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<tr>
<td>OUE Health &amp; Physical Education Center HVAC Controls Upgrade 2019</td>
<td>Danny Counts</td>
<td>1 - PreDesign</td>
<td></td>
<td>$200,000</td>
<td>$200,000</td>
<td>-</td>
</tr>
<tr>
<td>Ping Center Roof Replacement 2020</td>
<td>Danny Counts</td>
<td>1 - PreDesign</td>
<td></td>
<td>$3,300,000</td>
<td>$3,300,000</td>
<td>-</td>
</tr>
<tr>
<td>Outdoor Museum Exterior Signage 2019</td>
<td>Gem Stone</td>
<td>2 - Design</td>
<td></td>
<td>X $35,000</td>
<td>$38,827</td>
<td>13,174</td>
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<tr>
<td>Ouing Hall Electronic Access Conversion 2019</td>
<td>Garrett Karr</td>
<td>2 - Design</td>
<td></td>
<td>X $30,000</td>
<td>$30,000</td>
<td>1,283</td>
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<tr>
<td>Multi-Phase Technology Corrosion Center Room Modification 2020</td>
<td>Tamra Ansel</td>
<td>2 - Design</td>
<td></td>
<td>X $6,132</td>
<td>$6,132</td>
<td>338</td>
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<tr>
<td>Grover Center W248 &amp; W250 Wall Removal 2020</td>
<td>Tamra Ansel</td>
<td>2 - Design</td>
<td></td>
<td>$24,000</td>
<td>$24,000</td>
<td>1,984</td>
</tr>
<tr>
<td>Copeland, Porter &amp; Life Sciences Energy Savings Improvements 2018</td>
<td>Brody Bauers</td>
<td>2 - Design</td>
<td></td>
<td>X $41,500</td>
<td>$41,500</td>
<td>21,988</td>
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<td>OUE Elson Hall Masonry Repairs 2020</td>
<td>Danny Counts</td>
<td>2 - Design</td>
<td></td>
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### Phase definitions:
- **Conceptual Phase**: A project is in the Conceptual Phase until the scope, budget, funding, and funding are determined. Then PreDesign occurs until the architect/engineer is under contract. Design occurs until the contract is issued for Construction, and upon Substantial Completion the Closeout phase begins. This phase continues until the appropriate documents are archived and the project is closed financially.
- **PreDesign Phase**: $X$ indicates funding has been approved for the project. **Design Phase**: $X$ indicates the architect/engineer is under contract. **Construction Phase**: $X$ indicates the construction contract has been awarded. **Closeout Phase**: $X$ indicates the closeout documents have been submitted.
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<td>7/25/2018 1/6/2020</td>
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</tr>
<tr>
<td>OUS Littick Hall HVAC Improvements</td>
<td>Danny Counts</td>
<td>4 - Closeout</td>
<td>$200,000</td>
<td>4/26/2018 1/7/2020</td>
<td></td>
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<tr>
<td>Baker Center Balcony Electrical Upgrades 2019</td>
<td>Brody Bayers</td>
<td>4 - Closeout</td>
<td>$11,042</td>
<td>12/2/2019 1/8/2020</td>
<td></td>
</tr>
<tr>
<td>OUS Student Resource Commons Improvements 2019</td>
<td>Stephanie Ruth</td>
<td>4 - Closeout</td>
<td>$87,202</td>
<td>6/26/2019 1/10/2020</td>
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<tr>
<td>Ridges Building 37 Improvements - Roof Repair 2018</td>
<td>Tony Bertino</td>
<td>4 - Closeout</td>
<td>$850,000</td>
<td>11/19/2018 1/2020</td>
<td></td>
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<tr>
<td>Grover Substation Electrical Study 2020</td>
<td>Danny Counts</td>
<td>4 - Closeout</td>
<td>$30,000</td>
<td>8/8/2019 1/23/2020</td>
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<tr>
<td>Ping Center 209 &amp; 217 Electrical Outlets 2019</td>
<td>Gem Stone</td>
<td>4 - Closeout</td>
<td>$14,500</td>
<td>2/5/2020</td>
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<tr>
<td>University Garage Heating System Conversion 2020</td>
<td>Brody Bayers</td>
<td>4 - Closeout</td>
<td>$152,000</td>
<td>9/19/2019 2/13/2020</td>
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<tr>
<td>Kantner Hall Structural Masonry Work</td>
<td>Tony Bertino</td>
<td>4 - Closeout</td>
<td>$321,294</td>
<td>2/17/2020</td>
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<td>Athens Campus ADA Electronic Control Conversion 2018</td>
<td>Garrett Karr</td>
<td>4 - Closeout</td>
<td>$481,800</td>
<td>6/13/2018 2/25/2020</td>
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<tr>
<td>Wilson College Green Moisture Remediation &amp; Repair</td>
<td>Garrett Karr</td>
<td>4 - Closeout</td>
<td>$9,000</td>
<td>12/9/2019 3/13/2020</td>
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<tr>
<td>Bobcat Depot P05 Station Remodel 2020</td>
<td>Gem Stone</td>
<td>4 - Closeout</td>
<td>$11,200</td>
<td>12/23/2019 3/13/2020</td>
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<tr>
<td>Christopher Davis Historical Marker Installation 2020</td>
<td>Tamra Ander</td>
<td>4 - Closeout</td>
<td>$7,500</td>
<td>3/23/2020</td>
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<tr>
<td>Ridges Parking Lot, Site Improvements and Building 20 Demolition</td>
<td>Tony Bertino</td>
<td>4 - Closeout</td>
<td>$1,848,330</td>
<td>4/6/2018 3/24/2020</td>
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<tr>
<td>Project Name</td>
<td>Project Manager</td>
<td>Current Phase</td>
<td>External Bank Financing</td>
<td>Central University Financing</td>
<td>Century Bond Bank</td>
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<td>-------------------------------------------</td>
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<tr>
<td>Ridges 13, 14 and 18 Renovation</td>
<td>Tony Bertino</td>
<td>4 - Closeout</td>
<td>X</td>
<td>$14,204,072</td>
<td>$16,314,234</td>
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<td>Alden Library Air Pressure Repair 2019</td>
<td>Brody Bauers</td>
<td>4 - Closeout</td>
<td>X</td>
<td>$5,000</td>
<td>$5,000</td>
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